

On the Fractional Chromatic Index of a Graph and its Complement

David Avis,¹ Caterina De Simone² and Bruce Reed¹

School of Computer Science, McGill University,
3480 University Street, Montreal, Canada, H3A2A7.¹

Istituto di Analisi dei Sistemi ed Informatica (IASI),
CNR, Viale Manzoni 30, 00185 Rome, Italy.²

24 September 2004

ABSTRACT

The *chromatic index* $\chi_e(G)$ of an undirected graph G is the minimum number of matchings needed to partition its edge set. Let $\Delta(G)$ denote the maximum vertex degree of G , and let \bar{G} denote the complement of G . Jensen and Toft conjectured that for a graph G with an even number of vertices, either $\chi_e(G) = \Delta(G)$ or $\chi_e(\bar{G}) = \Delta(\bar{G})$. We prove a fractional version of this conjecture.

1. The Introduction

The *chromatic index* $\chi_e(G)$ of a graph $G = (V(G), E(G))$ is the minimum number of matchings needed to partition its edge set (for the definition of matching and other standard terms in graph theory, see Bondy and Murty[1]). Since all the edges incident to a vertex must be in different matchings, we know that $\chi_e(G)$ is at least the maximum degree of G , which we denote by $\Delta(G)$. In fact Vizing[5] proved that $\chi_e(G)$ is at most $\Delta(G) + 1$, whilst Holyer[3] proved it is NP-complete to determine if $\chi_e(G)$ is $\Delta(G)$. If H is a subgraph of G with $2t + 1$ vertices, then we need at least $\lceil |E(H)| / t \rceil$ matchings to cover $E(H)$. Thus if $|E(H)| > t \Delta(G)$, then H and hence G have chromatic index $\Delta(G) + 1$. A subgraph H of G is called *overfull* if it has an odd number of vertices and

$$|E(H)| > \Delta(G) \frac{|V(H)| - 1}{2}. \quad (1)$$

If G is a regular graph with an odd number of vertices then G is overfull, and its complement, \bar{G} , being regular, is also overfull. Thus for such graphs $\chi_e(G) = \Delta(G) + 1$ and $\chi_e(\bar{G}) = \Delta(\bar{G}) + 1$. In [4], Jensen and Toft conjectured that this could not happen for graphs with an even number of vertices. They conjectured that if G has an even number of vertices then either $\chi_e(G) = \Delta(G)$ or $\chi_e(\bar{G}) = \Delta(\bar{G})$. As evidence in support of this conjecture, we show that it is true

for fractional edge colourings.

A *fractional edge colouring* of G is a non-negative weighting $w(\cdot)$ of the set $\mathbf{M}(G)$ of matchings in G so that for every edge $e \in E(G)$,

$$\sum_{M:e \in M} w(M) = 1.$$

The weight α of this colouring is defined by

$$\alpha = \sum_{M \in \mathbf{M}(G)} w(M),$$

and in this case we say that G has a fractional α -edge colouring.

The *fractional chromatic index*, $\chi_e^f(G)$, of G is the minimum α for which G has a fractional α -edge colouring. By dividing the weight function by α , we see that G has a fractional α -edge colouring if and only if the vector $(1/\alpha, \dots, 1/\alpha) \in R^{|E(G)|}$ is a convex combination of incidence vectors of matchings of G . It follows from Edmonds' characterization of the matching polytope[2] that $\chi_e^f(G)$ can be computed in polynomial time, and that in fact

$$\chi_e^f(G) = \max \left\{ \Delta(G), \max_{H \subseteq G, H \text{ overfull}} \frac{2 |E(H)|}{|V(H)| - 1} \right\}.$$

We will use the corollary that if $\chi_e^f(G) > \Delta(G)$ then G must contain an overfull subgraph. We may now state our result.

The Theorem. Let G be a graph such that $\chi_e^f(G) > \Delta(G)$ and $\chi_e^f(\bar{G}) > \Delta(\bar{G})$, then either G or \bar{G} is overfull.

Since overfull subgraphs have an odd number of vertices, this yields:

The Corollary If G has an even number of vertices then either $\chi_e^f(G) = \Delta(G)$ or $\chi_e^f(\bar{G}) = \Delta(\bar{G})$.

