

How to Draw a Graph, Revisited

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This talk

1. Review
 - a) Graphs
 - b) Planar graphs

2. How to draw a planar graph?
 - a) Before Tutte: 1920s – 1950s
 - b) Tutte: 1960s
 - c) After Tutte: 1970s – 1990s
 - d) Recent work: since 2000

1. Review

(b) graphs

A graph consists of

- Nodes, and
- Binary relationships called “edges” between the nodes

Example: a “Linked-In” style social network

Nodes:

- Alice, Andrea, Annie, Amelia, Bob, Brian, Bernard, Boyle

Edges

- Bob is connected to Alice
- Bob is connected to Andrea
- Bob is connected to Amelia
- Brian is connected to Alice
- Brian is connected to Andrea
- Brian is connected to Amelia
- Boyle is connected to Alice
- Boyle is connected to Andrea
- Boyle is connected to Annie
- Bernard is connected to Alice
- Bernard is connected to Andrea
- Bernard is connected to Annie

Drawings of graphs

A graph consists of

- Nodes, and
- Binary relationships called “edges” between the nodes

A graph drawing is a picture of a graph

- That is, a graph drawing is a mapping that assigns a location for each node, and a curve to each edge.
- That is, if $G=(V,E)$ is a graph with node set V and edge set E , then a *drawing* $p(G)$ consists of two mappings:

$$p_V: V \rightarrow \mathbb{R}^2$$

$$p_E: E \rightarrow \mathcal{C}^2$$

where \mathbb{R}^2 is the plane and \mathcal{C}^2 is the set of open Jordan curves in \mathbb{R}^2

A social network

Nodes:

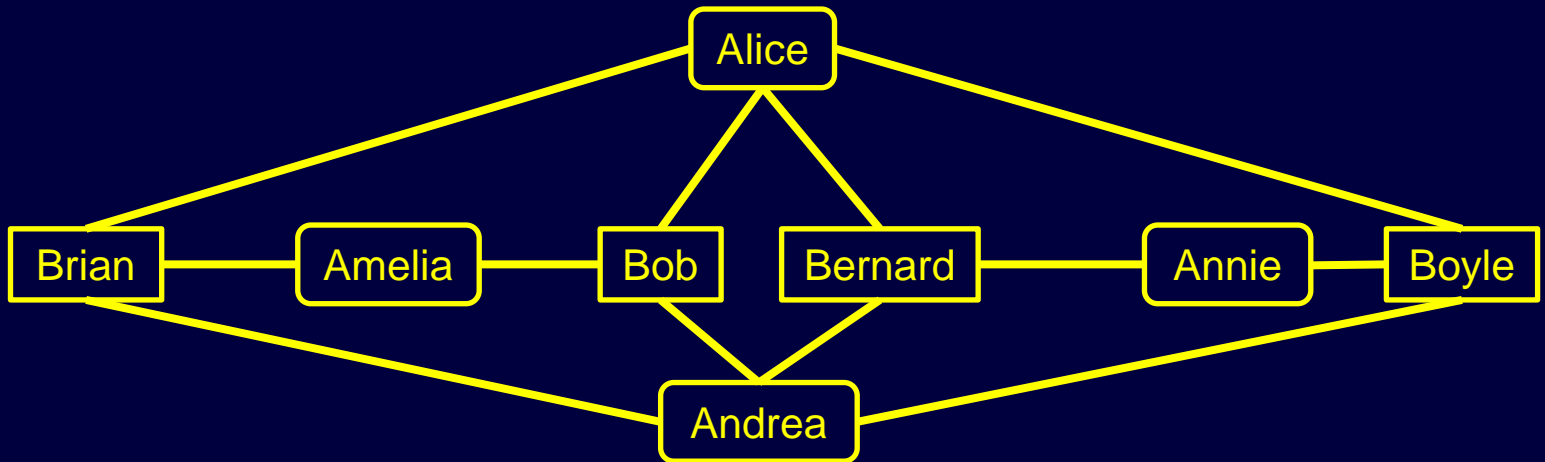
- Bob, Brian, Bernard, Boyle, Alice, Andrea, Annie, Amelia

Edges

- Bob is connected to Alice
- Bob is connected to Andrea
- Bob is connected to Amelia
- Brian is connected to Alice
- Brian is connected to Andrea
- Brian is connected to Amelia
- Boyle is connected to Alice
- Boyle is connected to Andrea
- Boyle is connected to Annie
- Bernard is connected to Alice
- Bernard is connected to Andrea
- Bernard is connected to Annie



A drawing of the social network



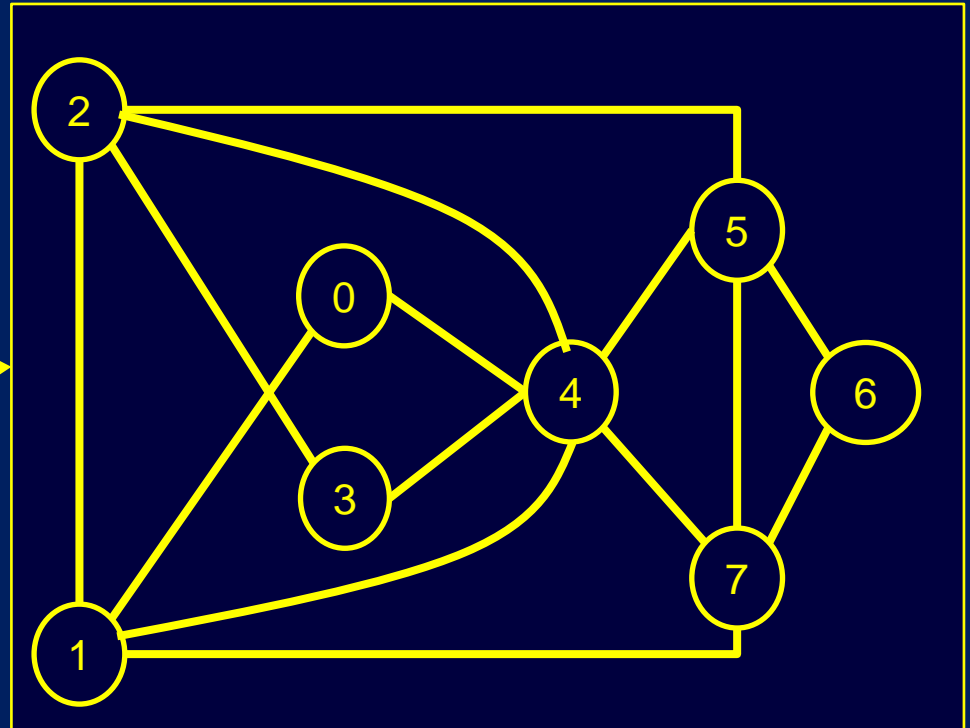
Nodes

0, 1, 2, 3, 4, 5, 6, 7

Edges

0-1
0-4
1-2
1-4
1-7
2-3
2-4
2-5
3-4
4-5
4-7
5-6
5-7
6-7

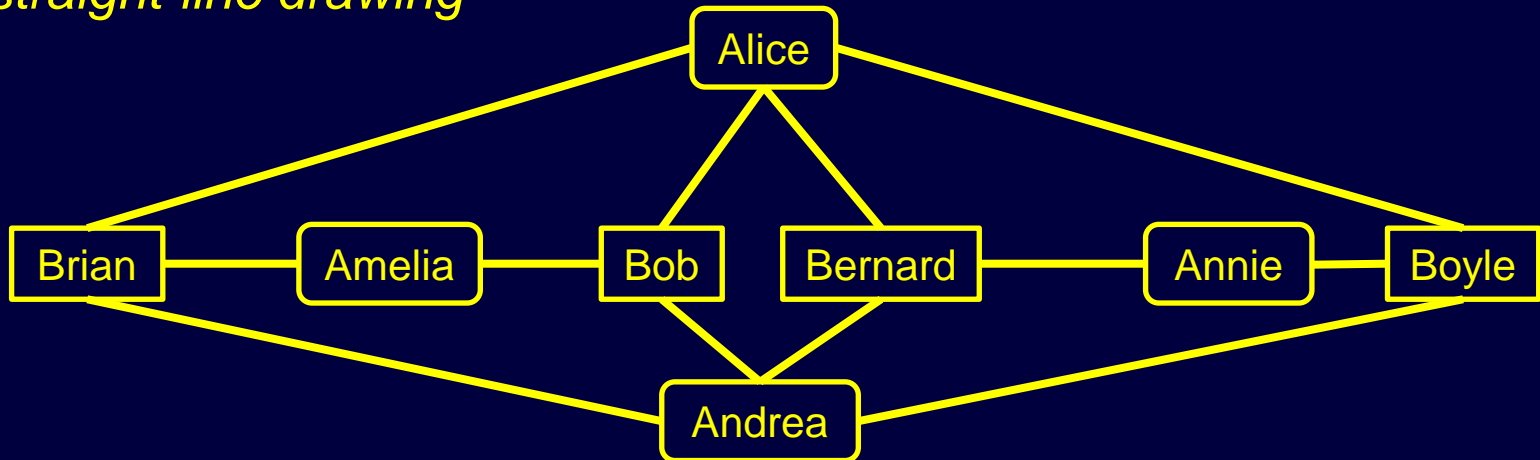
A graph



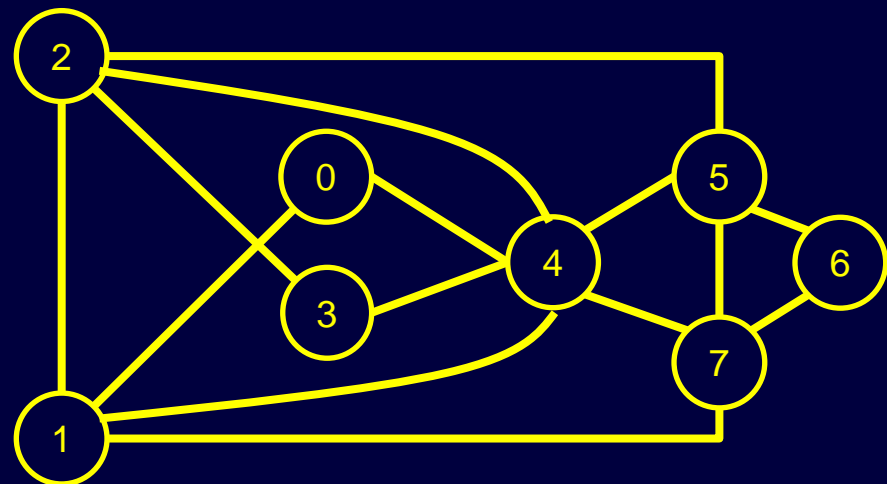
A drawing of the graph

A graph drawing is a straight-line drawing if every edge is a straight line segment.

straight-line drawing



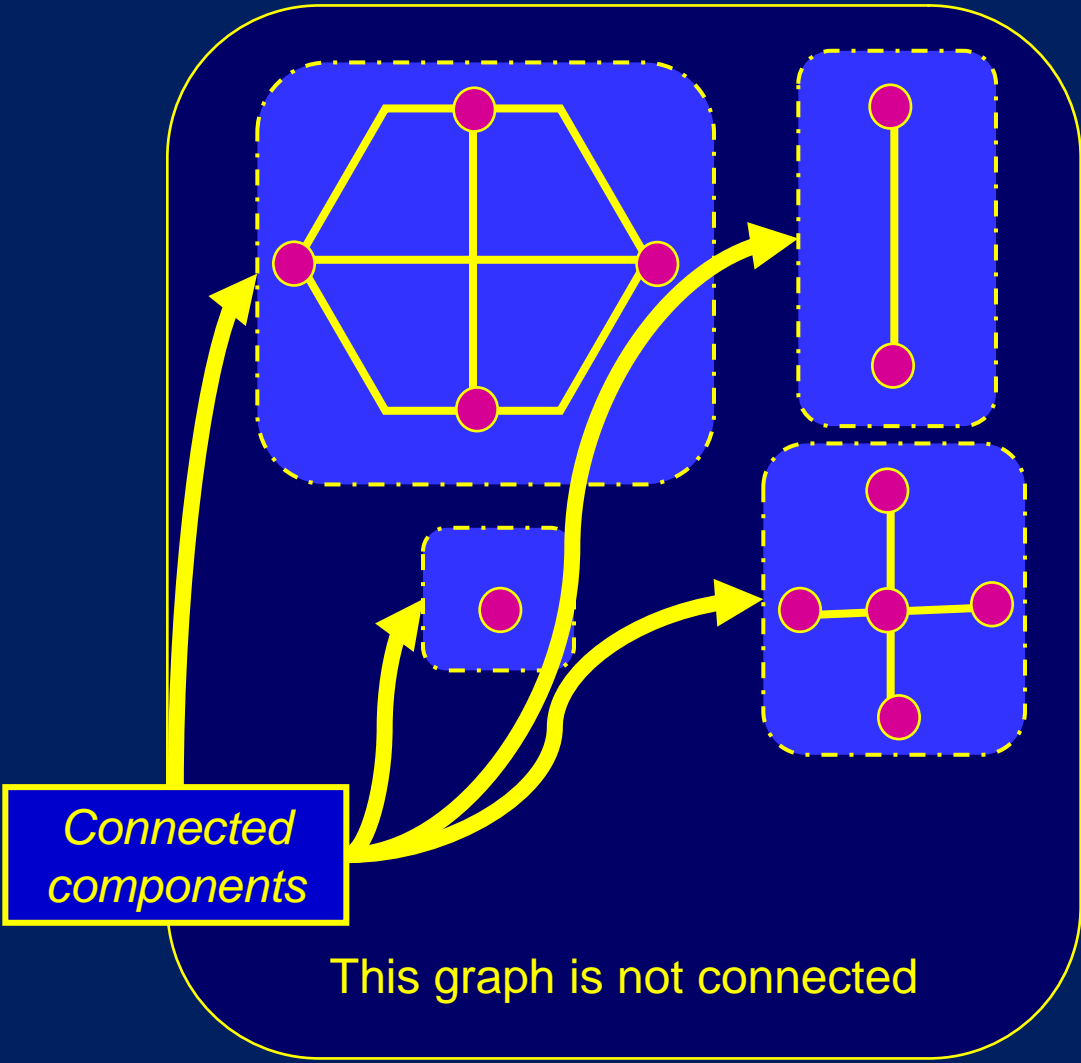
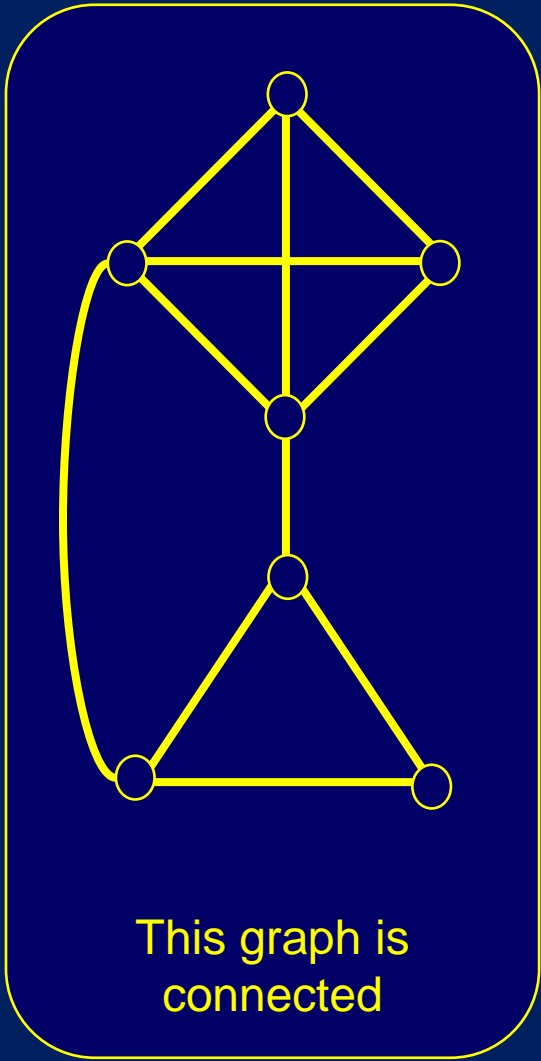
NOT a straight-line drawing



Connectivity of graphs

Connectivity notions are fundamental in any study of graphs or networks

- A graph is connected if for every pair u, v of vertices, there is a path between u and v .
- A graph is k -connected if there is no set of $(k-1)$ vertices whose deletion disconnects the graph.
 - $k = 1$: “1-connected” \equiv “connected”
 - $k = 2$: “2-connected” \equiv “biconnected”
 - $k = 3$: “3-connected” \equiv “triconnected”

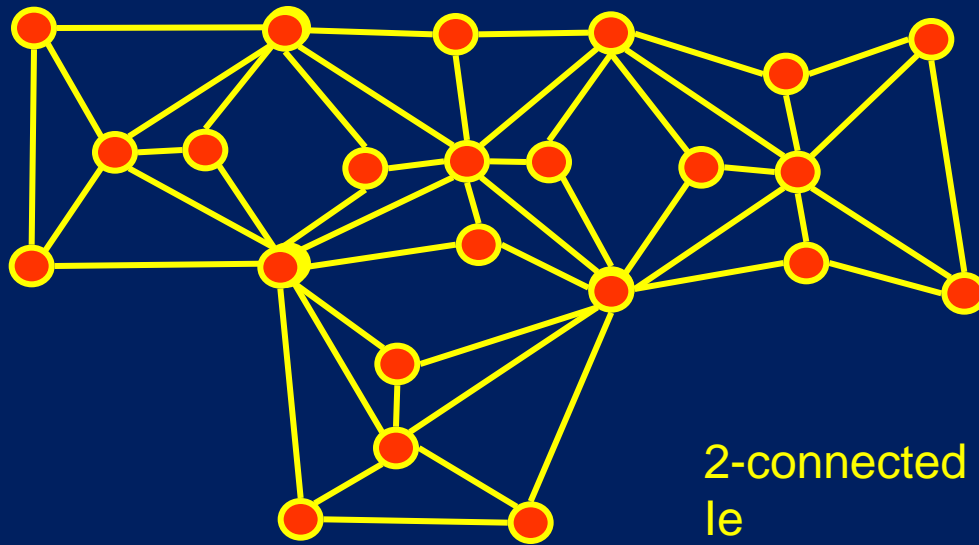


Connectivity notions are fundamental in any study of networks

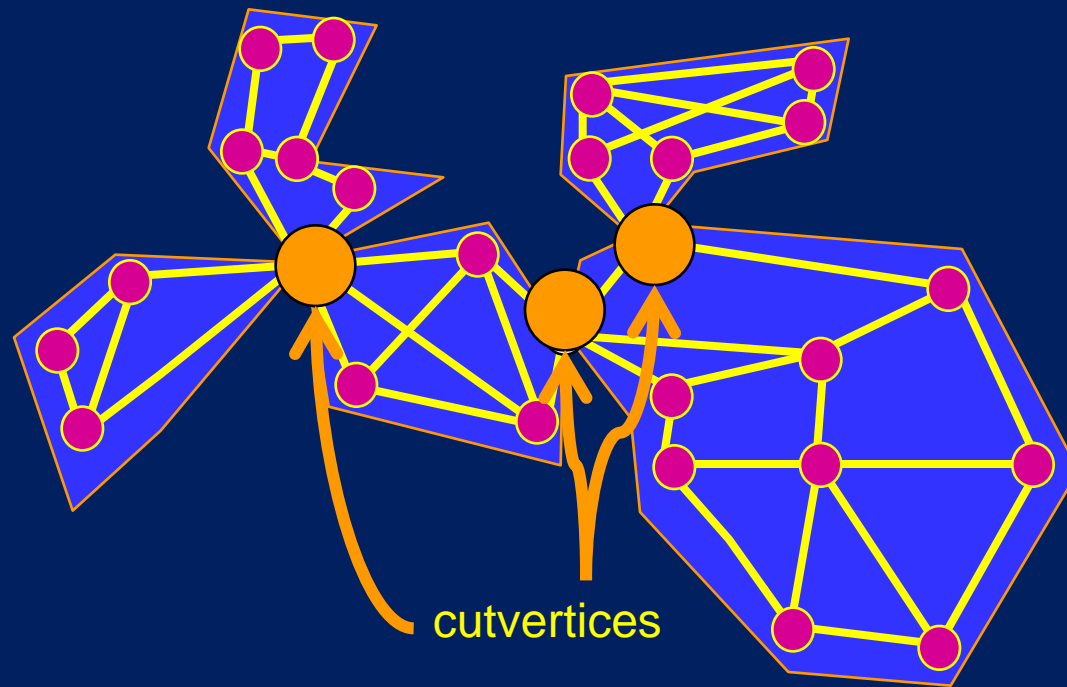
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“2-connected” \equiv “biconnected”

- A cutvertex is a vertex whose removal would disconnect the graph.
- A graph without cutvertices is biconnected.



2-connected graph,
le
Biconnected graph

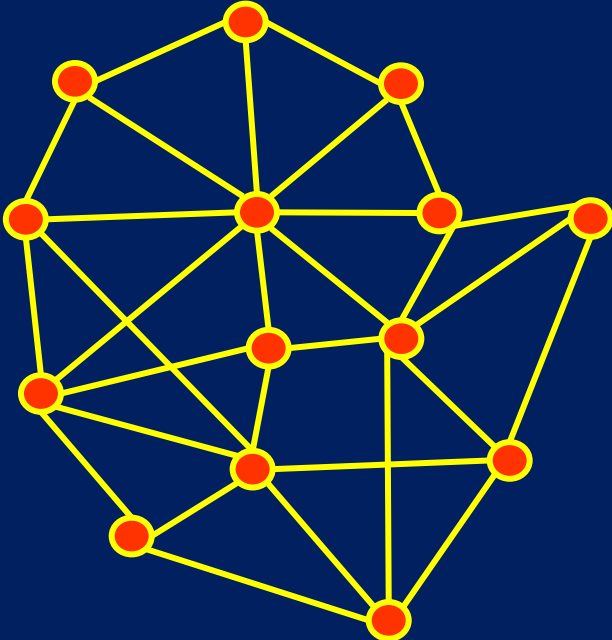


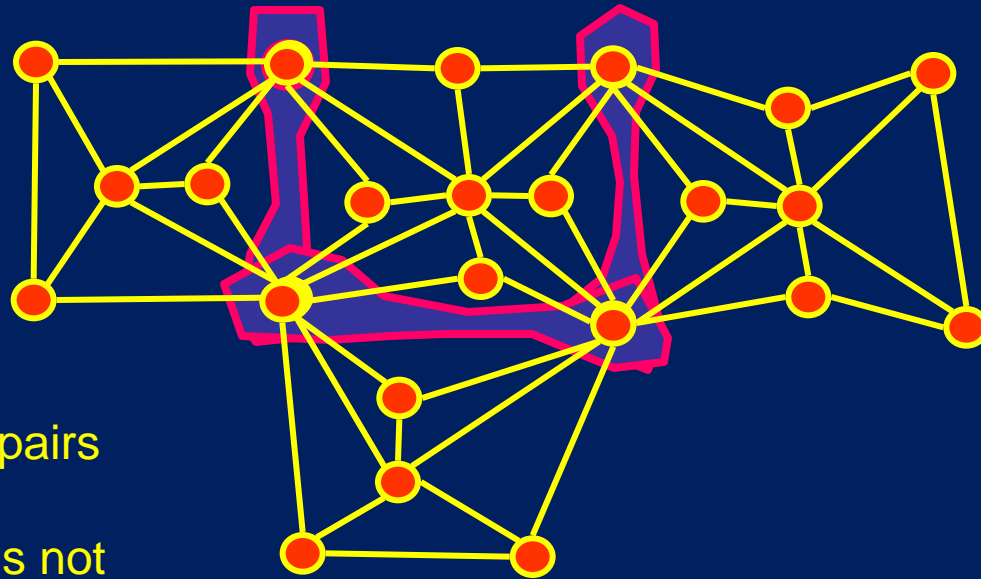
This graph is not
biconnected

“3-connected” \equiv “triconnected”

- A separation pair is a pair of vertices whose removal would disconnect the graph.
- A graph without separation pairs is triconnected.

This graph is
triconnected



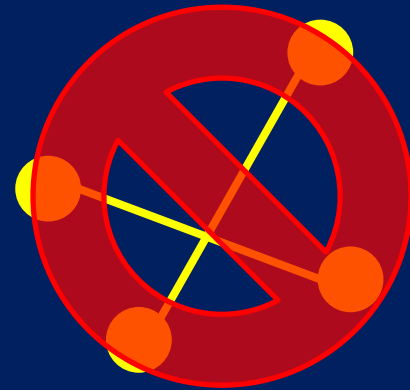


Separation pairs

This graph is not
triconnected

1. (b) Review of Planar graphs

A graph is planar if it can be drawn without edge crossings.



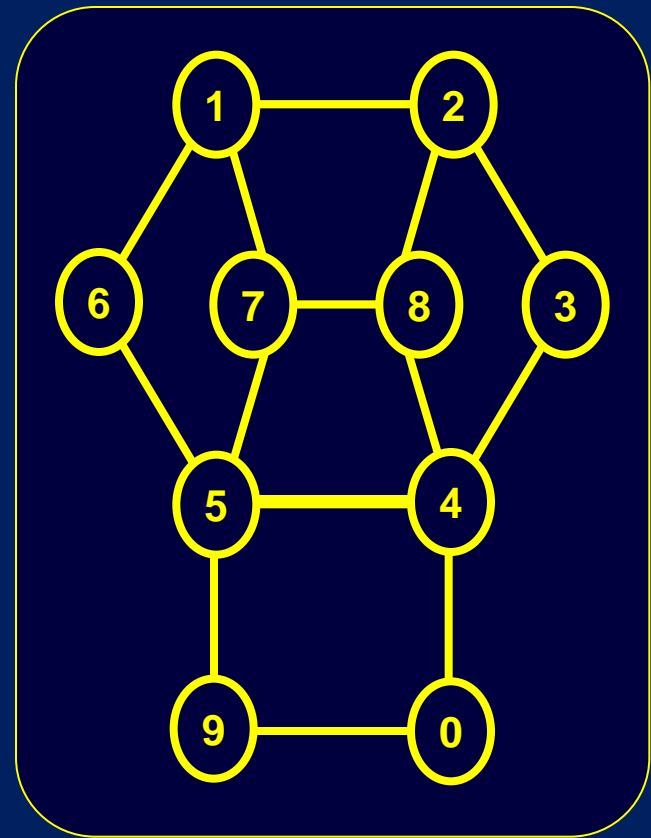
A graph is planar if it can be drawn without edge crossings.

Nodes:

- 0,1,2,3,4,5,6,7,8,9

Edges

- 0-4
- 0-9
- 1-2
- 1-6
- 1-7
- 2-3
- 2-8
- 3-4
- 4-5
- 4-8
- 5-6
- 5-7
- 7-8



A graph is planar if it can be drawn without edge crossings.

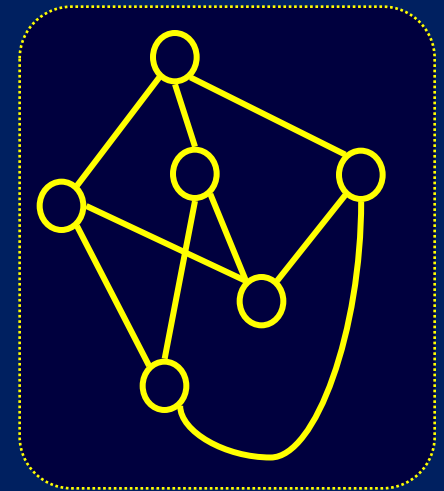
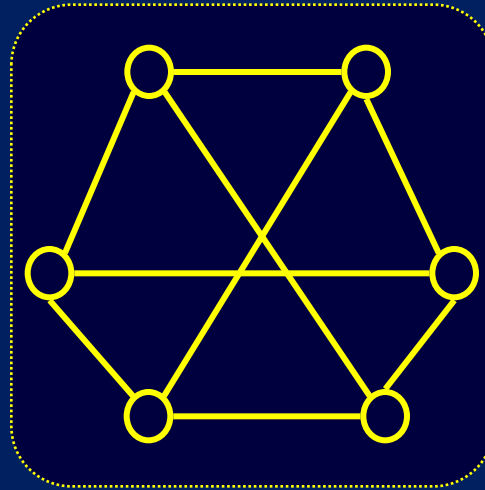
Non-planar

Nodes:

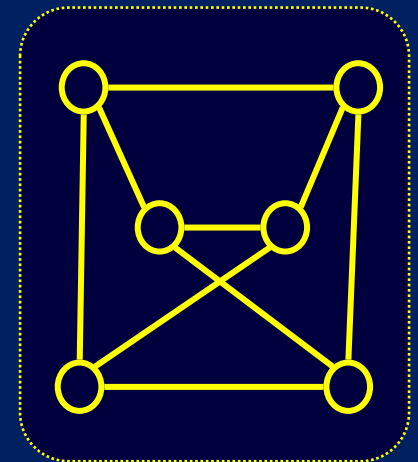
- 0,1,2,3,4,5

Edges

- 0-1
- 0-3
- 0-5
- 1-2
- 1-4
- 2-3
- 2-5
- 3-4
- 4-5

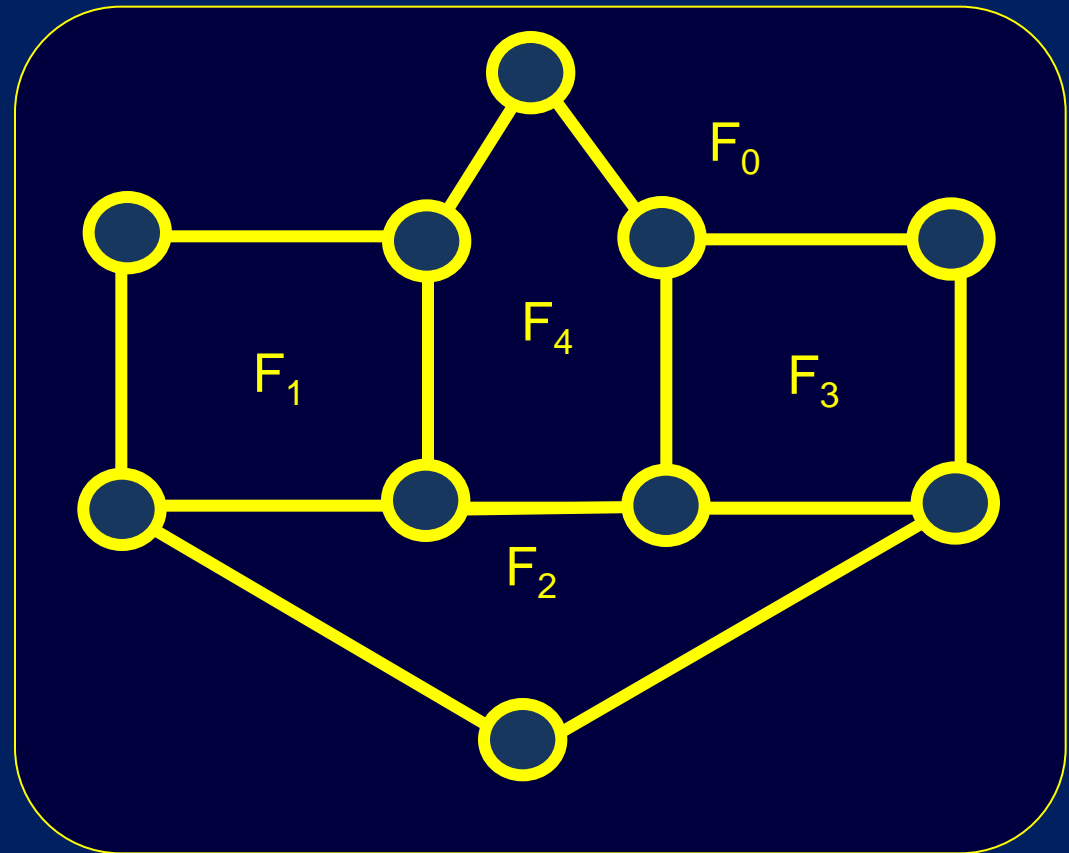


A graph is non-planar if every drawing has at least one edge crossing.



There is a lot of theory about planar graphs

A planar drawing divides the plane into faces.



F_0 shares a boundary with F_1
 F_0 shares a boundary with F_2
 F_0 shares a boundary with F_3
 F_0 shares a boundary with F_4
 F_1 shares a boundary with F_2
 F_1 shares a boundary with F_4
 F_2 shares a boundary with F_1
 F_2 shares a boundary with F_3
 F_2 shares a boundary with F_4
 F_3 shares a boundary with F_4

The boundary-sharing relationships of the faces defines a topological embedding of the graph drawing

Euler formula

If

$n = \text{\#vertices}$

$f = \text{\#faces}$

$m = \text{\#edges}$

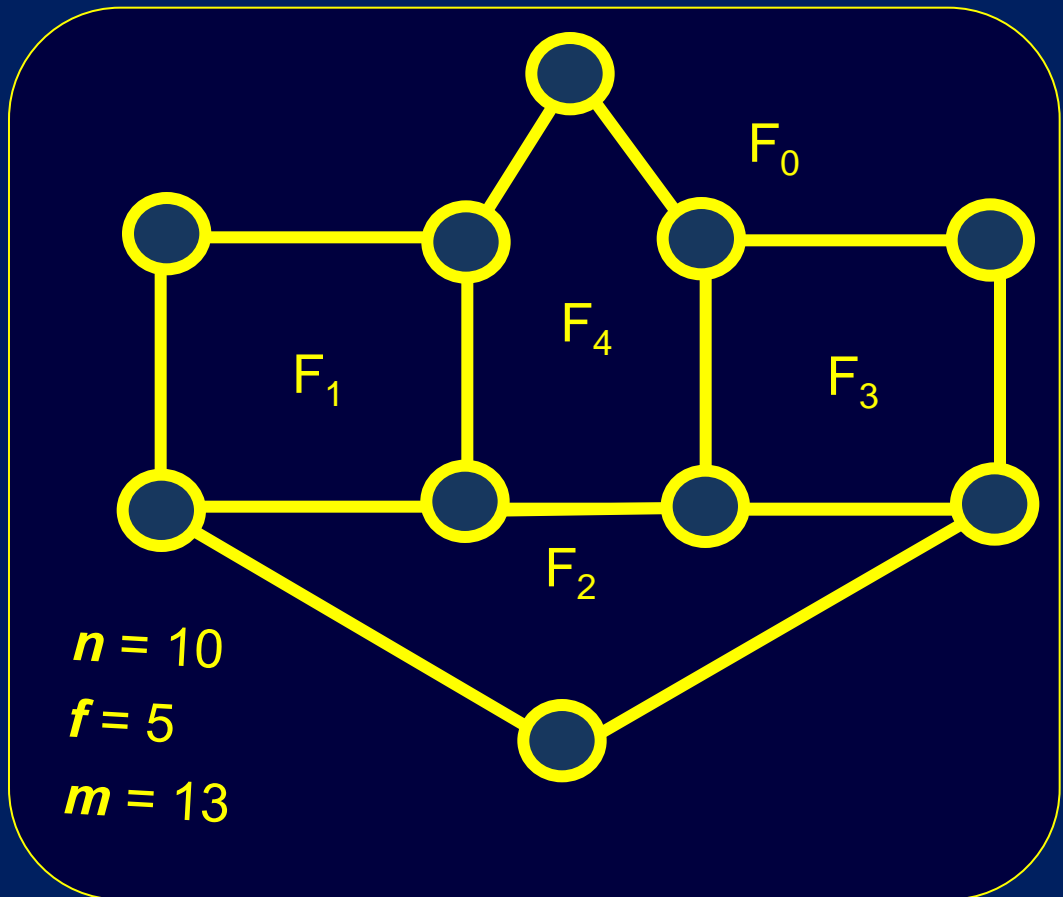
then

$$n + f = m + 2$$

Corollary $m \leq 3n - 6$

Corollary

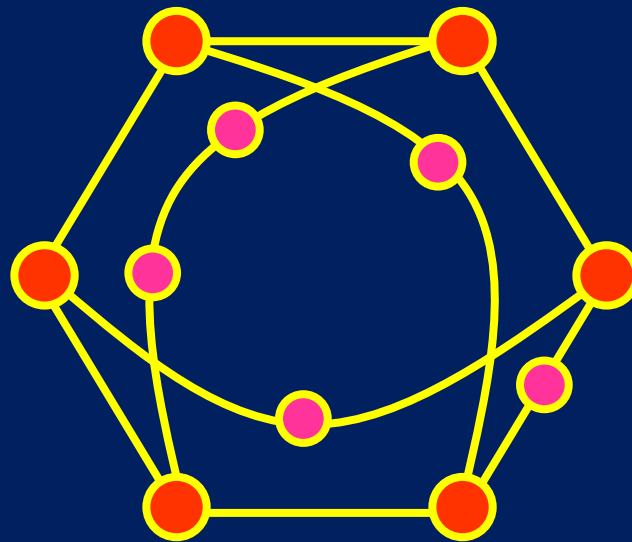
If $m = 3n - 6$ then every face is a triangle



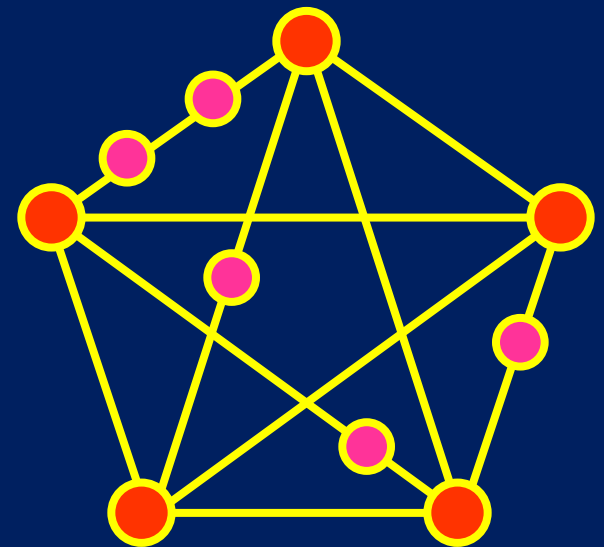
Kuratowski's Theorem (1930)

A graph is planar if and only if it does not contain a subgraph that is a subdivision of K_5 or $K_{3,3}$.

Forbidden subgraphs



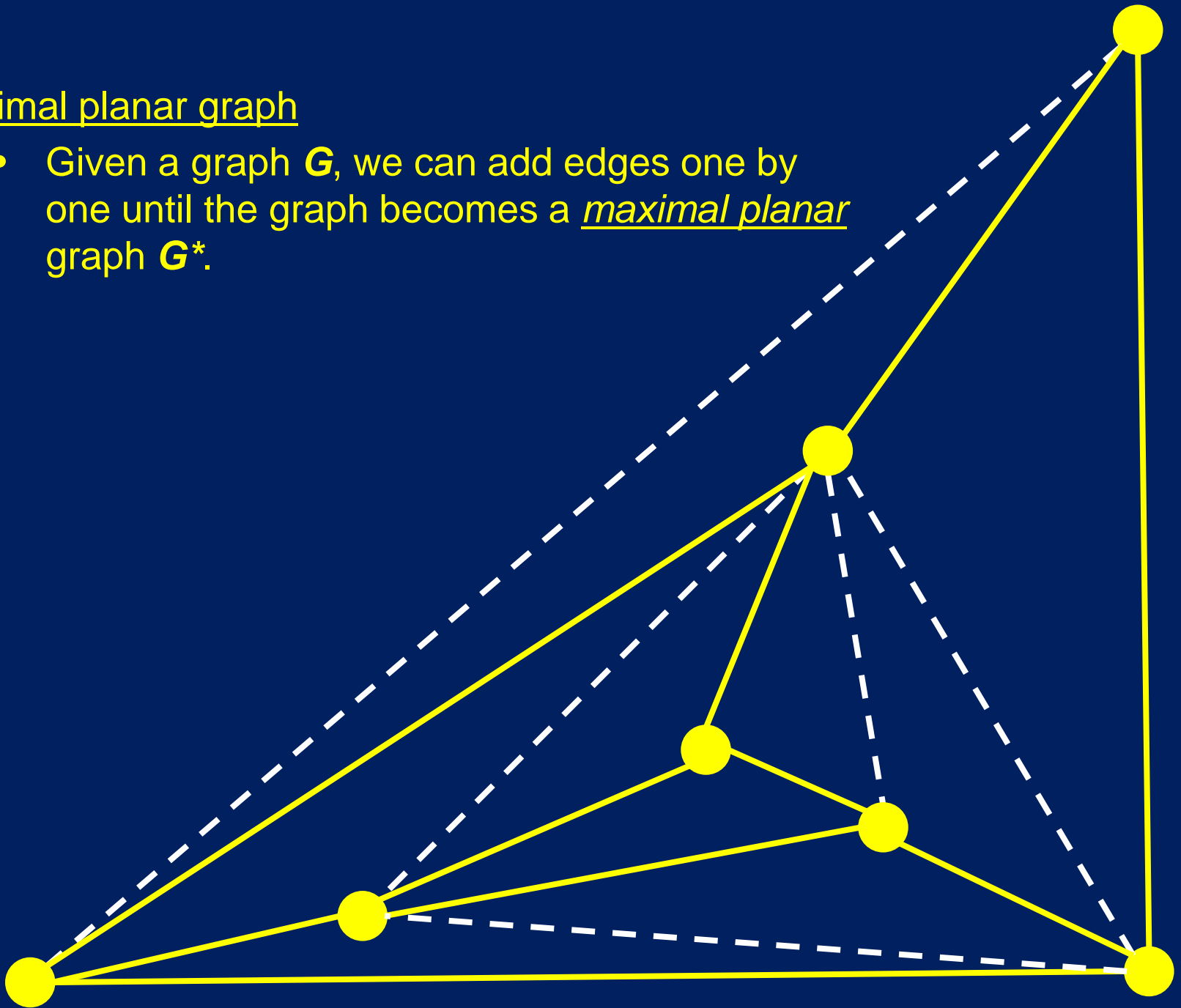
$K_{3,3}$



K_5

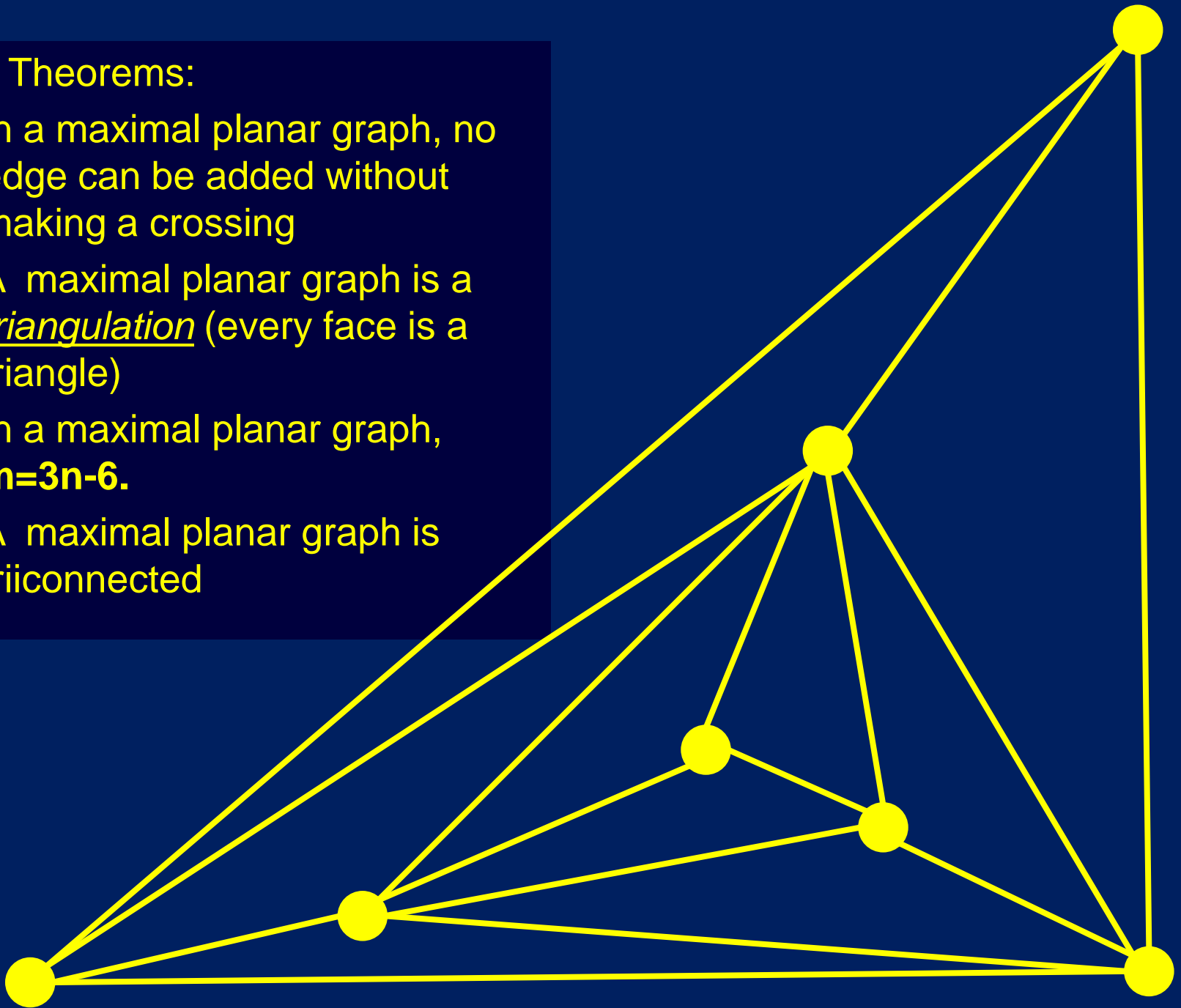
Maximal planar graph

- Given a graph G , we can add edges one by one until the graph becomes a maximal planar graph G^* .



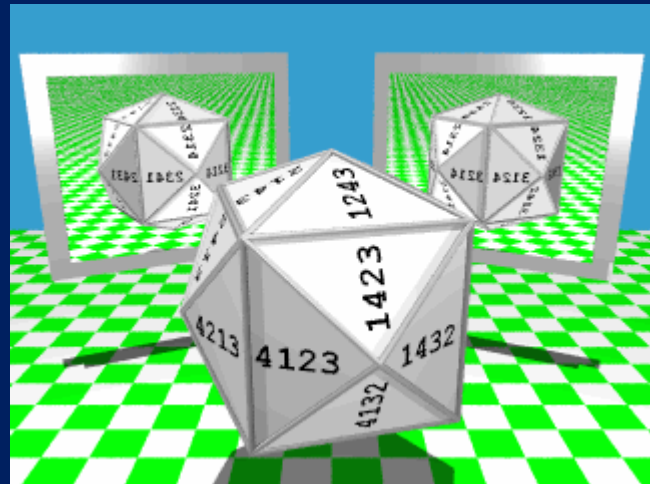
Easy Theorems:

- In a maximal planar graph, no edge can be added without making a crossing
- A maximal planar graph is a triangulation (every face is a triangle)
- In a maximal planar graph, **$m=3n-6$** .
- A maximal planar graph is triiconnected



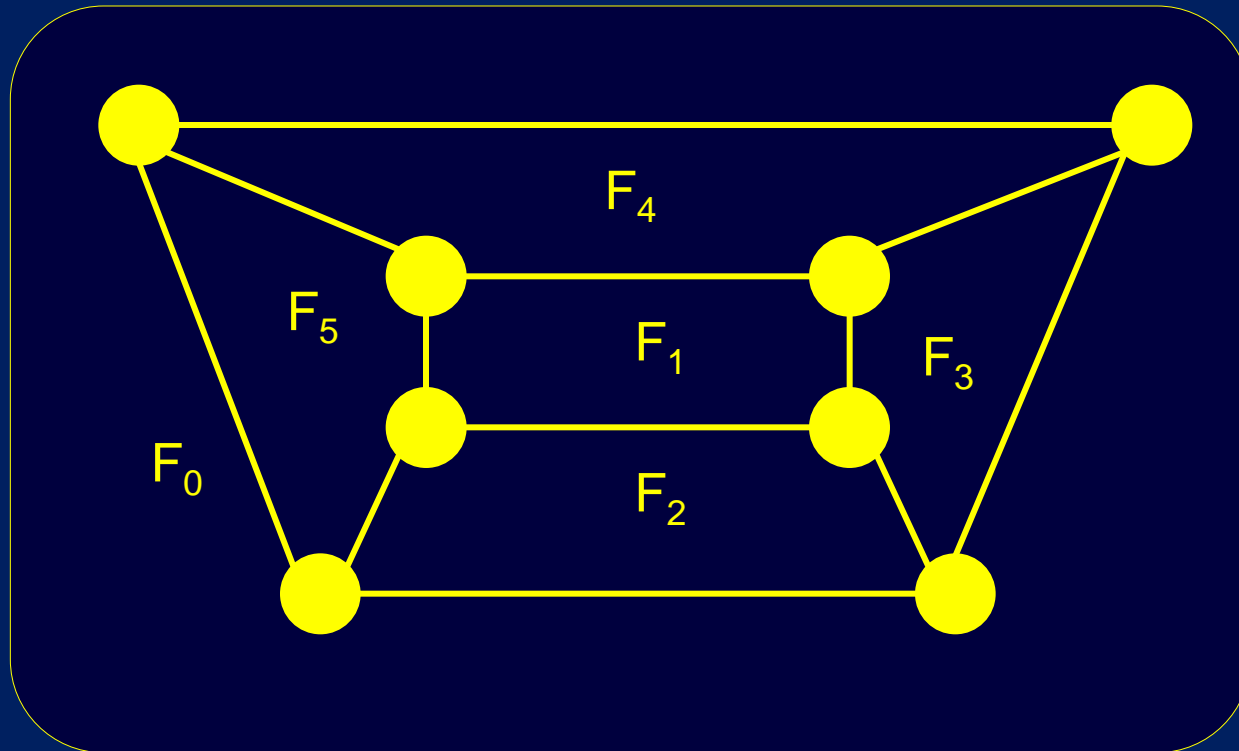
Steinitz Theorem (1922)

Every triconnected planar graph
is the skeleton of a convex
polyhedron



Whitney's Theorem (1933)

There is only one topological embedding of a triconnected planar graph (on the sphere).



2. How to draw a planar graph

The classical graph drawing problem:

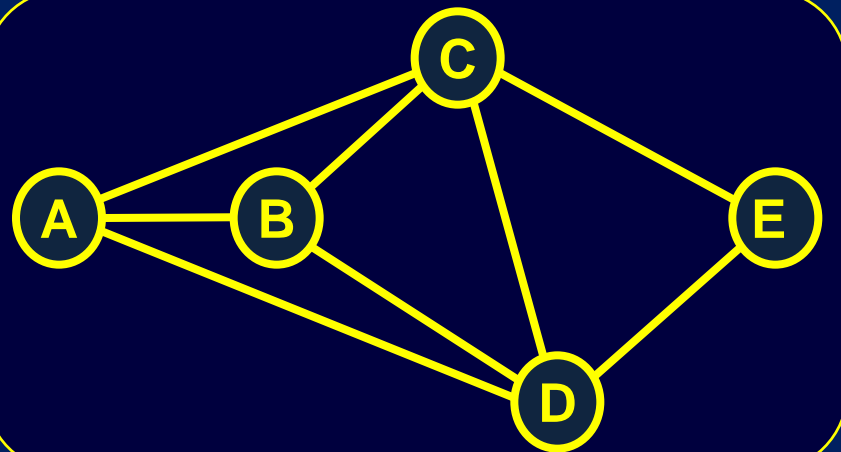
– *How to draw a graph?*

*The input is a graph
with no geometry*

```
A - B, C, D
B - A, C, D
C - A, B, D, E
D - A, B, C, E
E - C, D
```

?

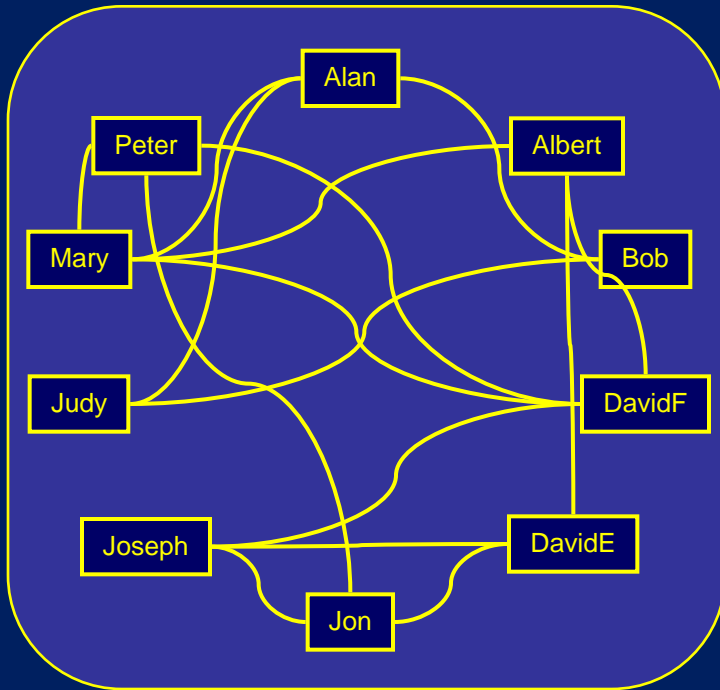
*The output is a drawing of the graph;
the drawing should be easy to understand,
easy to remember, beautiful.*



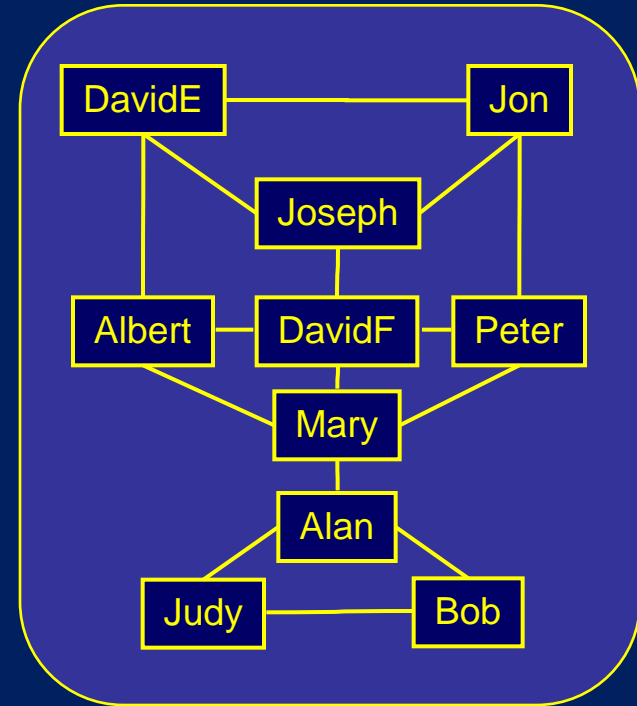
Question: What makes a good drawing of a graph?