2. The Proof.

Arguing by contradiction, we suppose there is a graph G such that neither G nor its complement is overfull, yet $\chi_e^f(G) > \Delta(G)$ and $\chi_e^f(\bar{G}) > \Delta(\bar{G})$. We may choose an overfull subgraph H of G and an overfull subgraph F of \bar{G} . To simplify the exposition, in what follows we let G , F and H also stand for their vertex sets where no confusion arises. Since the sum of the degrees of all vertices of a graph is twice the number of its edges, letting $d_H(v)$ be the degree of a vertex v in H , we see that (1) is equivalent to

$$\sum_{v \in H} (\Delta(G) - d_H(v)) \leq \Delta(G) - 1. \quad (2)$$

and implies that

$$|H| \geq \Delta(G) + 1 \quad \text{and} \quad |F| \geq \Delta(\bar{G}) + 1. \quad (3)$$

We call $def_G(v) = \Delta(G) - d_G(v)$ the *deficiency*, of a vertex v in G , and let $E_G(A, B)$ be the set of edges in G with one endpoint in $A \subseteq V$ and one endpoint in $B \subseteq V$. With these definitions and using (2) and (3) we have

$$\sum_{v \in H} def_G(v) + |E_G(H, G - H)| \leq \Delta(G) - 1 \leq |H| - 2. \quad (4)$$

Similarly, in the complement \bar{G} , we have

$$\sum_{v \in F} \text{def}_{\bar{G}}(v) + |E_{\bar{G}}(F, \bar{G} - F)| \leq \Delta(\bar{G}) - 1 \leq |F| - 2. \quad (5)$$

The subgraphs H and F naturally partition the vertices of G into four parts with cardinalities a, b, c, d , as defined by

$$a = |H \cap F|, \quad b = |H - F|, \quad c = |F - H|, \quad \text{and} \quad d = |G - F - H|.$$

This partition is illustrated in Table 1. Let $n = |V(G)|$.

	F	G - F
H	a	b
G - H	c	d

Table 1: Partition of G into four subsets

We note that if $v \in F - H$ and $w \in H - F$ then vw is either an edge of $E_G(H, G - H)$ or of $E_{\bar{G}}(F, G - F)$. The same statement holds when $v \in F \cap H$ and $w \in G - F - H$. This implies the inequality

$$ad + bc \leq |E_G(H, G - H)| + |E_{\bar{G}}(F, G - F)|. \quad (6)$$

For every vertex v of G we have $d_G(v) + d_{\bar{G}}(v) = n - 1$ and so $\Delta(G) + \Delta(\bar{G}) \geq n - 1$. Hence we can define the nonnegative integer k by

$$k = \Delta(G) + \Delta(\bar{G}) - n + 1. \quad (7)$$

We also have that for all v ,

$$k = \text{def}_G(v) + \text{def}_{\bar{G}}(v) \quad (8)$$

and so

$$\sum_{v \in H} \text{def}_G(v) + \sum_{v \in F} \text{def}_{\bar{G}}(v) \geq \sum_{v \in H \cap F} (\text{def}_G(v) + \text{def}_{\bar{G}}(v)) = ak. \quad (9)$$

Combining the inequalities (4)-(9) we obtain the key inequality:

$$bc + a(d + k) \leq \Delta(G) + \Delta(\bar{G}) - 2 = n + k - 3. \quad (10)$$

Manipulating this inequality will give the desired result. By (3)

$$2a + b + c \geq \Delta(G) + \Delta(\bar{G}) + 2.$$

Combining with (10) we have

$$bc + a(d + k) \leq 2a + b + c - 4 \quad (11)$$

Now if $b, c \geq 1$ then $bc \geq b + c - 1$. If in addition $d + k \geq 2$ then

$$bc + a(d + k) \geq b + c - 1 + 2a > 2a + b + c - 4$$

a contradiction.

The remaining cases to consider are when either $b = 0$ or $c = 0$ or $0 \leq d + k \leq 1$. We will need the following two observations.

Observation 1: $|H|, |F| \leq |G| - 2$.

Proof: We know by hypothesis that $|H| \neq |G|$. Suppose $|H| = |G| - 1$. Let w be the vertex of $G - H$. From (4) we have

$$d_G(w) = |E(H, G - H)| \leq \Delta(G) - 1 - \sum_{v \in H} \text{def}_G(v).$$

In fact $d_G(w) \leq \Delta(G) - 2$. This follows immediately if $\text{def}_G(v) \geq 1$ for some $v \in H$. Otherwise every vertex of H has degree $\Delta(G)$. Since the total degree of G must be even and $|H|$ is odd, $d_G(w)$ must have the same parity as $\Delta(G)$ and again $d_G(w) \leq \Delta(G) - 2$. On the other hand, $d_{\bar{G}}(w) \leq \Delta(\bar{G})$, which combined with (7) and (8) gives