Answer: Many things, including

- lack of edge crossings (planar drawings are good!)
- straightness of edges (straight-line drawings are good)



Bad picture



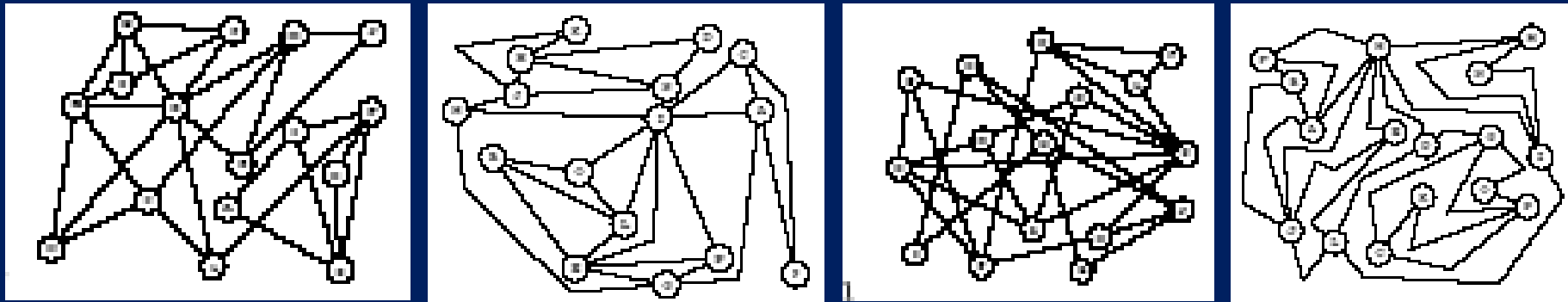
Good picture

~1979 Intuition (Sugiyama et al.):

- Planar straight-line drawings make good pictures

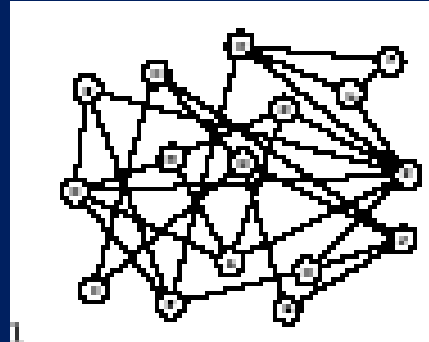
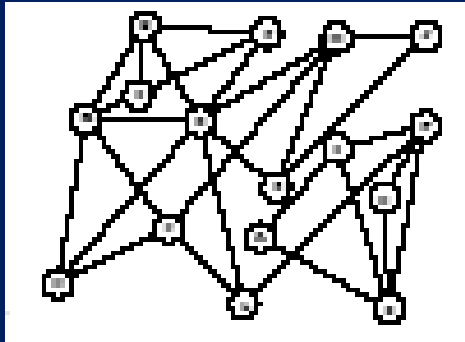
1997+: Science confirms the intuition

➤ Human experiments by Purchase and others



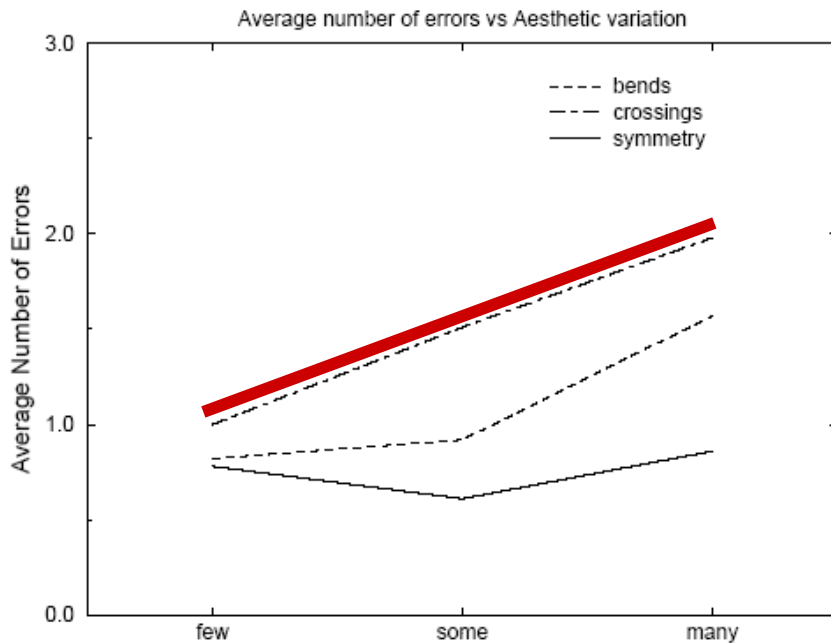
4 • H.C.Purchase, R.F.Cohen and M.I.James

- (1) How long is the shortest path between two given nodes?
- (2) What is the minimum number of nodes that must be removed in order to disconnect two given nodes such that there is no path between them?
- (3) What is the minimum number of arcs that must be removed in order to disconnect two given nodes such that there is no path between them?



Purchase et al., 1997:
 Significant correlation between edge crossings and human understanding

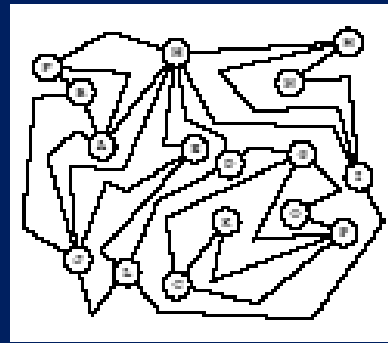
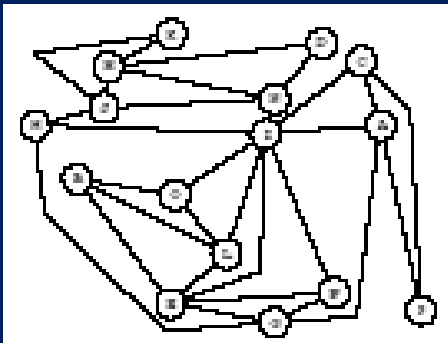
➤ *More edge crossings means more human errors in understanding*



bends	6	18	30
crossings	6	24	42
symmetry	4.6	25.7	51

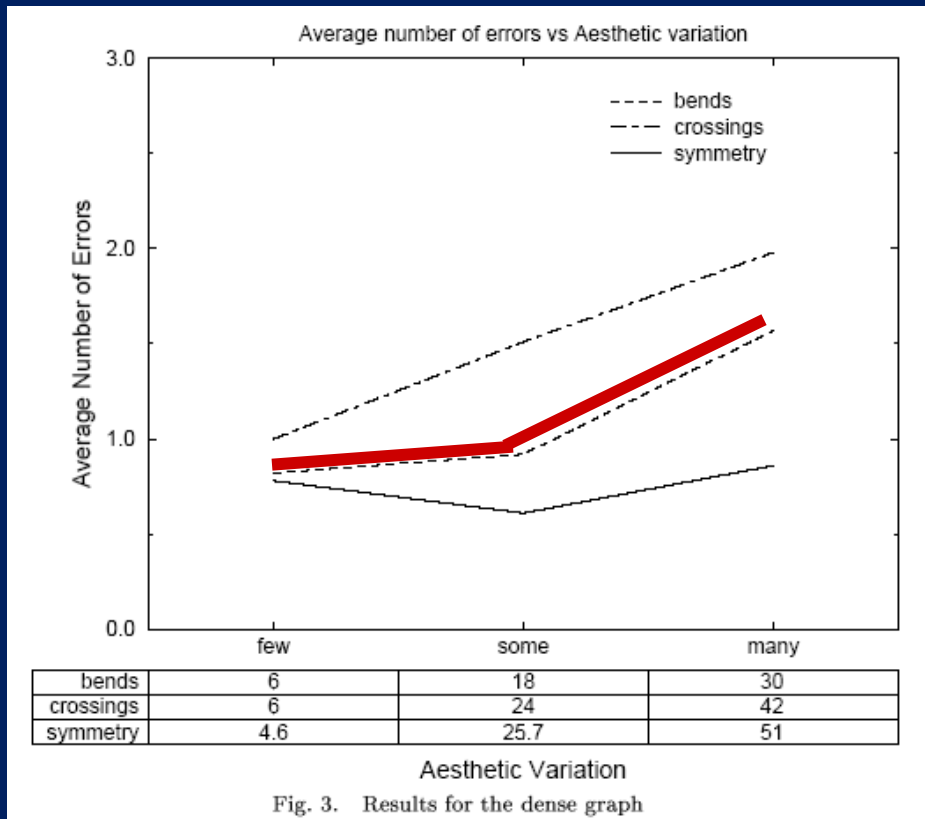
Aesthetic Variation

Fig. 3. Results for the dense graph



Purchase et al., 1997:
 Significant correlation between straightness of edges and human understanding

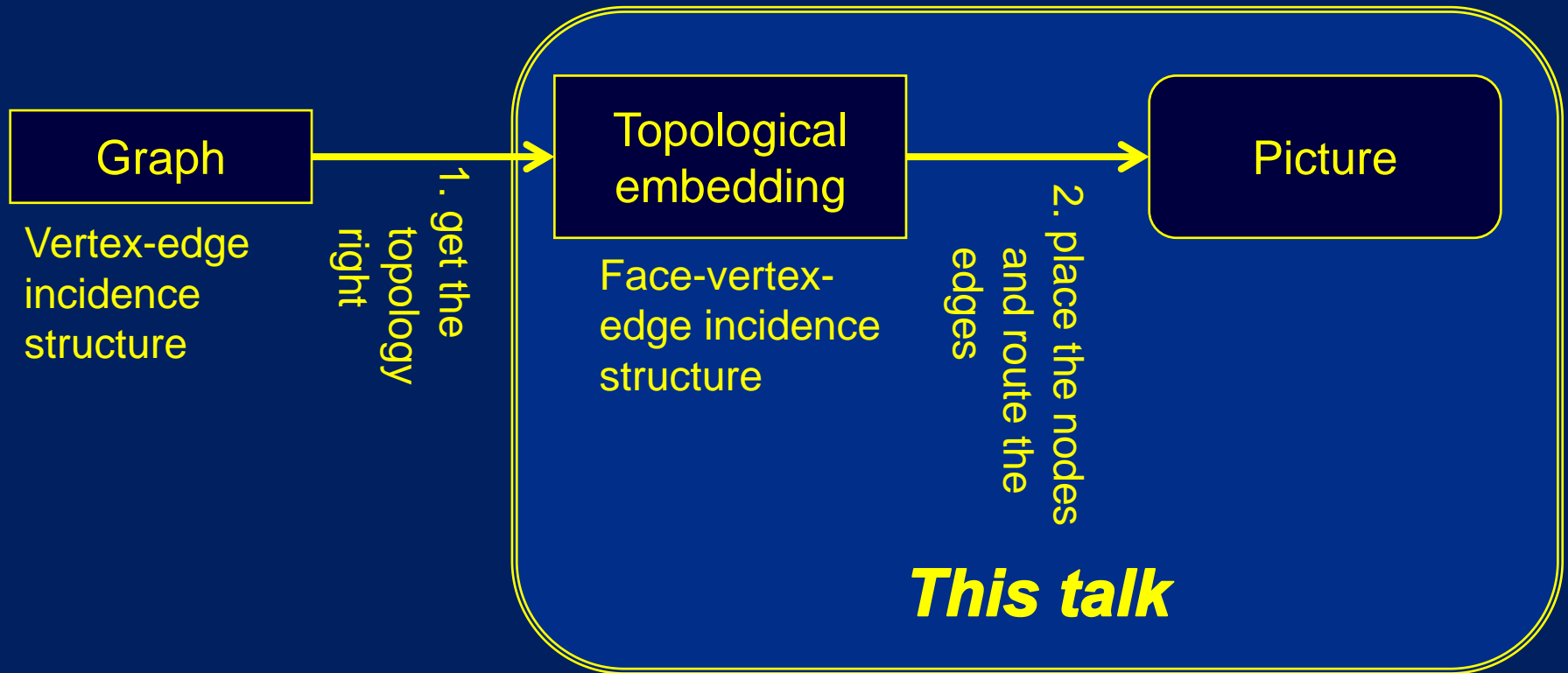
➤ *More bends mean more human errors in understanding*



How to make a planar drawing
of a planar graph?

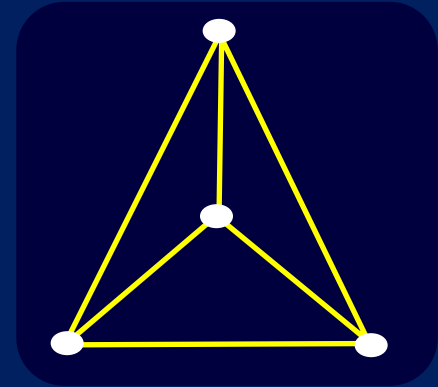
How to make a planar drawing of a planar graph:

1. Get the topology right
2. Place the nodes and route the edges



Straight-line drawings

- Each edge is a straight line segment

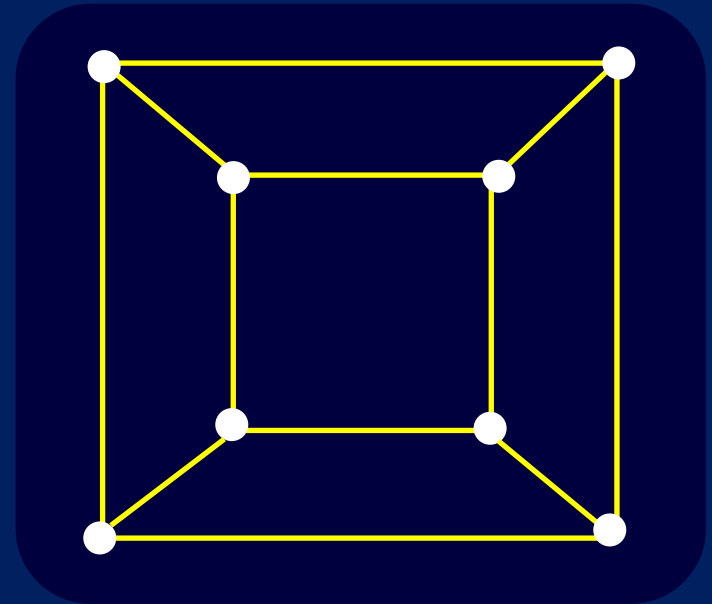


This talk is about planar straight-line drawings

Important note: a straight-line drawing of a graph $G=(V,E)$ can be specified with a mapping

$$p: V \rightarrow \mathbb{R}^2$$

that gives a position $p(u)$ in \mathbb{R}^2 for each vertex u in V .



2. How to draw a planar graph?

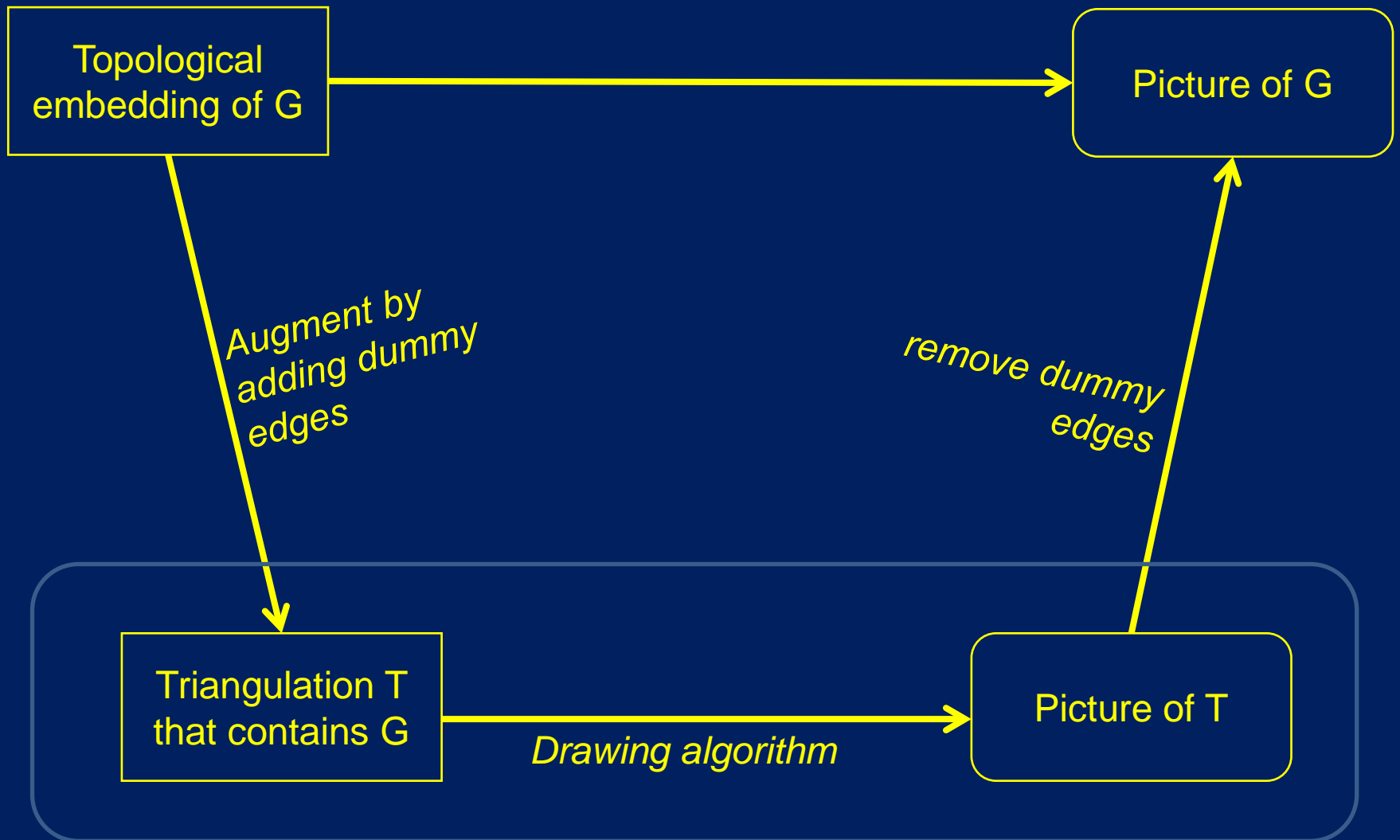
a) Before Tutte: 1920s – 1950s

Fáry's Theorem Every topological embedding of a planar graph has a straight-line planar drawing.

Proved independently by Wagner (1936), Fáry (1948) and Stein (1951)

Wikipedia proof of Fáry's Theorem

First note that it is enough to prove it for triangulations.



We prove Fáry's theorem by induction on the number of vertices.

If G has only three vertices, then it is easy to create a planar straight-line drawing.

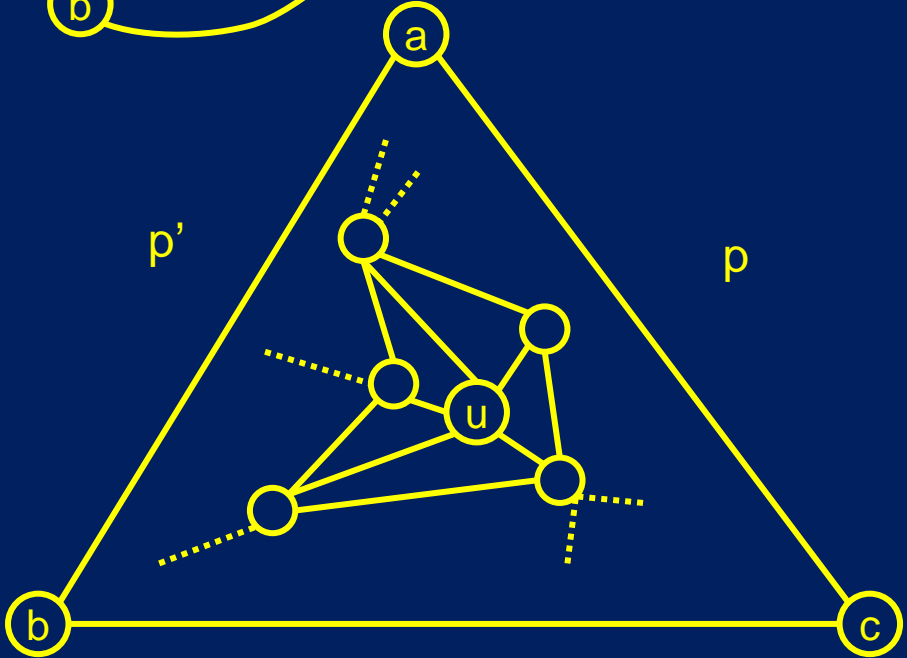
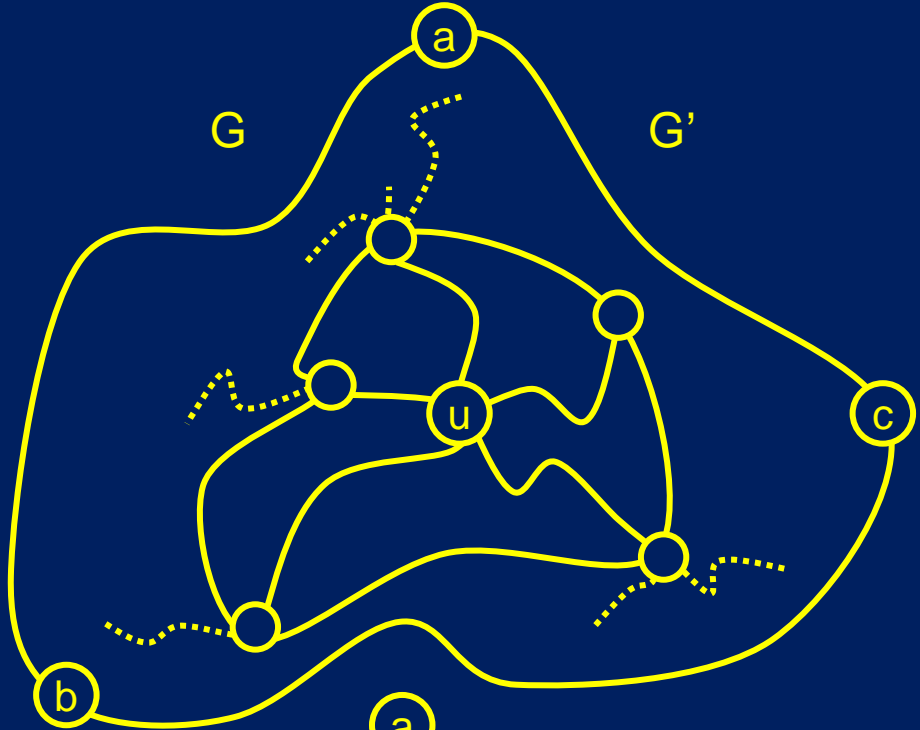
Suppose G has $n > 3$ vertices and $3n - 6$ edges, and that the outer face of G is the triangle $\langle abc \rangle$.

Since every vertex has degree at least 3, one can show that there is a vertex u not on the outside face with degree at most 5.

Delete u from G to form G' ; this gives a face F of G of size at most 5.

Since G' has $n - 1$ vertices, by induction it has a planar straight-line drawing p' .

Since F has at most 5 vertices, it is star-shaped, and we can place the vertex u in the kernel of F to give a planar straight-line drawing p of G



2. How to draw a planar graph?

a) Before Tutte: 1920s – 1950s

b) Tutte

W. Tutte, How to Draw a Graph,
*Proceedings of the London Mathematical
Society* 13, pp743 – 767, 1960

Tutte's barycentre algorithm

Input:

- A graph $G = (V, E)$

Output

- A straight-line drawing p

Step 1. Choose a subset A of V

Step 2. Choose p location $p(a) = (x_a, y_a)$ for each vertex $a \in A$

Step 3. For all $u \in V - A$,

$$p(u) = (\sum p(v)) / \text{deg}(u),$$

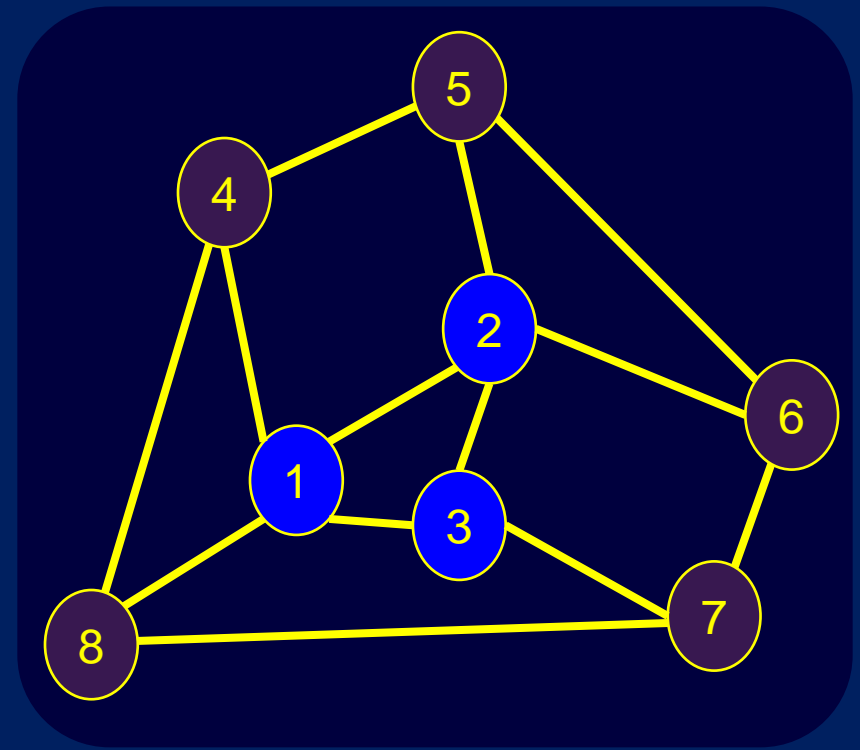
where the sum is over all neighbors v of u

Vertex u is placed at the barycenter of its neighbors

This is two sets of equations, one for x coordinates and one for y coordinates

Tutte's barycenter algorithm

1. Choose a set A of vertices.
2. Choose a location $p(a)$ for each $a \in A$
3. For each vertex $u \in V - A$, place u at the barycentre of its graph- theoretic neighbors.



Example

Step 1. $A = \{4, 5, 6, 7, 8\}$

Step 2. For all $i = 4, 5, 6, 7, 8$,
choose x_i and y_i in some way.

Step 3. Find $x_1, y_1, x_2, y_2, x_3,$ and y_3
such that:

$$x_1 = \frac{1}{4}(x_2 + x_3 + x_4^* + x_8^*)$$

$$x_2 = \frac{1}{4}(x_1 + x_3 + x_5^* + x_6^*)$$

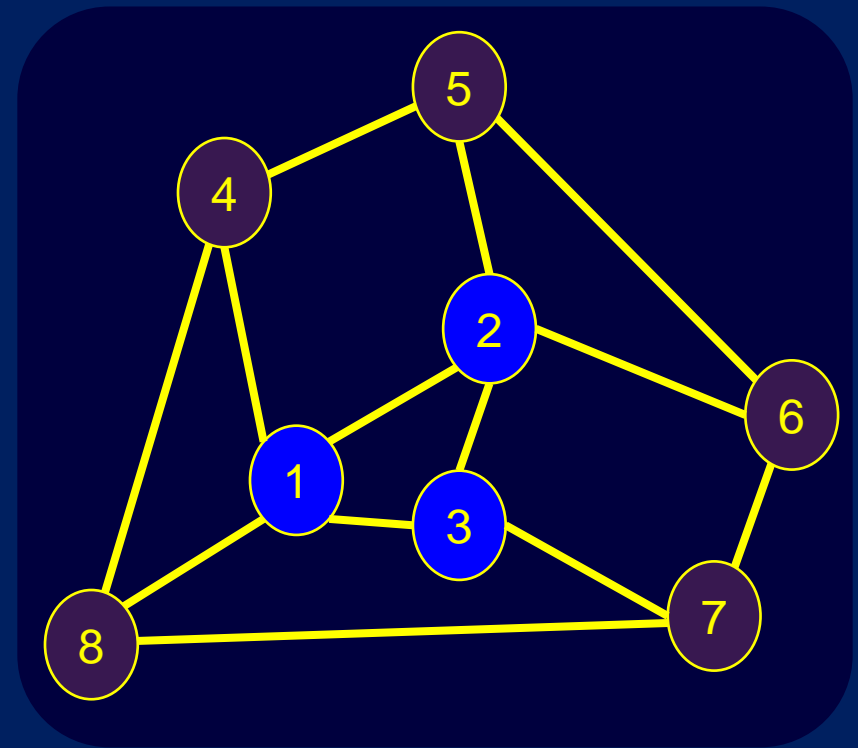
$$x_3 = \frac{1}{3}(x_1 + x_2 + x_7^*)$$

and

$$y_1 = \frac{1}{4}(y_2 + y_3 + y_4^* + x_8^*)$$

$$y_2 = \frac{1}{4}(y_1 + y_3 + y_5^* + y_6^*)$$

$$y_3 = \frac{1}{3}(y_1 + y_2 + y_7^*)$$



Step 1. $A = \{4, 5, 6, 7, 8\}$

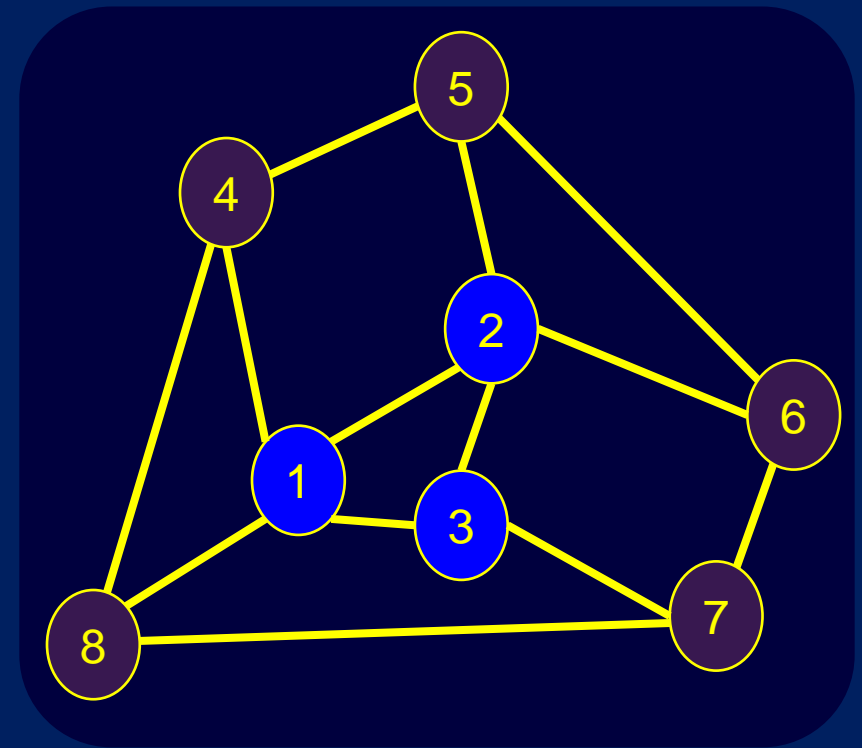
Step 2. For all $i = 4, 5, 6, 7, 8$, choose x_i and y_i in some way.

Step 3. Find x_1, y_1, x_2, y_2, x_3 , and y_3 such that:

$$x_1 = \frac{1}{4}(x_2 + x_3 + x_4^* + x_8^*)$$

$$x_2 = \frac{1}{4}(x_1 + x_3 + x_5^* + x_6^*)$$

$$x_3 = \frac{1}{3}(x_1 + x_2 + x_7^*)$$



and

$$y_1 = \frac{1}{4}(y_2 + y_3 + y_4^* + x_8^*)$$

$$y_2 = \frac{1}{4}(y_1 + y_3 + y_5^* + y_6^*)$$

$$y_3 = \frac{1}{3}(y_1 + y_2 + y_7^*)$$

$$\begin{aligned} 4y_1 - y_2 - y_3 &= y_4^* + x_8^* = d_1 \\ -y_1 + 4y_2 - y_3 &= y_5^* + y_6^* = d_2 \\ y_1 + y_2 + 3y_3 &= y_7^* = d_3 \end{aligned}$$

$$\begin{pmatrix} 4 & -1 & -1 \\ -1 & 4 & -1 \\ -1 & -1 & 3 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \end{pmatrix}$$

Step 1. $A = \{4, 5, 6, 7, 8\}$

Step 2. For all $i = 4, 5, 6, 7, 8$, choose x_i and y_i in some way.

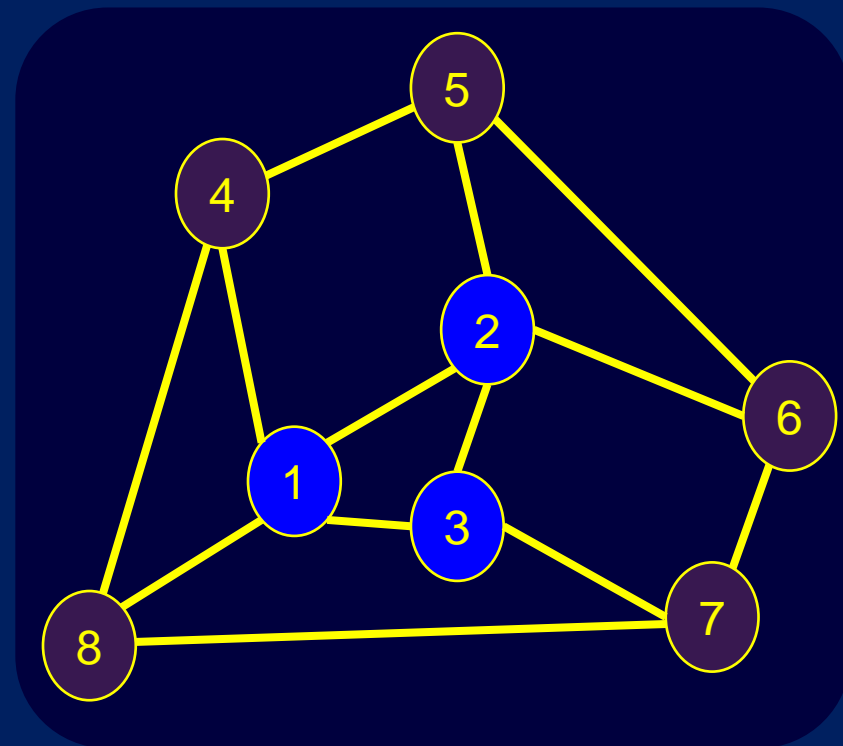
Step 3. Find $x_1, y_1, x_2, y_2, x_3,$ and y_3 such that:

$$x_1 = \frac{1}{4}(x_2 + x_3 + x_4^* + x_8^*)$$

$$x_2 = \frac{1}{4}(x_1 + x_3 + x_5^* + x_6^*)$$

$$x_3 = \frac{1}{3}(x_1 + x_2 + x_7^*)$$

$$x = Mc$$



and

$$y_1 = \frac{1}{4}(y_2 + y_3 + y_4^* + x_8^*)$$

$$y_2 = \frac{1}{4}(y_1 + y_3 + y_5^* + y_6^*)$$

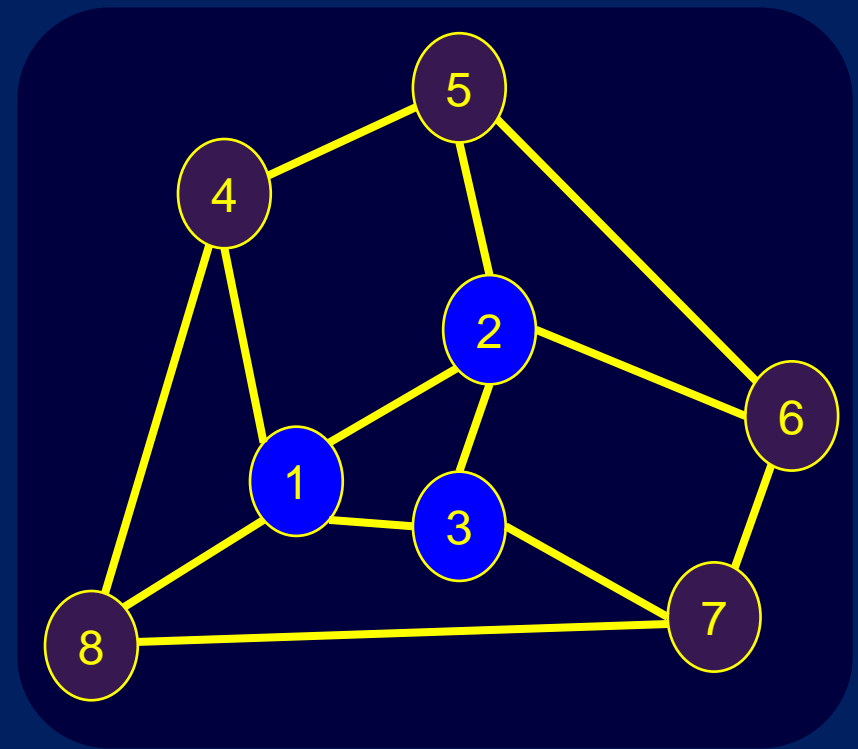
$$y_3 = \frac{1}{3}(y_1 + y_2 + y_7^*)$$

$$y = Md$$

$$M = \begin{pmatrix} 4 & -1 & -1 \\ -1 & 4 & -1 \\ -1 & -1 & 3 \end{pmatrix}$$

Tutte's barycentre algorithm

- The essence of the algorithm is in inverting the matrix M
 - Can be done in time $O(n^3)$
 - This is a special matrix:
Laplacian submatrix.
 - Many software packages can solve such equations efficiently,

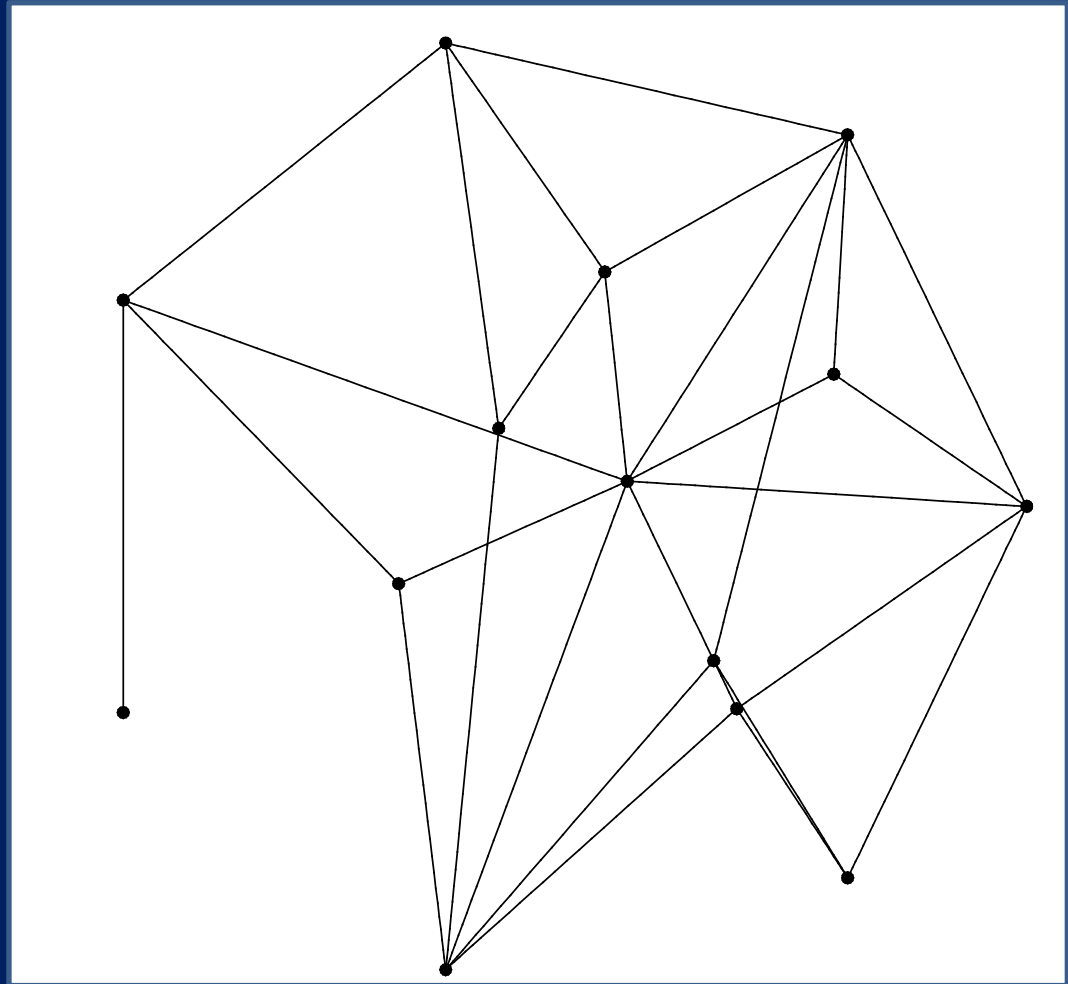


$$\begin{pmatrix} 4 & -1 & -1 \\ -1 & 4 & -1 \\ -1 & -1 & 3 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \end{pmatrix}$$

$$\begin{pmatrix} 4 & -1 & -1 \\ -1 & 4 & -1 \\ -1 & -1 & 3 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

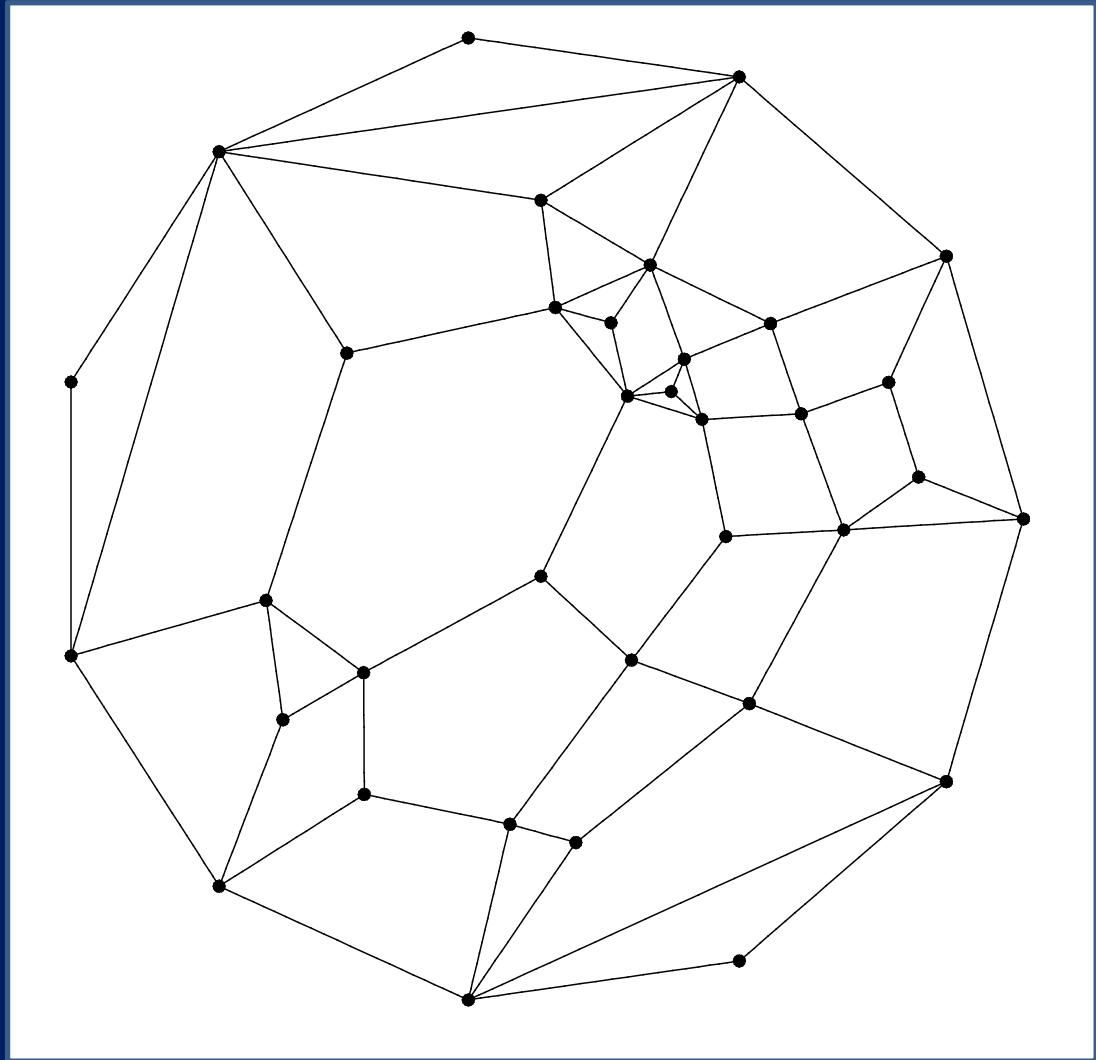
Tutte's barycentre algorithm

- Example output on a non-planar graph



Tutte's barycentre algorithm

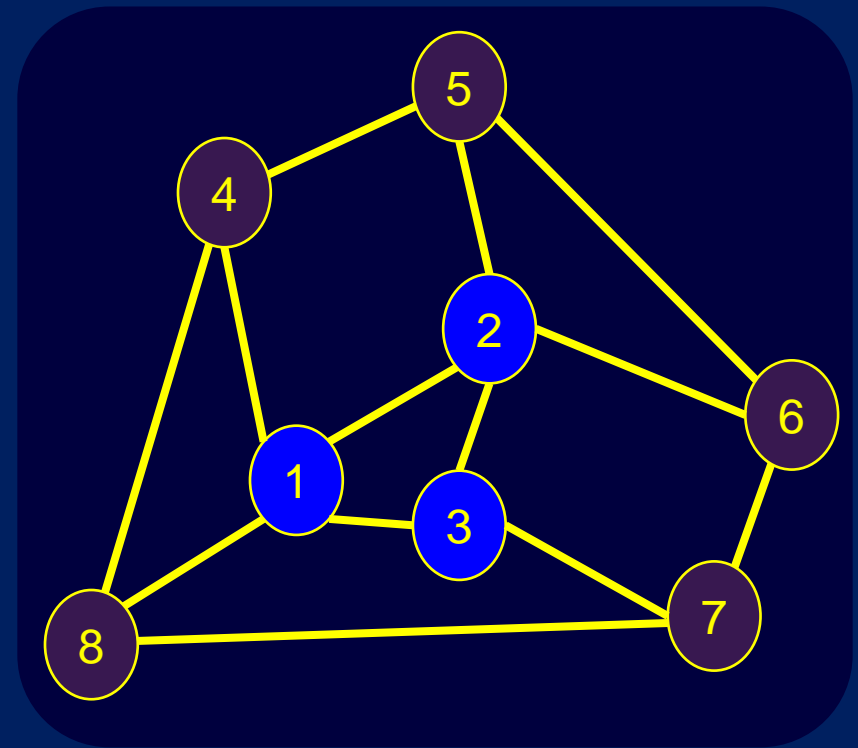
- Example output on a *planar* graph



Tutte's barycenter algorithm for
triconnected planar graphs

Tutte's barycenter algorithm for triconnected planar graphs

1. Choose A to be *the outside face of the graph*.
2. Choose the location $p(a)$ for each $a \in A$ to be *at the vertices of a convex polygon*.
3. For each vertex $u \in V - A$, place u at the barycentre of its graph-theoretic neighbors.



Note: For planar graphs, the Laplacian matrix is sparse, and can be inverted fast.

Tutte's amazing theorems (1960)

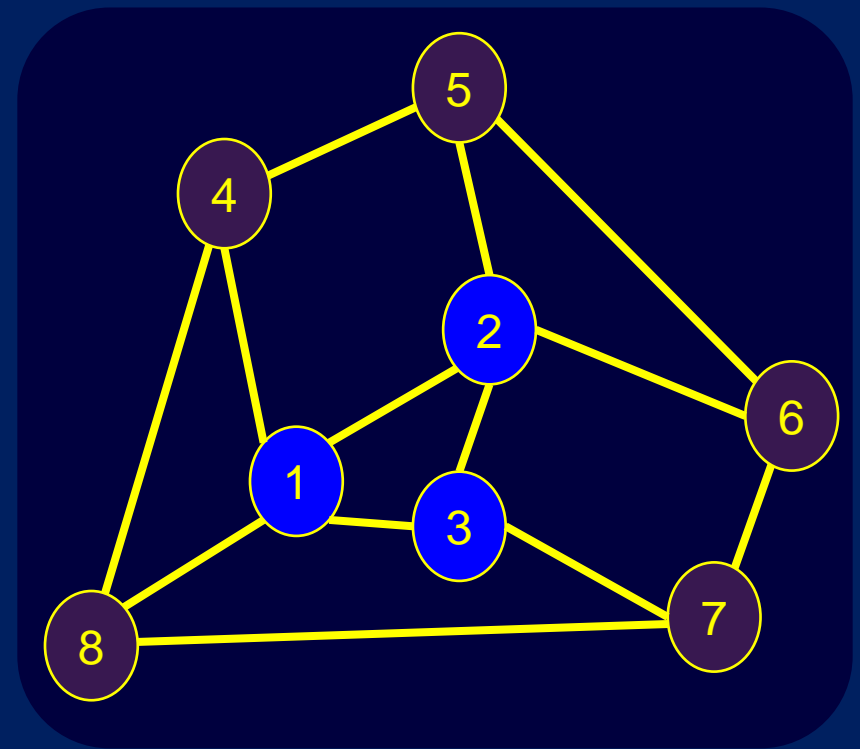
If the input graph is planar and triconnected, then the drawing output by the barycentre algorithm is planar, and every face is convex.

The energy view of Tutte's barycentre algorithm

Tutte's barycenter algorithm:

The energy view

1. Choose a set A of vertices.
2. Choose a location p(a) for each a∈A
3. Place all the other vertices to minimize energy.



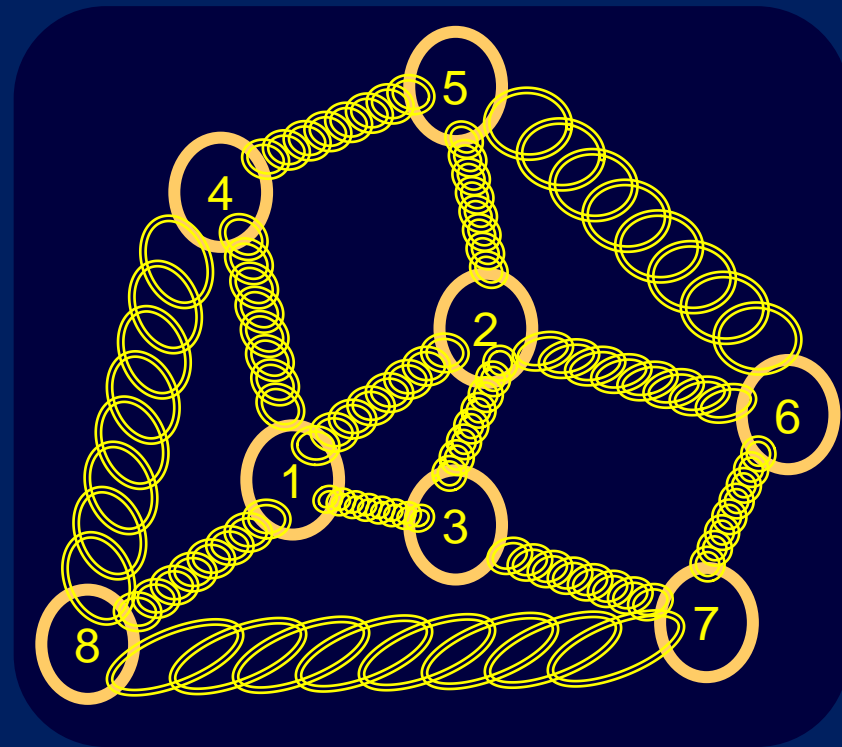
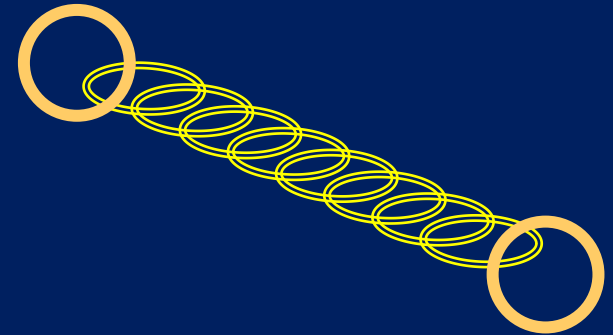
What is the *energy* of a drawing p?