$$k \geq \Delta(G) + d_{\bar{G}}(w) - n + 1 = \Delta(G) - d_G(w) \geq 2.$$

This also implies that $d_G(w) \geq \Delta(G) - k$. Since $F \cap H = F - w$,

$$\begin{aligned} \sum_{v \in F \cap H} (\text{def}_{\bar{G}}(v) + \text{def}_G(v)) + |E(H, G - H)| &\geq k(|F| - 1) + \Delta(G) - k \\ &= k|F| + \Delta(G) - 2k \geq \Delta(\bar{G}) + 1 + \Delta(G) - 2k + (k - 1)|F|, \end{aligned}$$

where we used (3) to get the last inequality. Since F is overfull, $|F| \geq 3$ and we have

$$\sum_{v \in F \cap H} (\text{def}_{\bar{G}}(v) + \text{def}_G(v)) + |E(H, G - H)| \geq \Delta(G) + \Delta(\bar{G}) + k - 2 \geq \Delta(G) + \Delta(\bar{G}).$$

By combining (4) and (5), we see that this cannot happen, giving the desired contradiction. By replacing G by its complement, we obtain $F \leq |G| - 2$. \square

Observation 2: $\min(\Delta(G), \Delta(\bar{G})) \geq n/2 - 1$.

Proof: We assume that $\Delta(\bar{G}) \leq n/2 - 3/2$ and derive a contradiction. Since $\Delta(G) + \Delta(\bar{G}) \geq n - 1$ it follows that $\Delta(G) \geq n/2 + 1/2$ and hence $|H| \geq n/2 + 3/2$, while $|G - H| \leq n/2 - 3/2$. Furthermore every vertex of G must have degree at least $n/2 + 1/2$ and so

$$|E(H, G - H)| \geq |G - H|(n/2 + 1/2 - (|G - H| - 1)) = |G - H|(n/2 + 3/2 - |G - H|).$$

When $|G - H| = 2$ this value is $n - 1$ and when $|G - H| = n/2 - 3/2$ it is $3n/2 - 9/2$.

By Observation 1, we have $|H| \leq n - 2$, i.e. $|G - H| \geq 2$, and so

$$|E(H, G - H)| \geq \min(n - 1, 3n/2 - 9/2) \geq n - 3 \geq |H| - 1$$

which violates (4). \square

We now return to our manipulation of (10) for the cases when $b = 0$ or $c = 0$ or $0 \leq d + k \leq 1$. First assume that $b = 0$ and so $H \subseteq F$. By Observation 2 and (3) we have

$$a = |F \cap H| = |H| \geq \Delta(G) + 1 \geq n/2,$$

and so $a \geq b + c + d$. If $d + k \geq 3$ then $a(d + k) \geq 3a \geq 2a + b + c + d$ contradicting (11). If $d + k = 2$ then $a(d + k) = 2a \geq a + b + c + d = n$ contradicting (10). Similarly we obtain contradictions if $c = 0$ and $d + k \geq 2$.

It remains to settle the cases where $d + k = 0$ or 1 . If $d = 0$ then neither b nor c can be zero (or else either G or \bar{G} is overfull), and so $bc \geq b + c - 1$. Hence if $d = 0$ and $k = 1$ then

$$bc + a(d + k) \geq a + b + c + d - 1 = n - 1$$

again contradicting (10).

If $k = 0$ then by (8) G is $\Delta(G)$ -regular and \bar{G} is $\Delta(\bar{G})$ -regular. Since regular graphs with an odd number of vertices are overfull, this means that n is even. We have by (7) that $\Delta(G) + \Delta(\bar{G}) = n - 1$, and so can assume that $\Delta(G) \geq n/2$. Hence by (3) we have that $|H| \geq n/2 + 1$ and so $|G - H| \leq n/2 - 1$. On the other hand, Observation 1 gives $|G - H| \geq 2$, thus

$$|E_G(H, G - H)| \geq |G - H|(n/2 - (|G - H| - 1)) \geq n - 2, \quad (12)$$

where the last inequality is obtained by checking the two extremal values of $|G - H|$. Combining (4) and (12) gives the required contradiction and completes the proof of the theorem. \square

References

1. A. Bondy and U.S.R. Murty, *Graph Theory with Applications*, American Elsevier (1976).
2. J. Edmonds, "Maximum Matching and a Polyhedron with 0,1-Vertices," *J. of Research of the National Bureau of Standards (B)*, 69, pp. 125-130 (1965).
3. I. Hoyer, "The NP-Completeness of Some Edge-Partition Problems," *SIAM J. Computing*, 10, pp. 713-717 (1981).
4. T.R. Jensen and B. Toft, *Graph Coloring Problems*, Wiley, New York (1995).
5. V.G. Vizing, "On an Estimate of the Chromatic Class of a p -graph (in Russian)," *Diskret Analiz.*, 3, pp. 25-30 (1964).