- For each edge $e = (u,v)$, denote the distance between u and v in the drawing p by $d(u,v)$, ie,
$$d(u,v) = ((x_u - x_v)^2 + (y_u - y_v)^2)^{0.5}$$
- The energy in the edge e is $d(u,v)^2 = (x_u - x_v)^2 + (y_u - y_v)^2$
- The energy in the drawing p is the sum of the energy in its edges, ie,
$$\sum d(u,v)^2 = \sum (x_u - x_v)^2 + (y_u - y_v)^2$$
where the sum is over all edges (u,v).

Tutte's barycenter algorithm:

The energy view

1. Represent each vertex by a steel ring, and represent each edge by a spring of natural length zero connecting the rings at its endpoints.
2. Choose a set A of vertices.
3. For each $a \in A$, nail the ring representing a to the floor at some position.
4. The vertices in $V-A$ will move around a bit,
When the movement stops, take a photo of the layout; this is the drawing.



How to minimize energy:

- We need to choose a location $(x(u), y(u))$ for each u in $V-A$ to minimize $\sum (x_u - x_v)^2 + (y_u - y_v)^2$
- Note that the minimum is unique, and occurs when the partial derivative wrt x_u and y_u is zero for each u in $V-A$.

$$\frac{\partial}{\partial x_u} \left(\sum_{(u,v)} (x_u - x_v)^2 + (y_u - y_v)^2 \right) = \sum_{(u,v)} 2(x_u - x_v) = 0$$

\Leftrightarrow

$$x_u = \left(\sum_{(u,v)} x_v \right) / \text{deg}(u)$$

Barycentre equations

How good is Tutte's barycentre algorithm?

Efficiency:

- In theory it is not bad: $O(n^{1.5})$ for planar graphs
- In practice it is fast, using numerical methods for Laplacians

Elegance:

- Very simple algorithm
- Easy to implement
- Numerical software available for the hard parts

Effectiveness:

- Planar graphs drawn planar
- Straight-line edges



But unfortunately →

But unfortunately:

➤ Tutte's algorithm gives poor vertex resolution in many cases

Example:

Vertex 0 is at $(0.5, 0)$, a is at $(0,0)$, b is at $(1,0)$.

For $j > 0$, vertex j is at (x_j, y_j) .

From the barycentre equations:

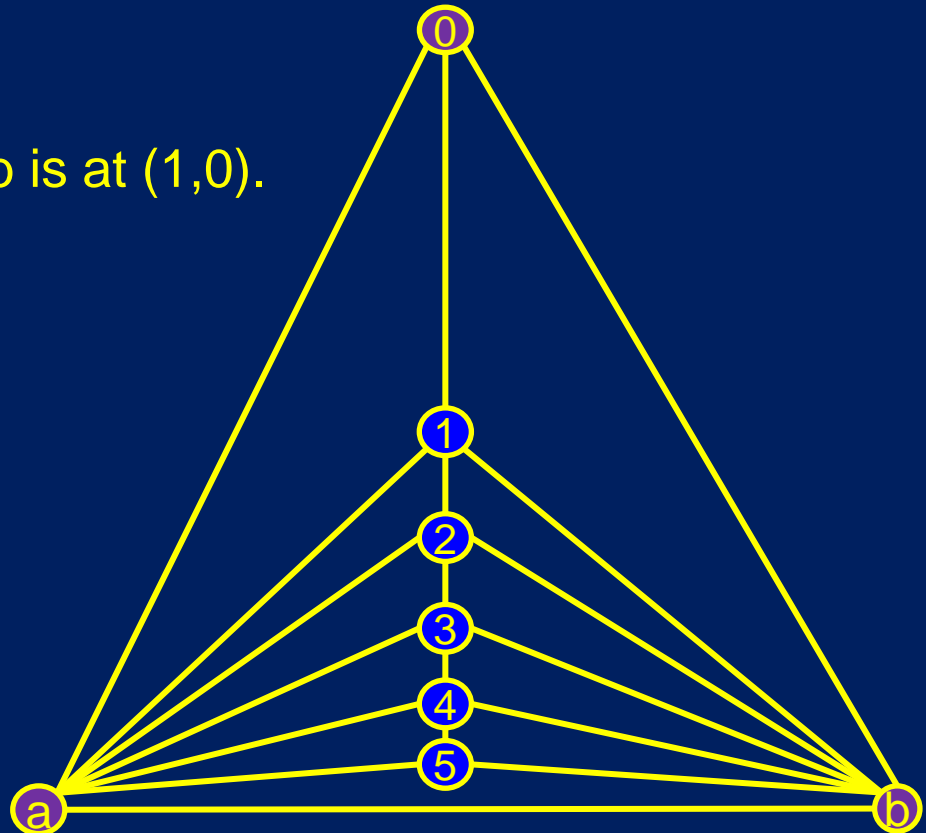
$$y_j = (y_{j-1} + y_{j+1}) / 4.$$

Also:

$$y_j > y_{j-1} > y_{j-2} > \dots$$

Thus $y_j < y_{j-1} / 2$

Thus $0 < y_j < 2^{-j}$



Aside:

Commercial graph drawing software needs good resolution.

How good is Tutte's barycentre algorithm?

Efficiency:

➤ OK

Elegance:

➤ Excellent

Effectiveness:

➤ So-so

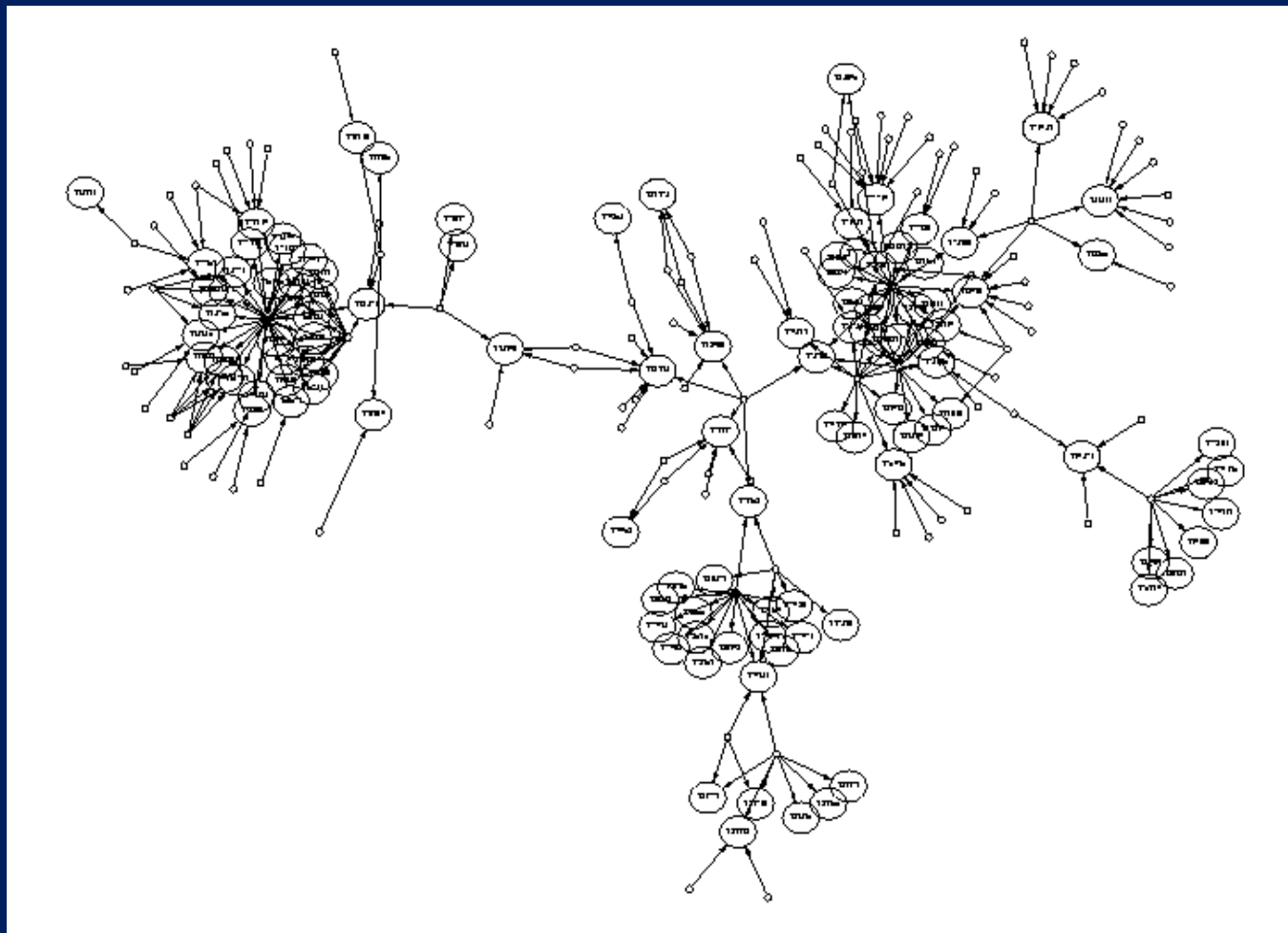
2. How to draw a planar graph?

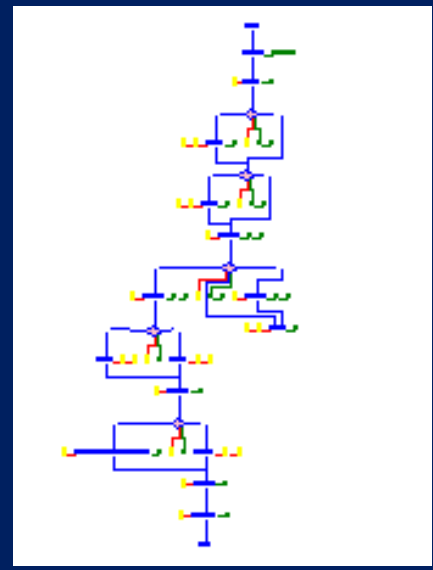
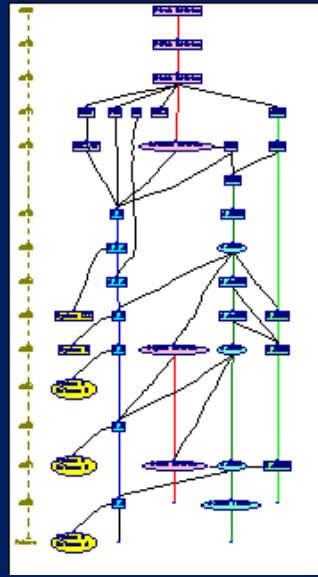
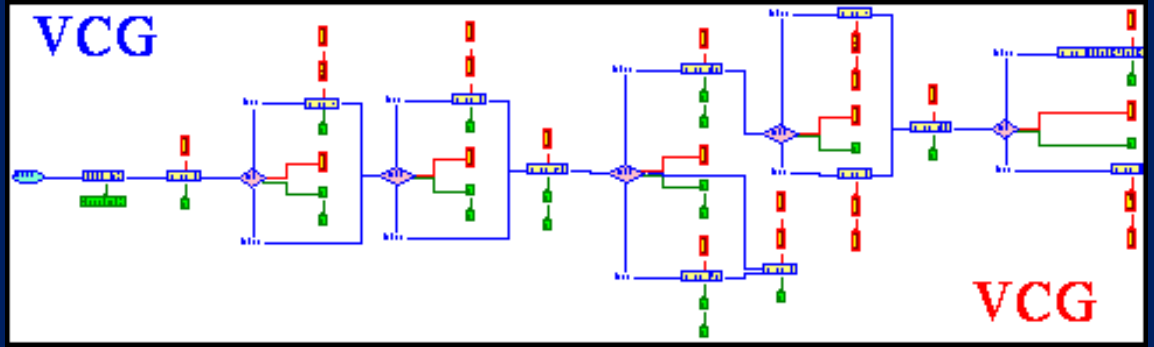
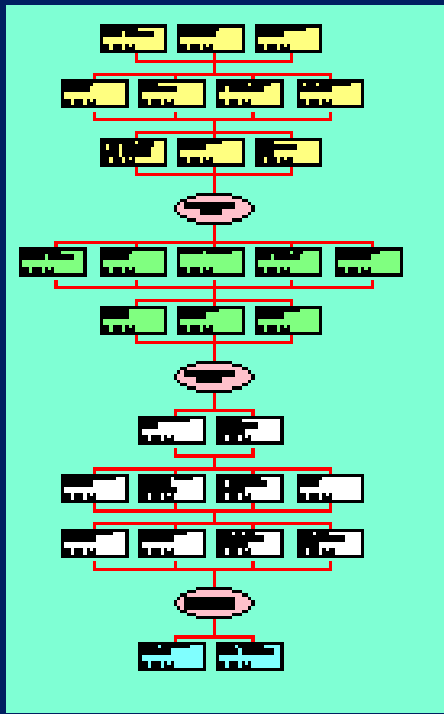
- a) Before Tutte: 1920s – 1950s
- b) Tutte: 1960s
- c) After Tutte: 1970s – 1990s

After Tutte: 1970s – 1990s

Sometime in the 1980s, the motivation for graph drawing changed from Mathematical curiosity to visual data mining.

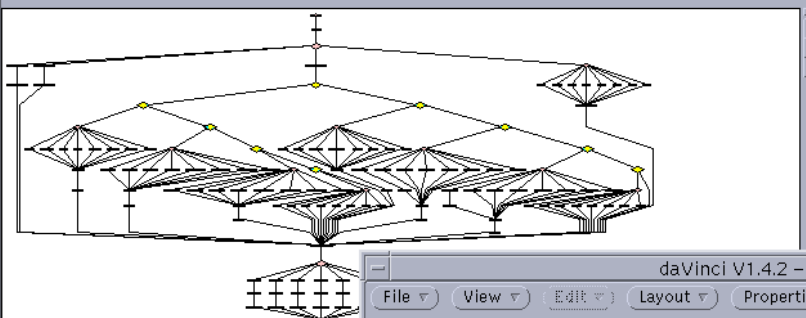
Software





daVinci V1.4.2 - mov_big.daVinci

File View Edit Layout Properties



daVinci: Graph Info

GRAPH CHARACTERISTICS

- Height: 27
- Nodes: 147
- Dummy-Nodes: 91
- Edges: 232
- Crossings: 0

Text Editor V3.4 - mov.alg

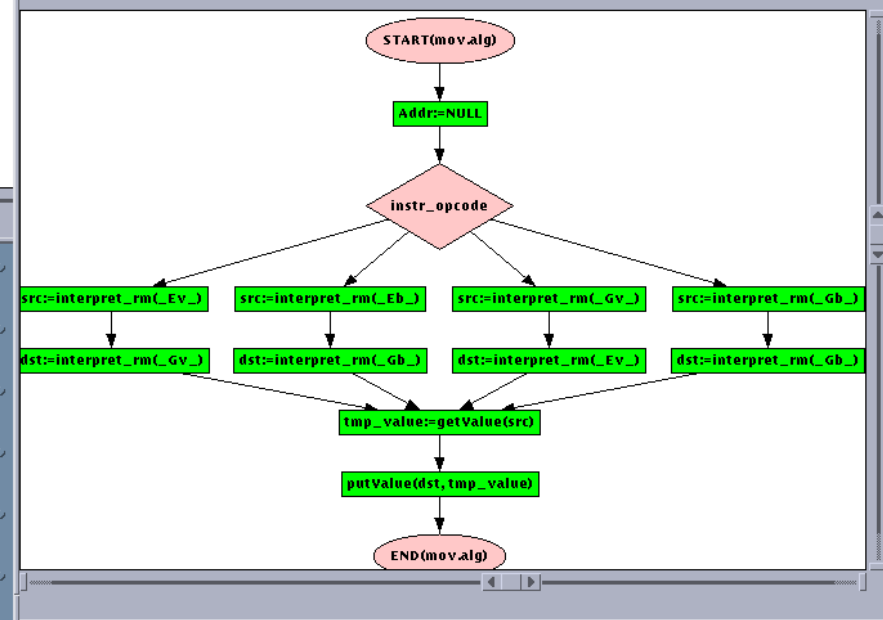
```

mov() {
  Addr = NULL;
  switch(instr_opcode) {
    case 0x88:
      src = interpret_rm(_Gb_);
      dst = interpret_rm(_Gb_);
      break;
    case 0x89:
      src = interpret_rm(_Gv_);
      dst = interpret_rm(_Ev_);
      break;
    case 0x8a:
      src = interpret_rm(_Eb_);
      dst = interpret_rm(_Gb_);
      break;
    case 0x8b:
      src = interpret_rm(_Ev_);
      dst = interpret_rm(_Gv_);
      break;
  }
  tmp_value = getValue(src);
  putValue(dst, tmp_value);
}

```

daVinci V1.4.2 - mov.daVinci

File View Edit Layout Properties



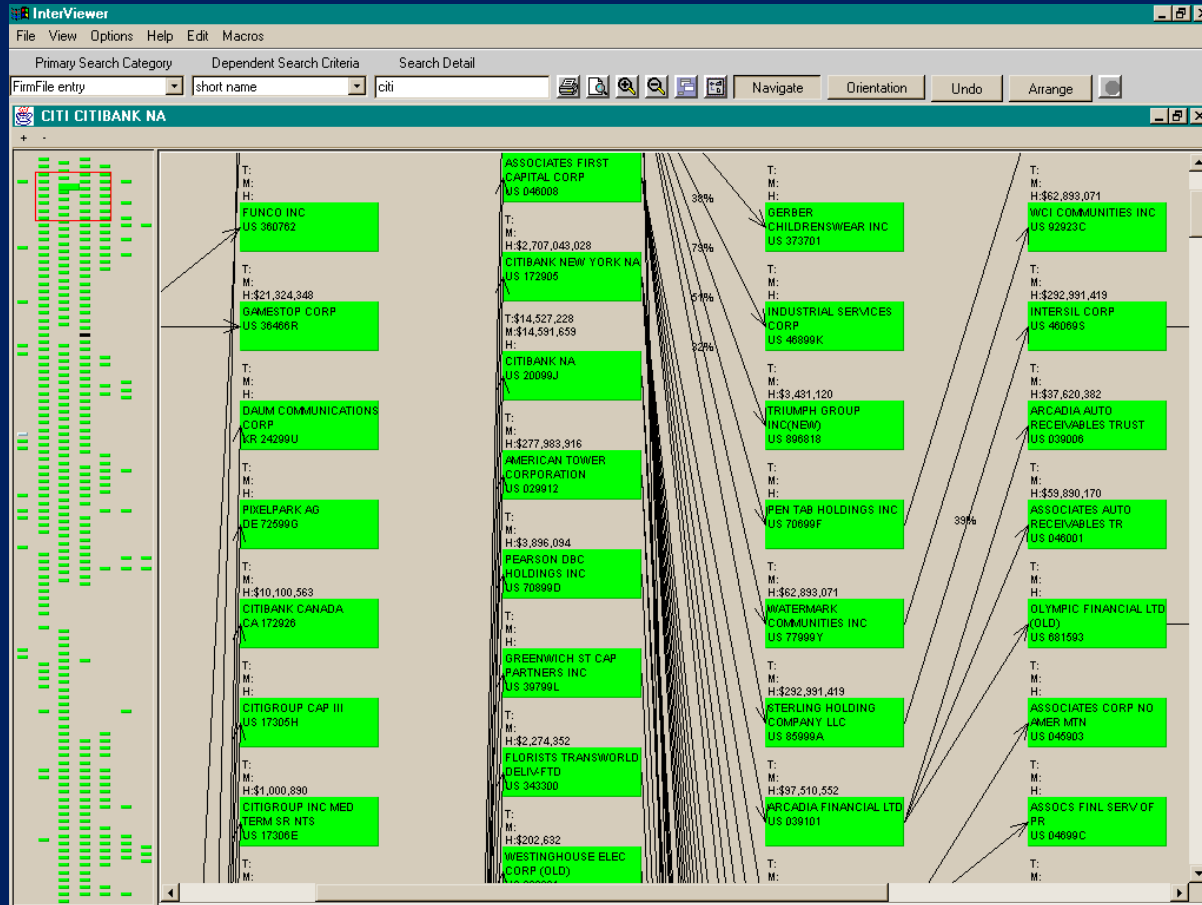
```

graph TD
  Start([START(mov.alg)]) --> Addr[Addr:=NULL]
  Addr --> Instr{instr_opcode}
  Instr --> SrcEv[src:=interpret_rm(_Ev_)]
  Instr --> SrcEb[src:=interpret_rm(_Eb_)]
  Instr --> SrcGv[src:=interpret_rm(_Gv_)]
  Instr --> SrcGb[src:=interpret_rm(_Gb_)]
  SrcEv --> DstEv[dst:=interpret_rm(_Ev_)]
  SrcEb --> DstEb[dst:=interpret_rm(_Gb_)]
  SrcGv --> DstGv[dst:=interpret_rm(_Ev_)]
  SrcGb --> DstGb[dst:=interpret_rm(_Gb_)]
  DstEv --> GetVal[tmp_value:=getValue(src)]
  DstEb --> GetVal
  DstGv --> GetVal
  DstGb --> GetVal
  GetVal --> PutVal[putValue(dst, tmp_value)]
  PutVal --> End([END(mov.alg)])

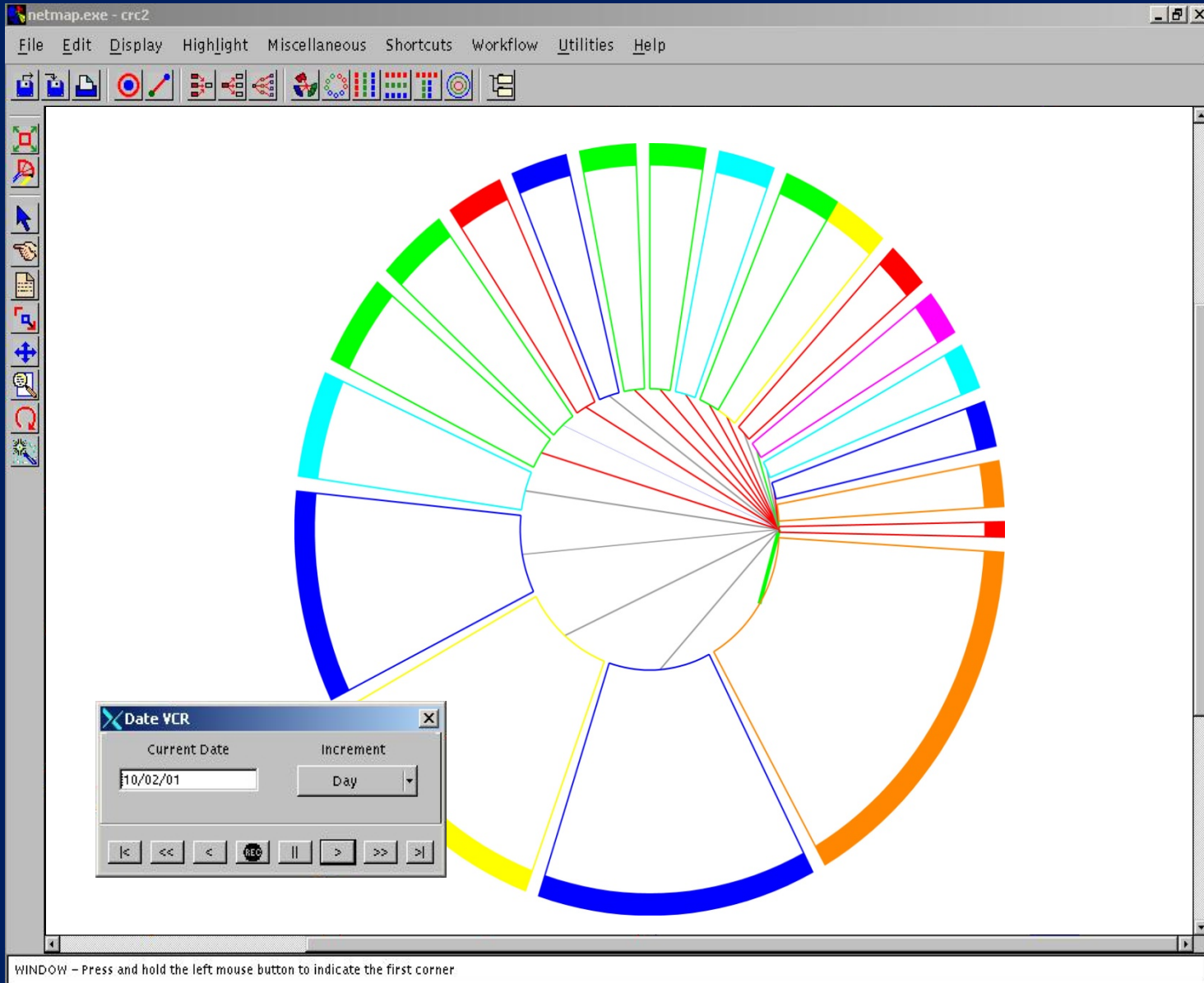
```

Main Programming
Internet Misc EXIT

(DaVinci)



Risk Exposure



Money
movement

From the 1980s, industrial demand for graph drawing algorithms has grown

- Software engineering: CASE systems, reverse engineering
- Biology: PPI networks, gene regulatory networks
- Physical networks: network management tools
- Security: risk management, money movements, social network analysis
- Customer relationship management: value identification

Many companies buy graph drawing algorithms, many code them.

Currently the international market for graph drawing algorithms is in the hundreds of millions of dollars per year.

Tutte's barycentre
algorithm

```
graph TD; A[Tutte's barycentre algorithm] --> B[Force directed methods]; A --> C[Planarity-based methods]; A --> D[Graph theorists methods]; subgraph Grouped; B; C; end
```

Force directed
methods

Planarity-based
methods

Graph theorists
methods

Planarity based methods after Tutte

Planarity based methods after Tutte

R.C. Read (1979, 1980)

1. Efficient?

- Yes, linear time algorithm

2. Elegant?

- Yes, follows proof of Fáry's theorem

3. Effective?

- Maybe ...

- Straight-line planar drawings of planar graphs
- But, unfortunately, output has poor vertex resolution

Planarity based methods after Tutte

Chiba-Nishizeki-Yamanouchi (1984)

1. Efficient?

- Yes, linear time algorithm

2. Elegant?

- Yes, a simple divide&conquer approach

3. Effective?

- Maybe ...

- Straight-line planar drawings of planar graphs
- Convex faces for well connected input
- But, unfortunately, output has poor vertex resolution

Planarity based methods after Tutte

Breakthrough in 1989:

de Fraysseix-Pach-Pollack Theorem (1989)

Every planar graph has a planar straight-line **grid** (that is, vertices are at integer grid points) drawing on a $2n \times 4n$ grid.

Notes:

- This gives a minimum distance of $screen\ size/4n$ between vertices, that is, good resolution.
- Chrobak gave a linear-time algorithm to implement this theorem.

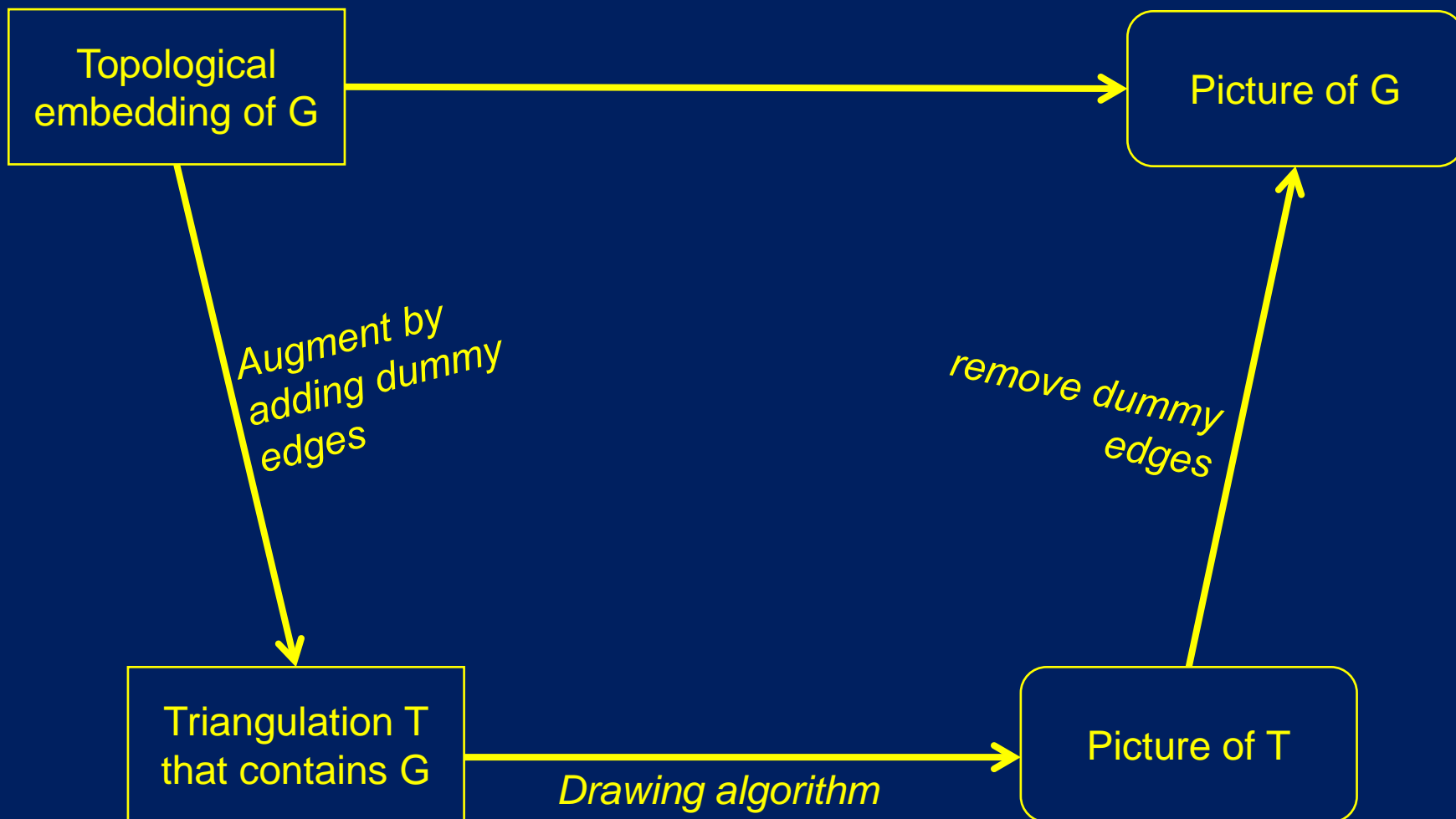
The deFraysseix-Pach-Pollack Theorem gave much hope for planarity-based methods, and many refinements appeared 1990 – 2000.

de Fraysseix-Pach-Pollack-Chrobak Algorithm

1. Add dummy edges to make the graph into a triangulation
2. Construct an ordering u_1, u_2, \dots, u_n of the vertices, called the *canonical ordering*.
3. Draw the graph, adding one vertex at a time, in order u_1, u_2, \dots, u_n

Wikipedia proof of Fáry's Theorem

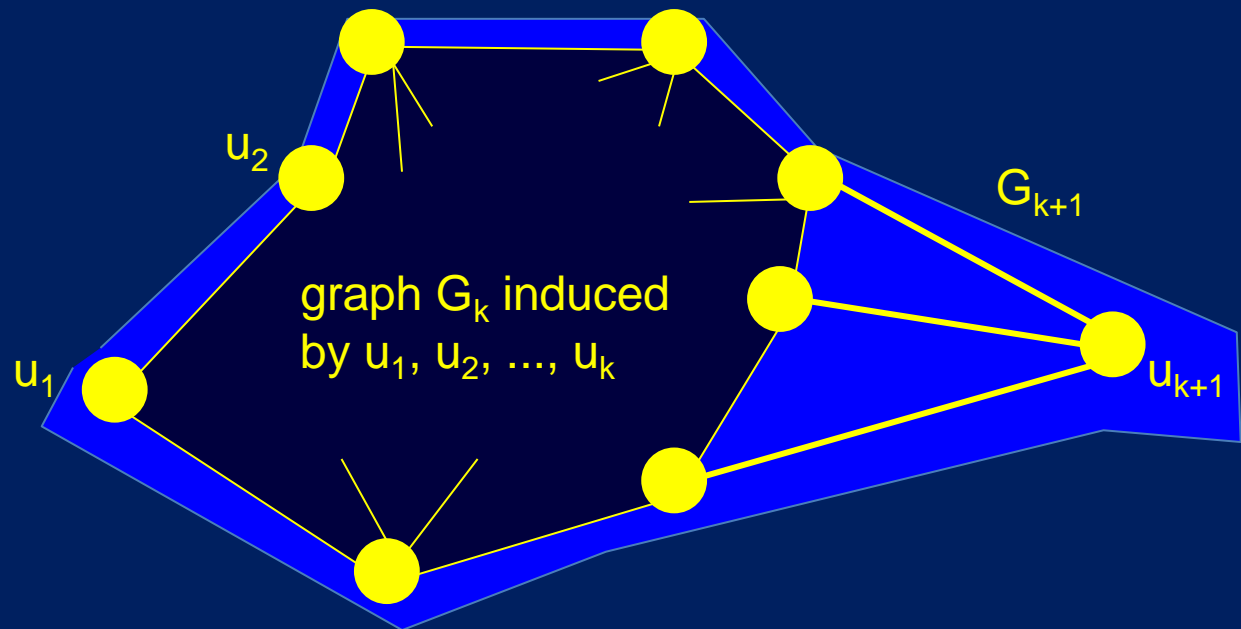
Step 1: Add dummy edges to make the graph into a triangulation



Step 2: Construct an ordering u_1, u_2, \dots, u_n of the vertices, called the *canonical ordering*.

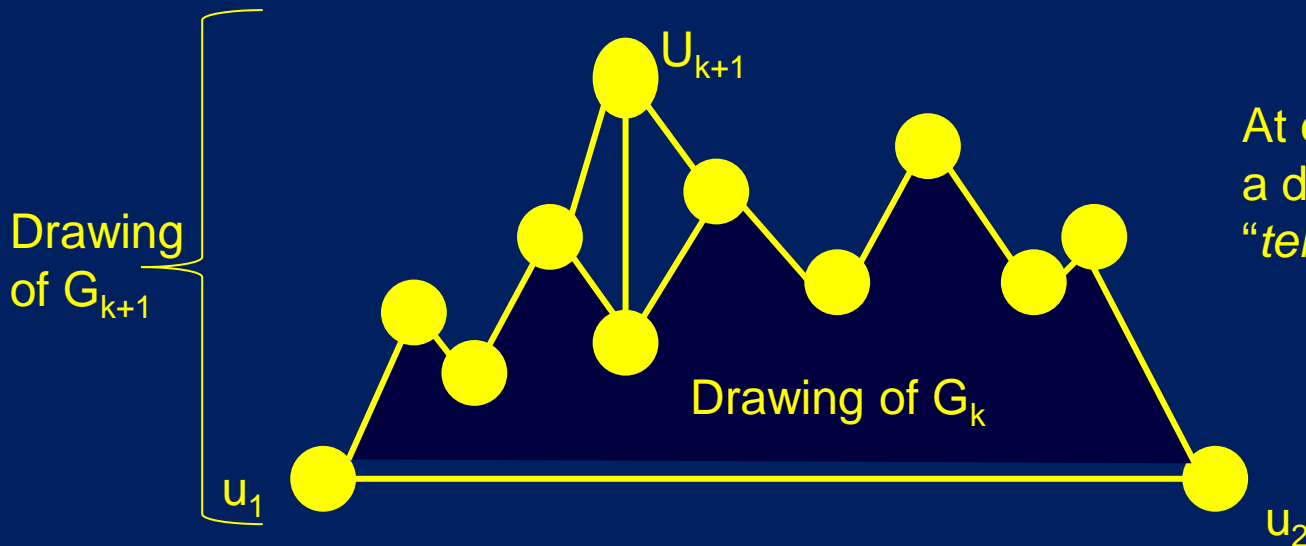
A canonical ordering is an ordering u_1, u_2, \dots, u_n of the vertices of a triangulation having the property that, for each k , $3 \leq k < n$, the graph G_k induced by u_1, u_2, \dots, u_k has the following properties

- G_k is biconnected
- G_k contains the edge (u_1, u_2) on its outer face,
- Any vertices in G_k adjacent to u_{k+1} are on the outer face of G_k
- The vertices in G_k adjacent to u_{k+1} form a path along the outer face of G_k



Step 3: Draw the graph, adding one vertex at a time in order u_1, u_2, \dots, u_n

- a) Start with the edge (u_1, u_2) at $y=0$
- b) For each $k>1$:
 - add u_{k+1} on $y=k$
 - Choose x coordinate of u_{k+1} so that there are no edge crossings.



At each stage, there is a drawing of G_k as a "terrain".

Some details of deFraysseix-Pach-Pollack-Chrobak algorithm are needed to show

- It runs in linear time
- It is possible to avoid edge crossings
- Each vertex lies on an integer grid of size at most $4n \times 2n$

The deFraysseix-Pach-Pollack-Chrobak algorithm

Efficiency:

- Yes, linear time

Elegance:

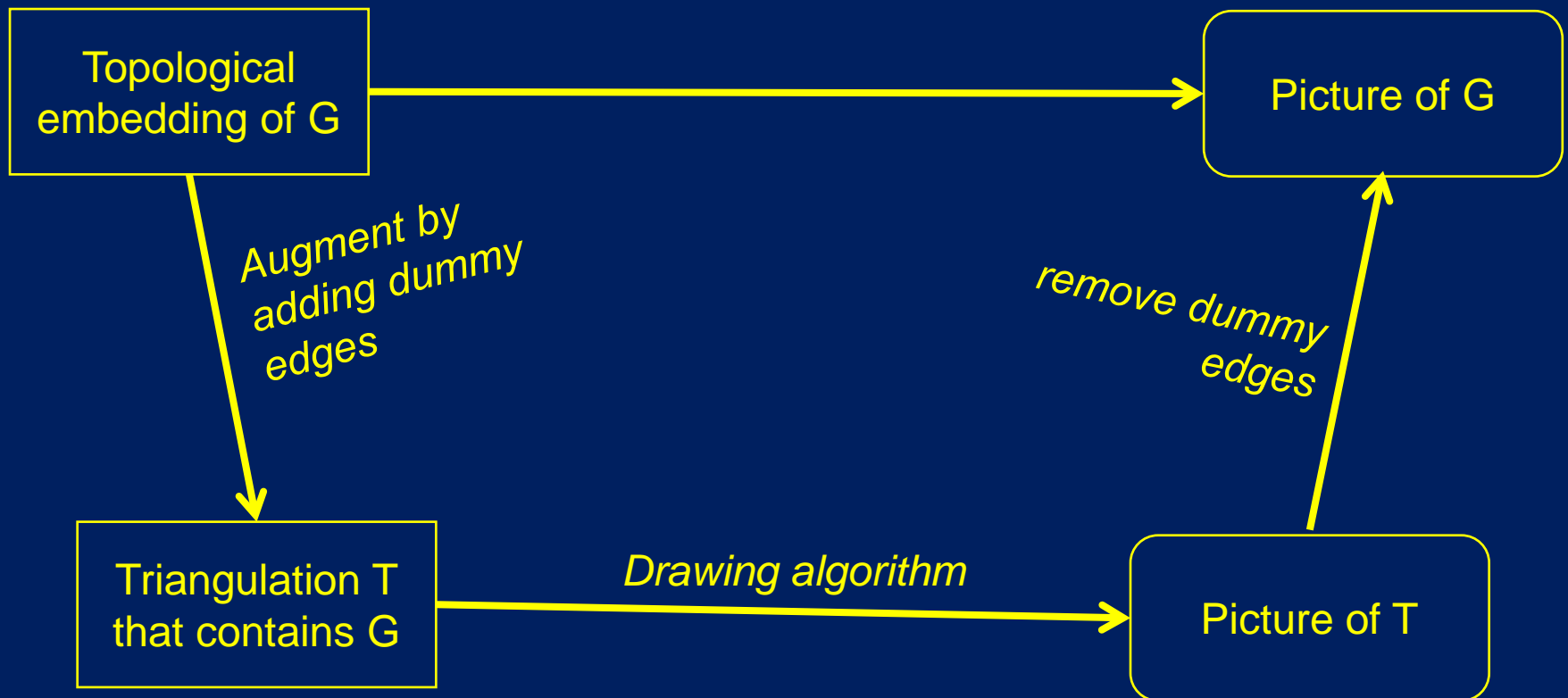
- Not bad; can be coded by a student in a week or so.

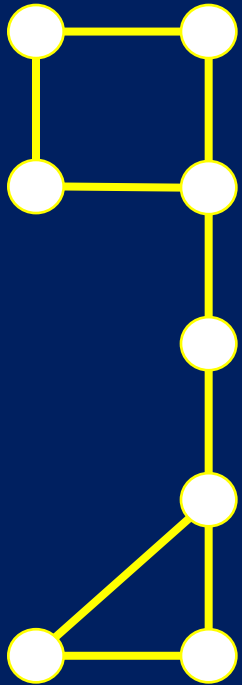
Effectiveness:

- Looks good
 - Straight-line edges
 - No edge crossings
 - Good vertex resolution

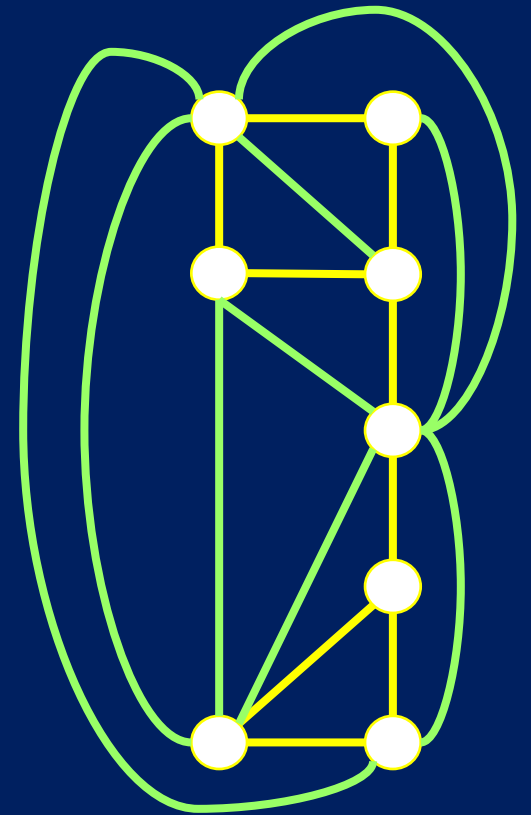
The deFraysseix-Pach-Pollack-Crobak algorithm gave much hope for planarity-based methods, and many refinements appeared 1990 – 2000.

But, unfortunately, we found that the first step (increasing connectivity by triangulation) gives some problems.





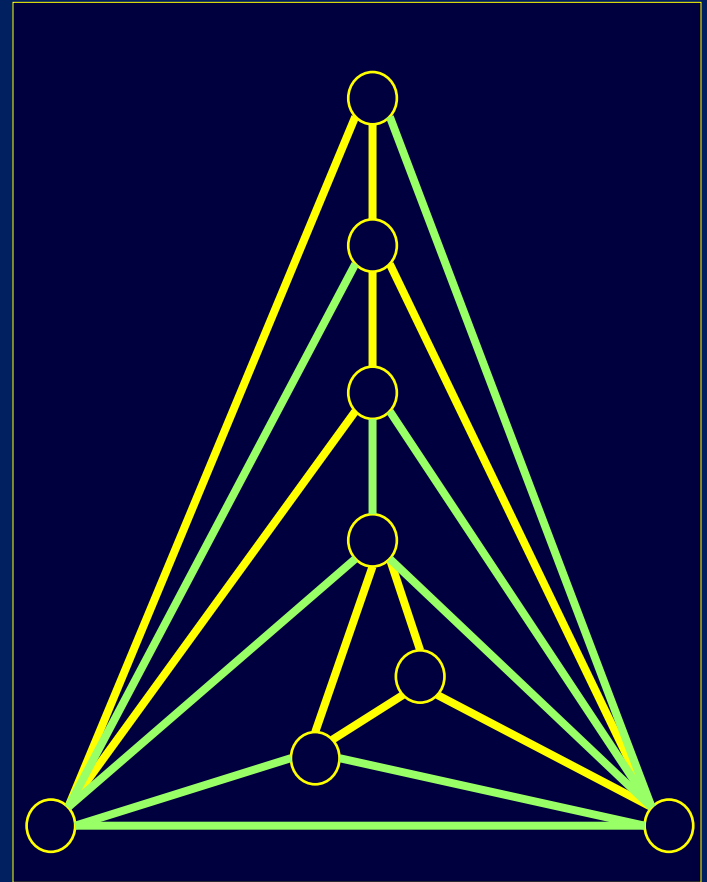
1. Add dummy edges to triangulate



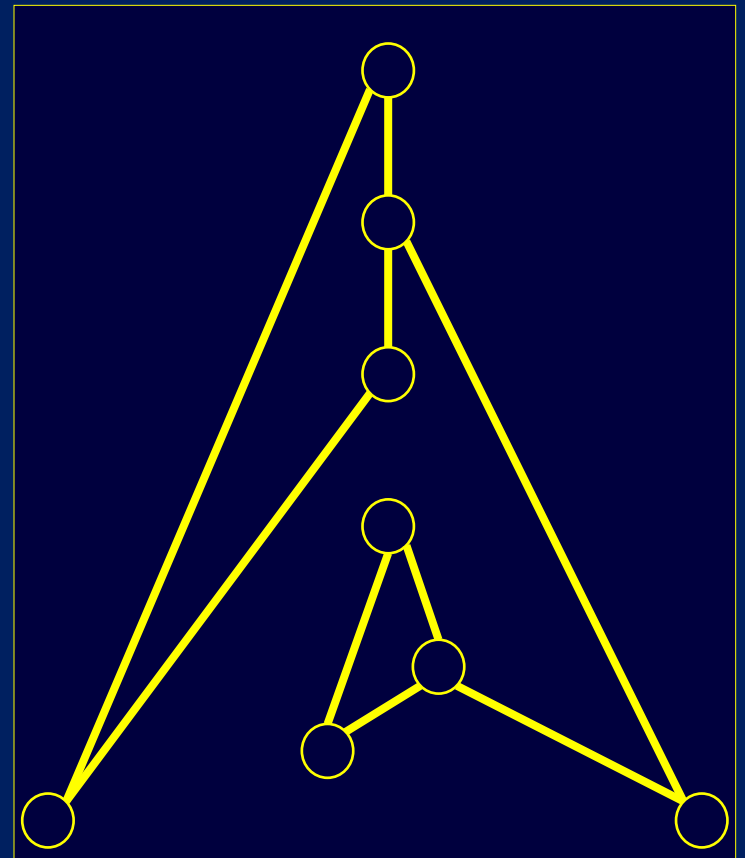
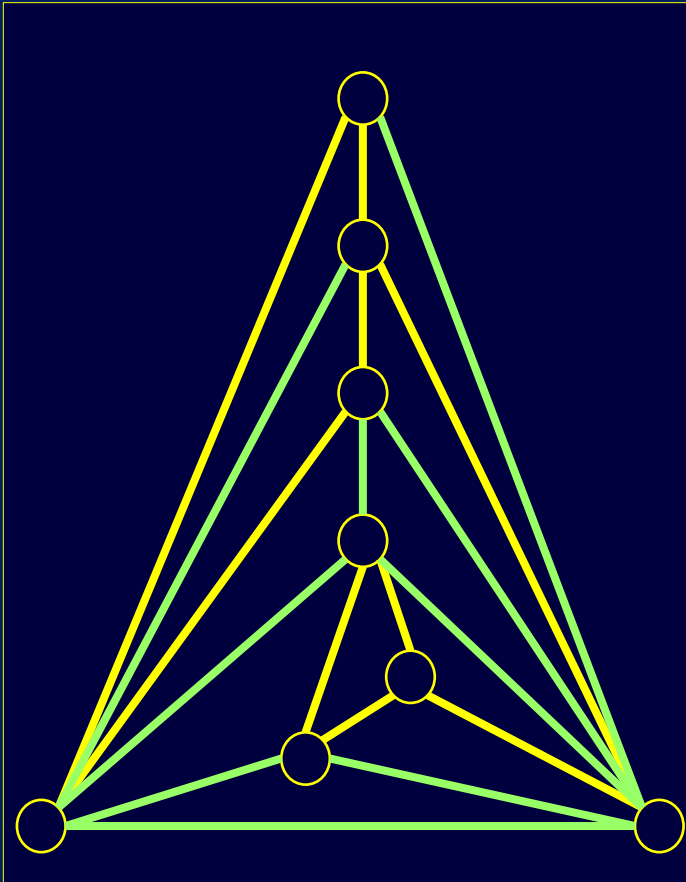
Planarity based methods



2. Draw the
augmented
graph.



3. Delete the dummy edges



Current state-of-the-art for planarity based methods:

- There are many small improvements to the deFraysseix-Pach-Pollack-Chrobak algorithm.
- But none have overcome all the connectivity augmentation problem.
- Almost no planarity based methods have been adopted in commercial software ...
- Despite the fact that planarity is the single most important aesthetic criterion.

Tutte's barycentre
algorithm

```
graph TD; A[Tutte's barycentre algorithm] --> B[Force directed methods]; A --> C[Planarity-based methods]; A --> D[Graph theorists methods]; subgraph Grouped; B; C; end
```

Force directed
methods

Planarity-based
methods

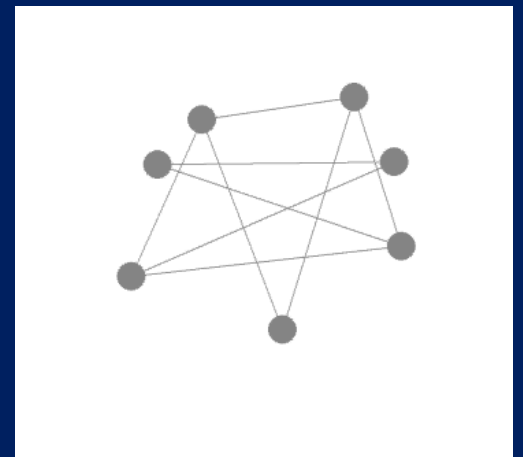
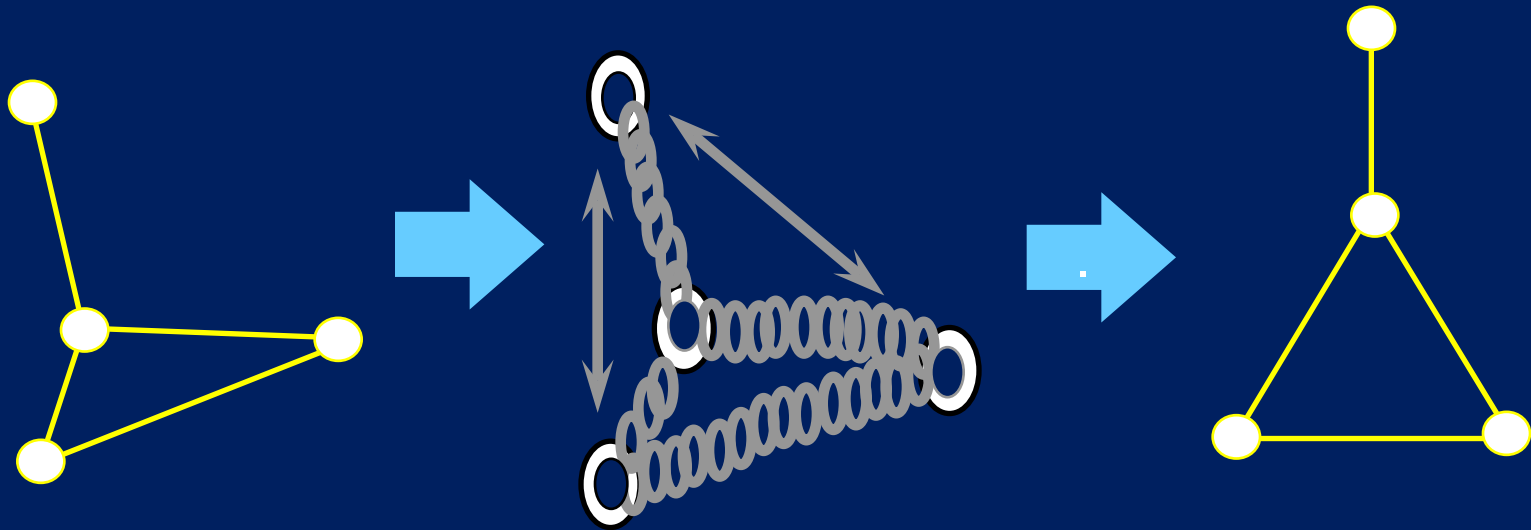
Graph theorists
methods

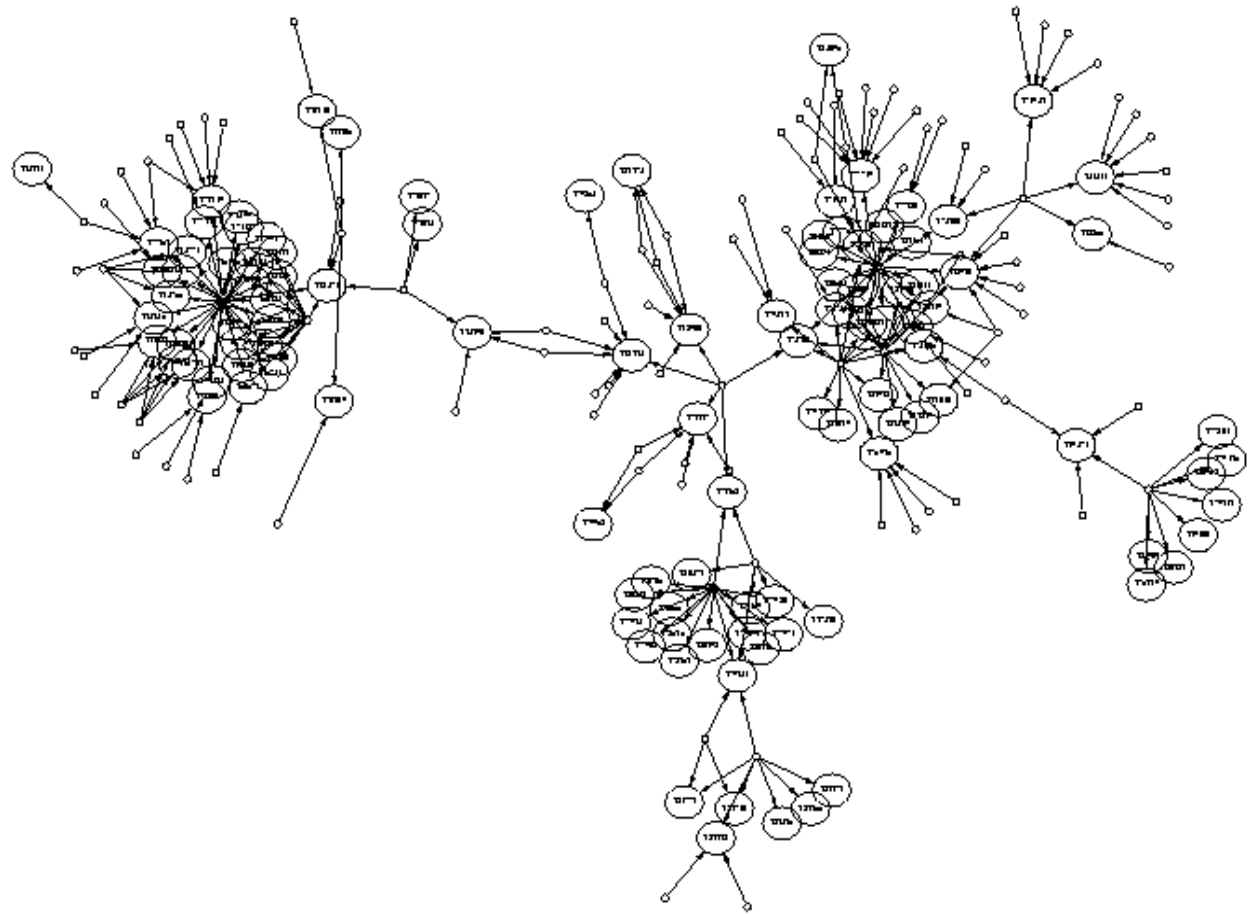
Energy/force methods after Tutte

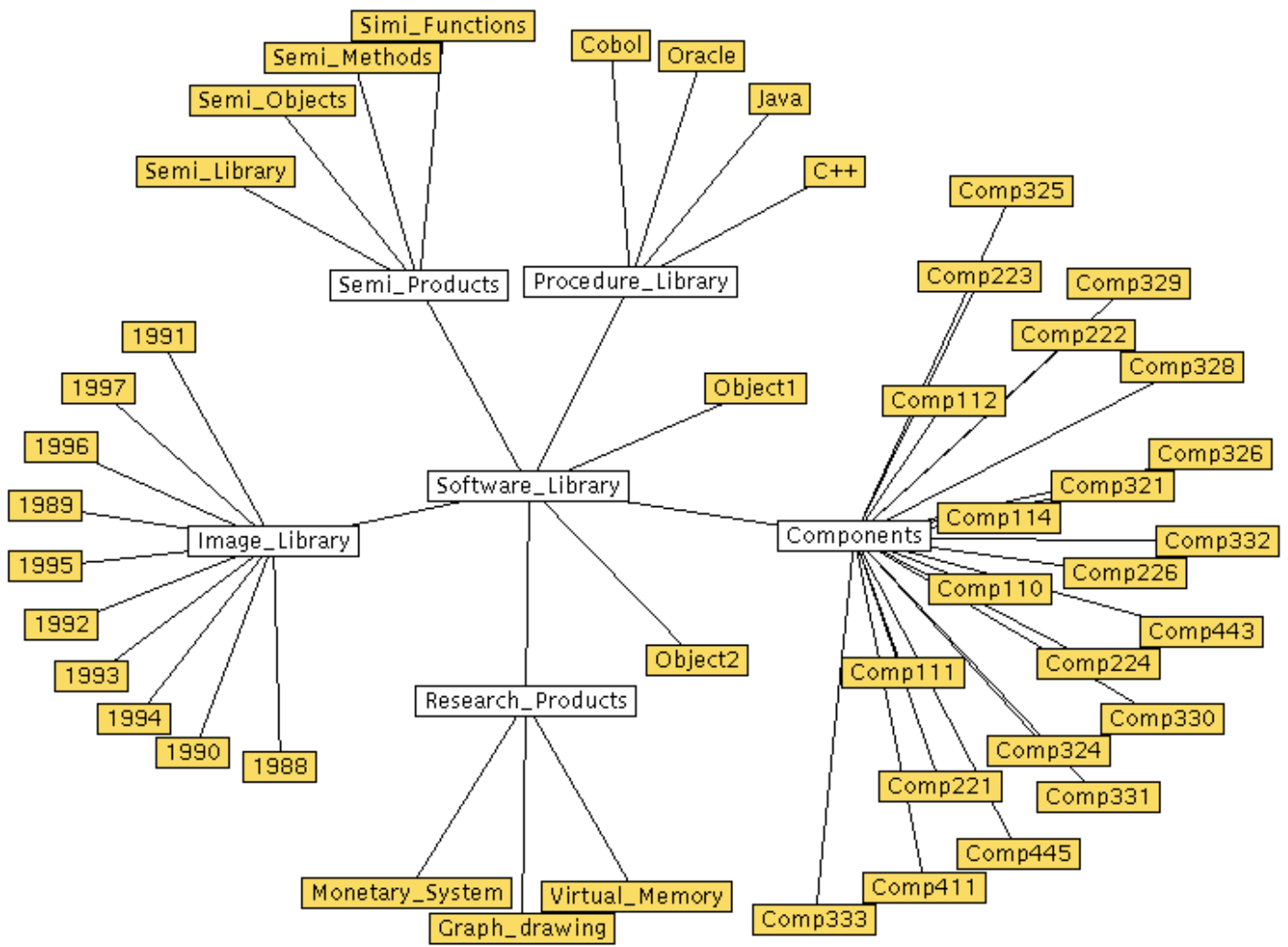
To improve Tutte's barycentre algorithm, we need to prevent vertices from becoming very close together.

This can be done with forces:-

1. Use springs of nonzero natural length
2. Use an inverse square law repulsive force between nonadjacent vertices.







Force exerted by a vertex v on a vertex u :

If u and v are adjacent:

$$f_{\text{spring}}(u,v) = k_{uv} |d(u,v) - q_{uv}|$$

where

- k_{uv} is constant, it is the *strength* of the spring between u and v
- $d(u,v)$ is the Euclidean distance between u and v
- q_{uv} is constant, it is the *natural length* of the u - v spring

If u and v are not adjacent:

$$f_{\text{nonajac}}(u,v) = r_{uv} / d(u,v)^2$$

where

- r_{uv} is constant, it is the *strength* of the repulsive force

Total force on a vertex u :

$$F(u) = \sum f_{\text{spring}}(u,v) + \sum f_{\text{nonajac}}(u,w)$$

where

- The first sum is over all vertices v adjacent to u
- The second sum is over all vertices w not adjacent to u

A minimum energy configuration satisfies

$$F(u) = 0$$

for each vertex u .

This is a system of nonlinear equations.

Note

1. In general, the solution to this system of equations is not unique, that is, there are local minima that may not be global.
2. Many methods to solve this system of equations are available. Some methods are fast, some are slow, depending on the equations.

Force-based techniques can be constrained in various ways.

The constants in the force definitions

$$f_{\text{spring}}(u,v) = k_{uv} |d(u,v) - q_{uv}|$$

$$f_{\text{nonajac}}(u,v) = r_{uv} / d(u,v)^2$$

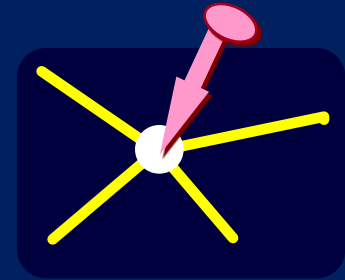
can be chosen to reflect the relationships in the domain.

For example

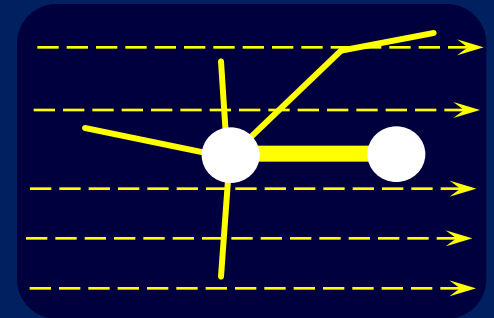
- If the edge between u and v is important, then we can choose k_{uv} to be large and q_{uv} to be small.

Force-based techniques can be constrained in various ways.

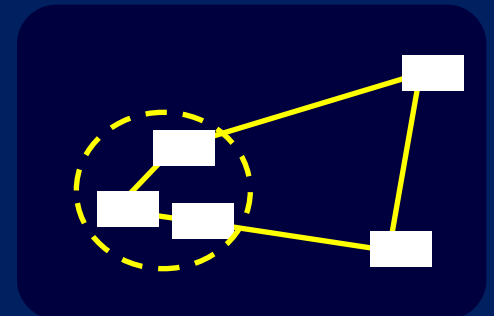
Nails can be used to hold a node in place.



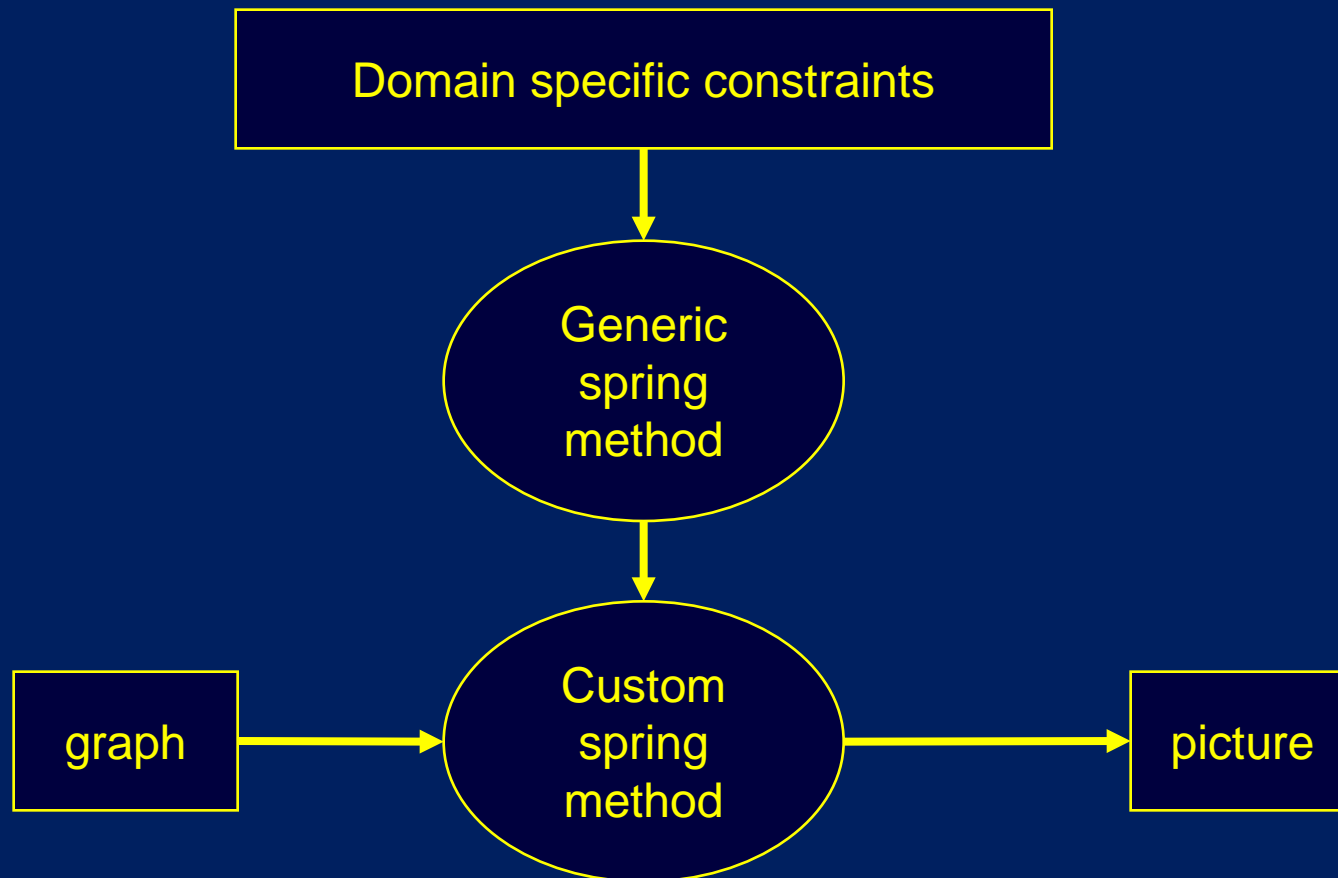
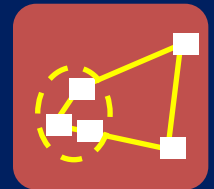
Magnetic fields and magnetized springs can be used to align nodes in various ways.



Attractive forces can be used to keep clusters together.



These constraints are very useful in customizing the general spring method to a specific domain.



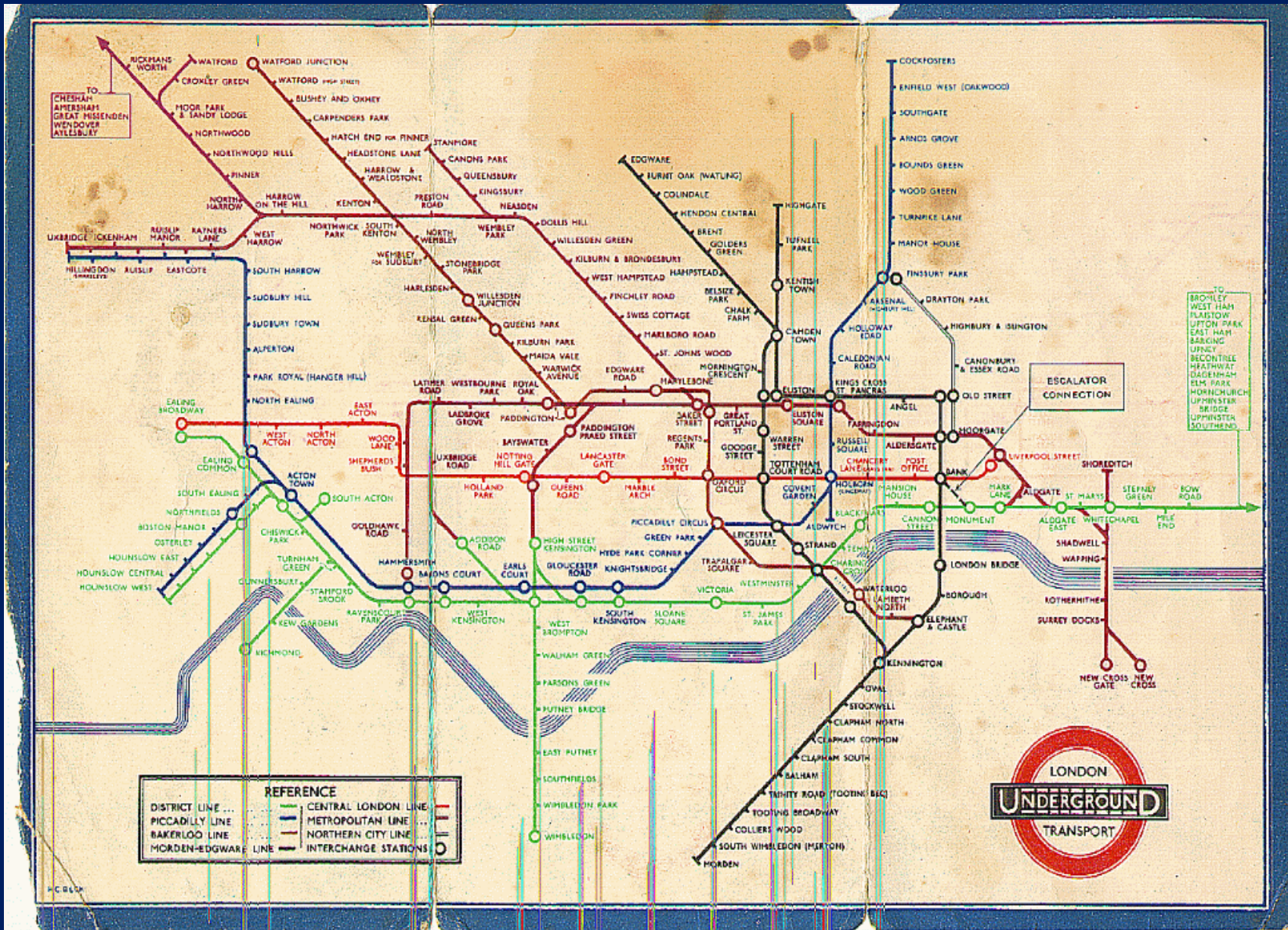
Example:

Metro Maps

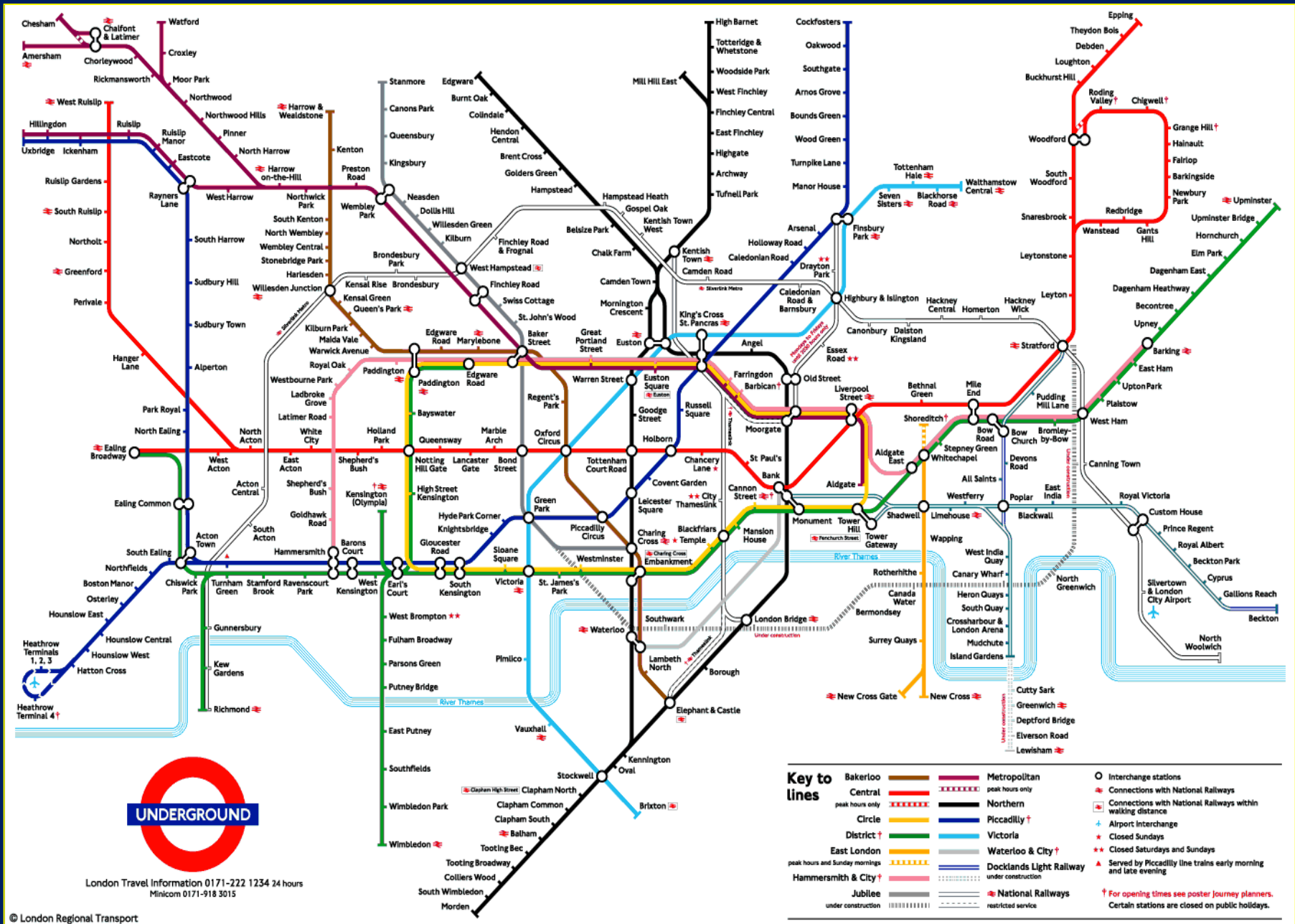
- *Damian Merrick*
- *SeokHee Hong*
- *Hugo do Nascimento*

The Metro Map Problem

- Existing metro maps, produced by professional graphic artists, are ***excellent examples*** of network visualization
- *Can we produce good metro maps automatically?*



H. Beck, 1931



London Travel Information 0171-222 1234 24 hours
Minicom 0171-918 3015

Key to lines

	Bakerloo		Metropolitan		O Interchange stations
	Central		Northern		+ Connections with National Railways
	Circle		Piccadilly		+ Connections with National Railways within walking distance
	District		Victoria		A Airport Interchange
	East London		Waterloo & City		+ Closed Sundays
	Hammersmith & City		Docklands Light Railway		++ Closed Saturdays and Sundays
	Jubilee		National Railways		▲ Served by Piccadilly line trains early morning and late evening
	under construction		restricted service		† For opening times see poster Journey planners. Certain stations are closed on public holidays.



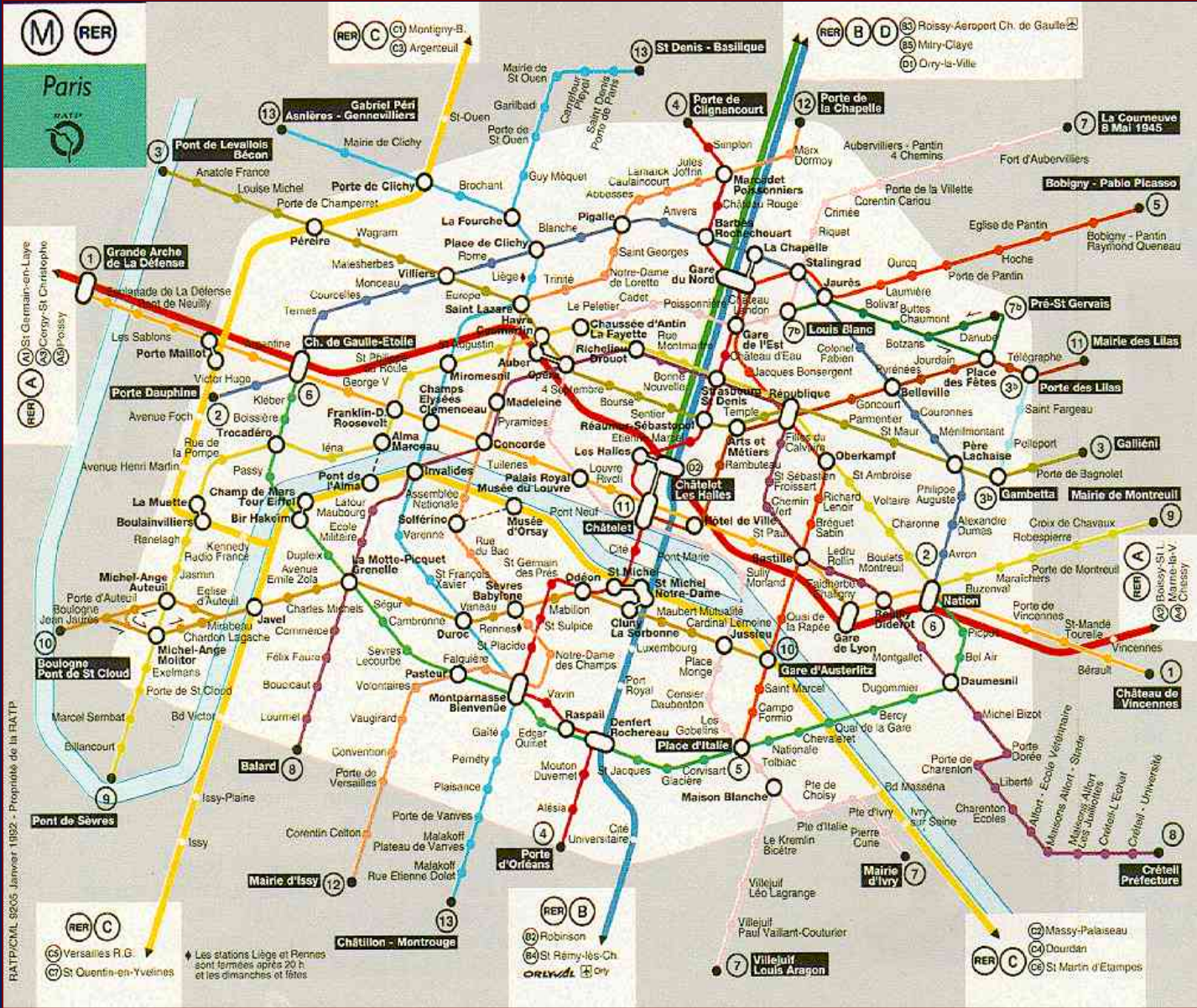


(M) St Germain-en-Laye
(A) Cergy-St Christophe
(B) Poissy

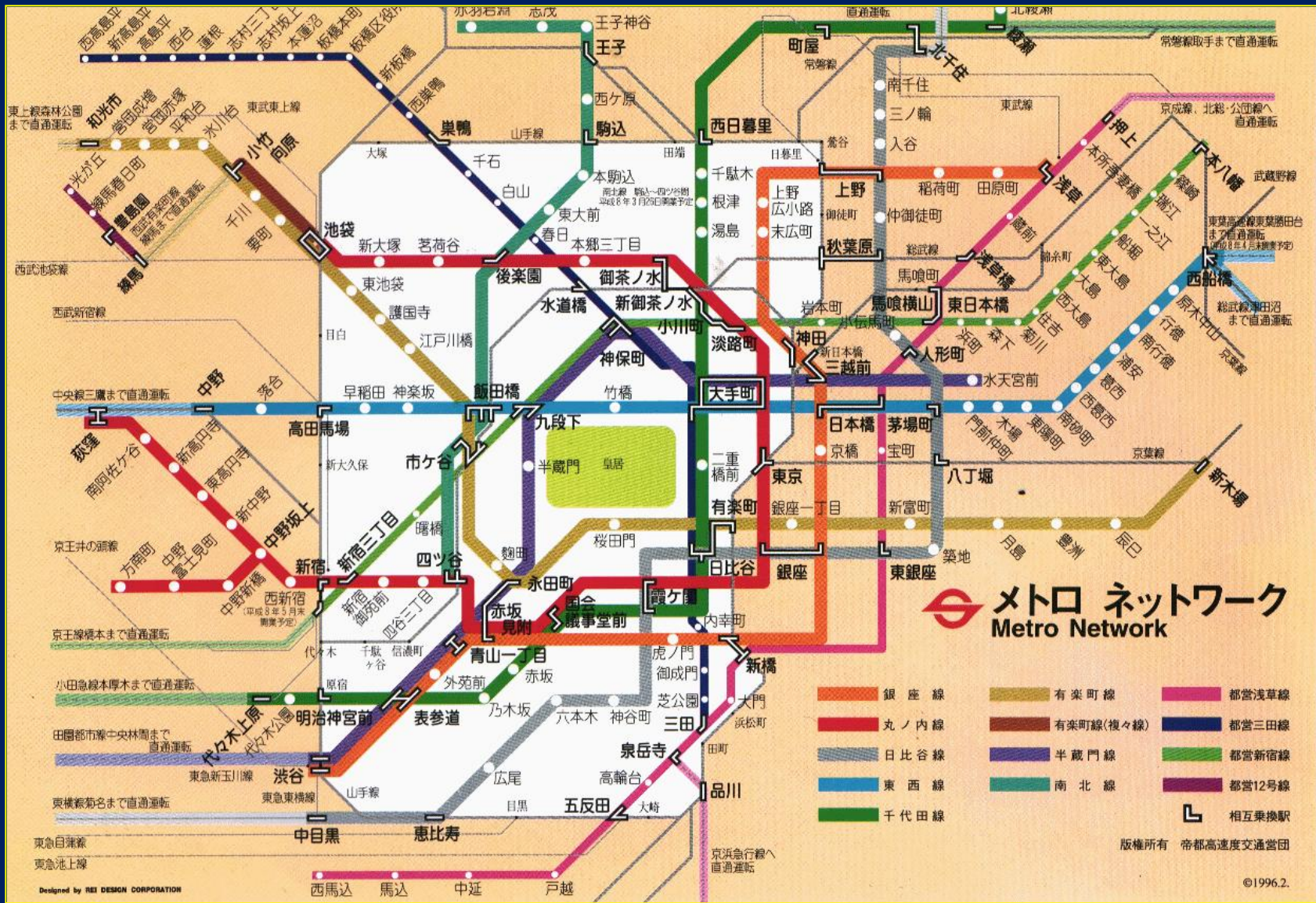
(A) St Germain-en-Laye
(B) Poissy

(C) Versailles R.G.
(D) St Quentin-en-Yvelines

Les stations Liège et Pennes sont fermées après 20 h et les dimanches et fêtes



RATP/CML 9205 Janvier 1992 - Propriété de la RATP



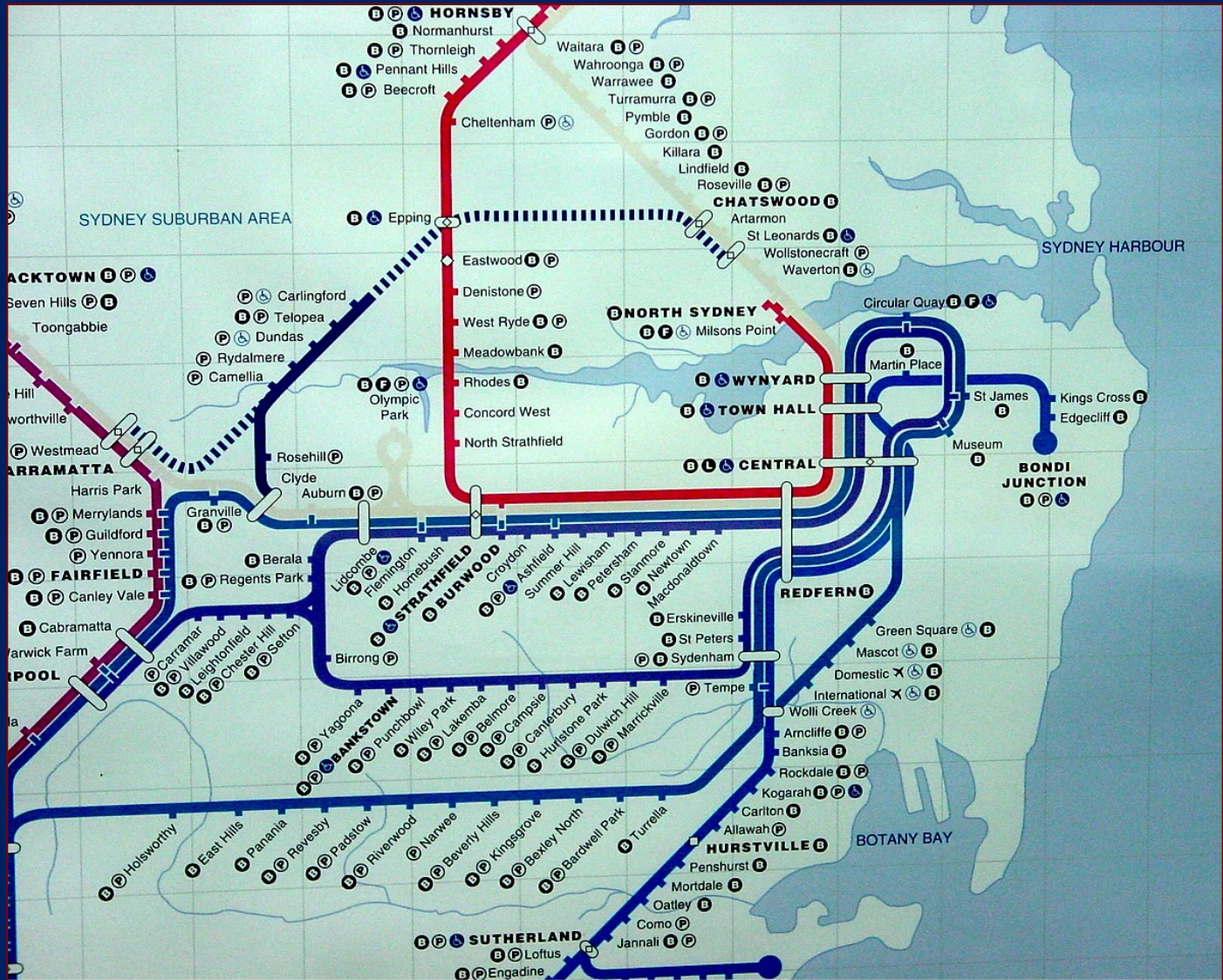
メトロ ネットワーク
Metro Network

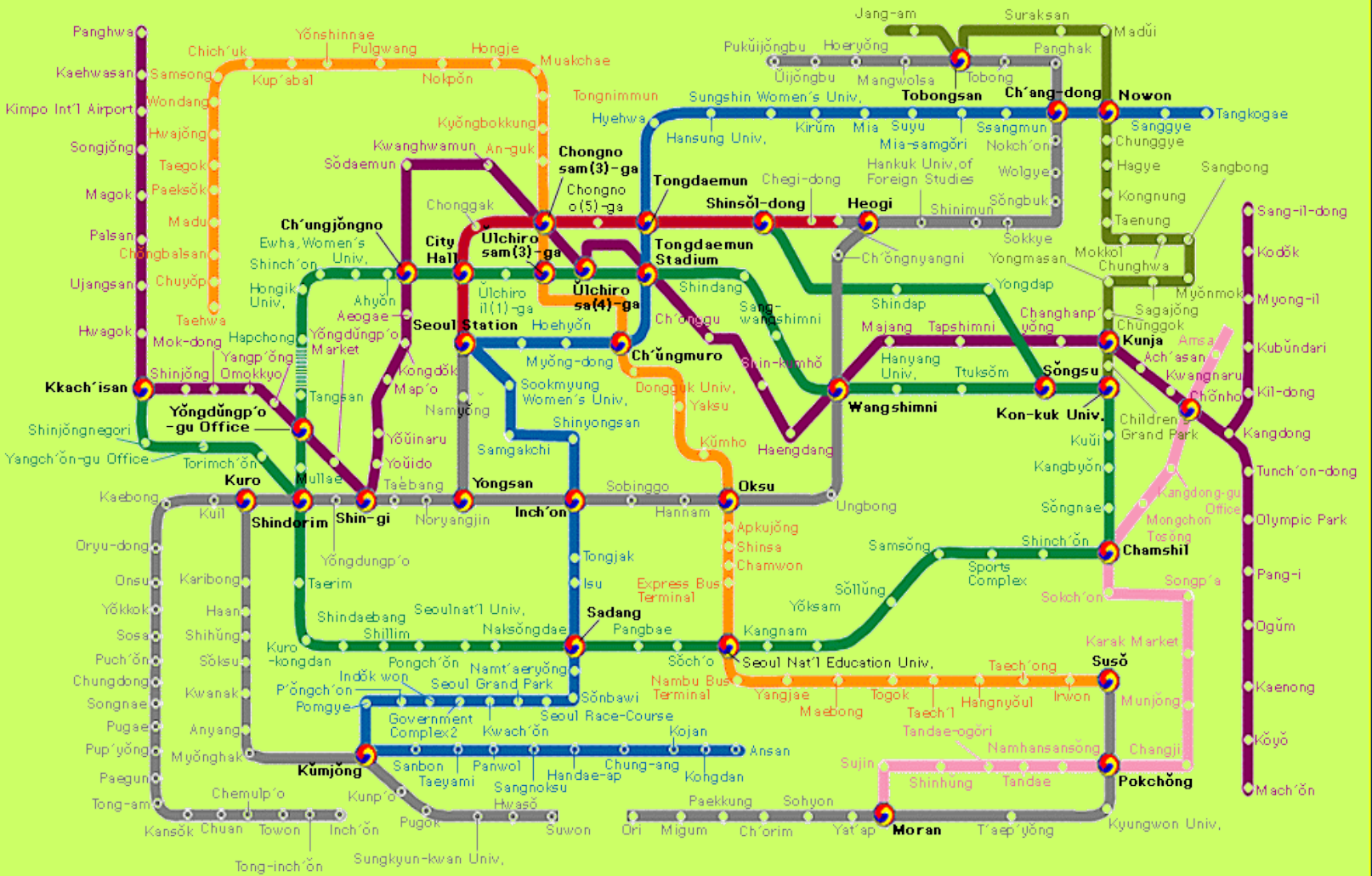
- | | | | | | |
|--|------|--|-----------|--|--------|
| | 銀座線 | | 有楽町線 | | 都営浅草線 |
| | 丸ノ内線 | | 有楽町線(複々線) | | 都営三田線 |
| | 日比谷線 | | 半蔵門線 | | 都営新宿線 |
| | 東西線 | | 南北線 | | 都営12号線 |
| | 千代田線 | | | | 相互乗換駅 |

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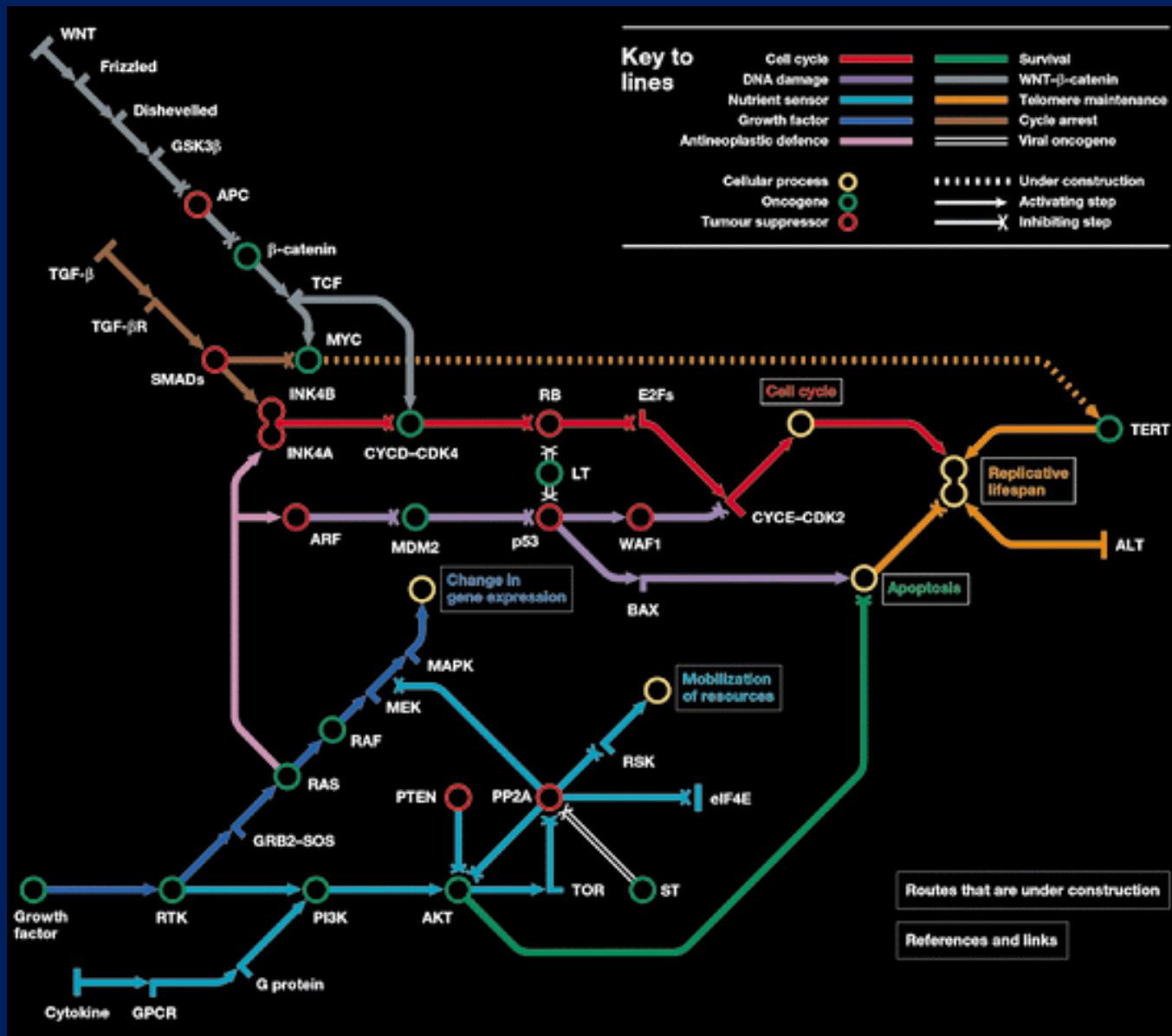
Designed by REI DESIGN CORPORATION

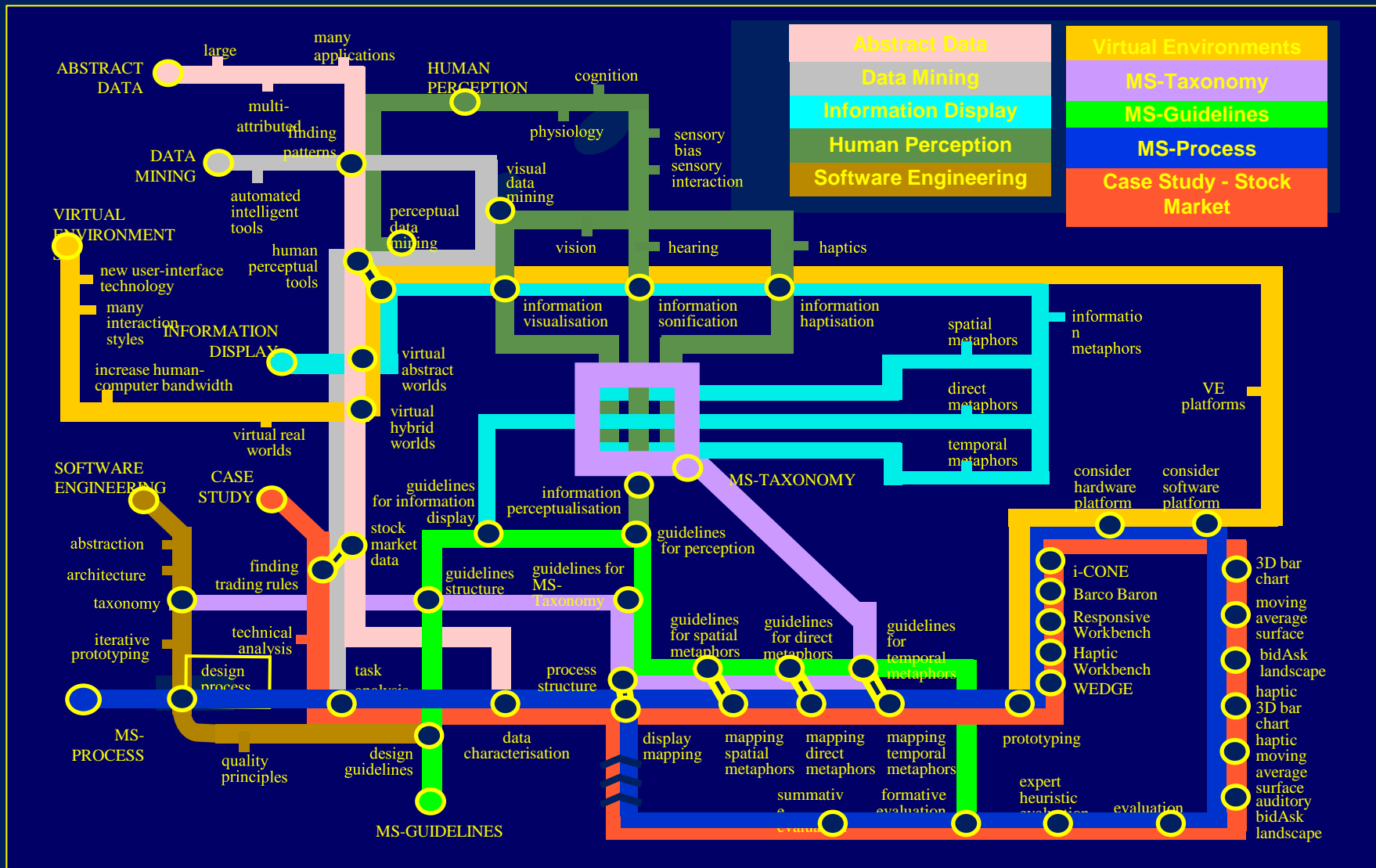






- M A** METRO LINEA "A"
ANAGNINA - OTTAVIANO
- M B** METRO LINEA "B"
LAURENTINA - REBIBBIA
- FUMICINO - OSTIENSE
- PIRAMIDE - C.COLOMBO (OSTIA)





Keith Nesbitt

Scientific Question: Is there an E^3 computer algorithm that can produce a layout of a metro map graph?

($E^3 = \text{Effective, Efficient, Elegant}$)

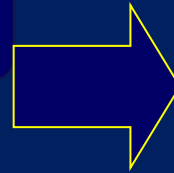
Force directed method

1. Define forces that map good layout to low energy
2. Use continuous optimization methods to find a minimal energy state

Force directed method

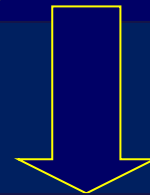
Optimisation goals

- ◆ Routes straight
- ◆ Routes horizontal/ vertical/ 45°.



Set of forces:

- ◆ Stations → steel rings
- ◆ Interconnections → springs
- ◆ Vertical/horizontal/45° magnetic field
- ◆ Further forces to preserve input topology



Find a layout with minimum energy

Elegance

Very intuitive mapping

Force directed method

Optimisation goals

- ◆ Routes straight
- ◆ Routes horizontal/ vertical/ 45°.

Set of forces:

- ◆ Stations → steel rings
- ◆ Interconnections → springs
- ◆ Vertical/horizontal/45° magnetic field

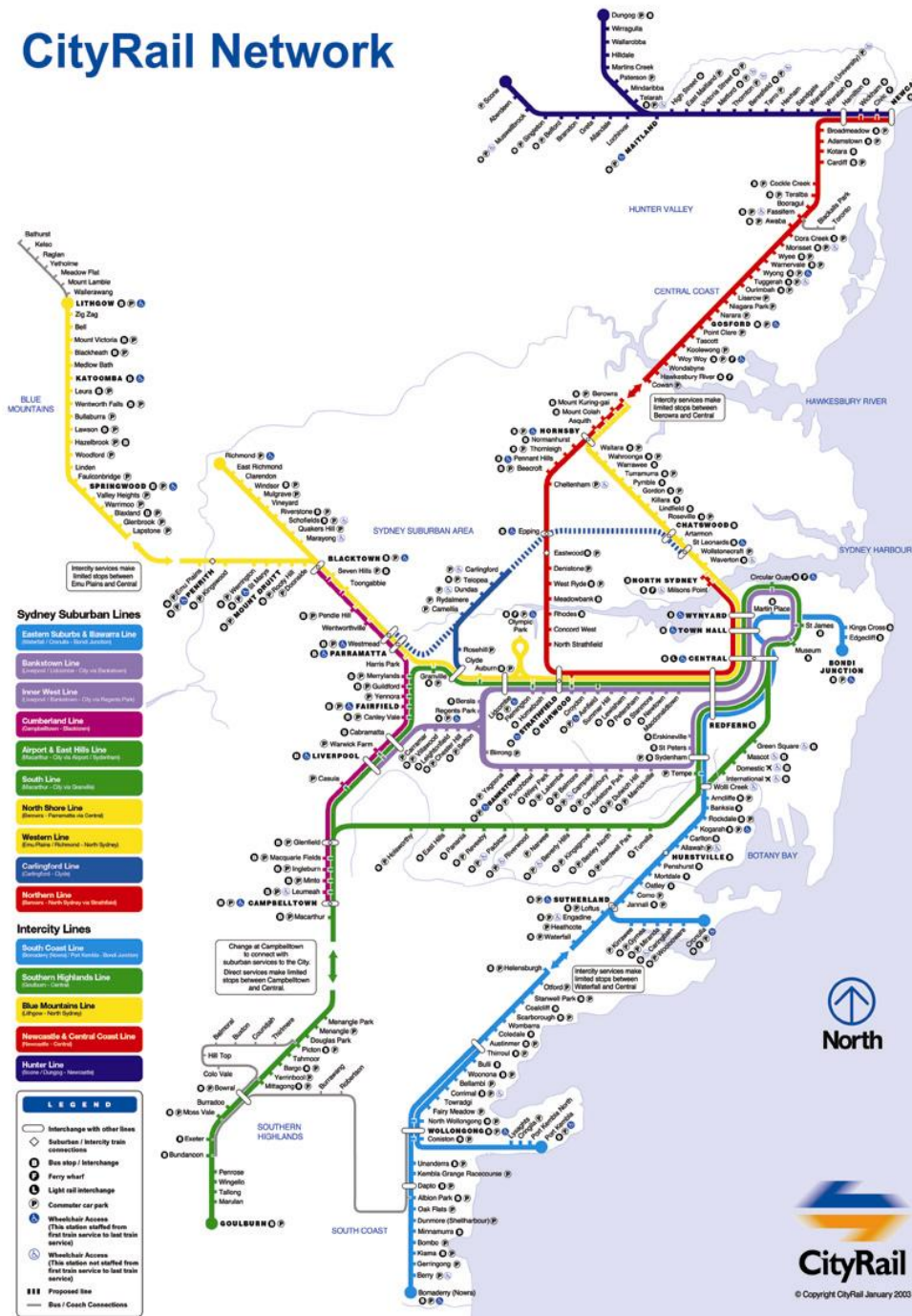
**Very
Elegant!**

Find a layout with minimum energy

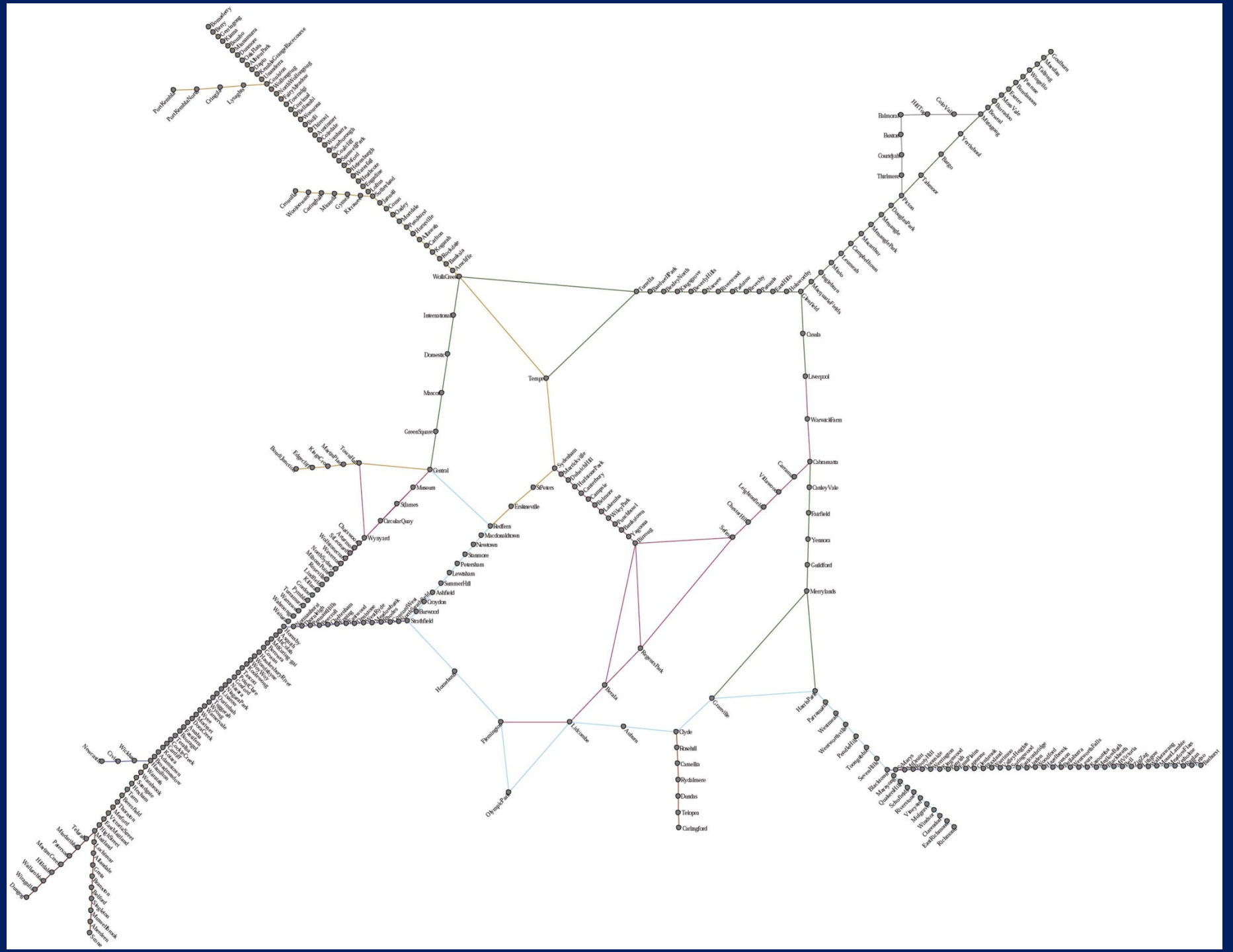
**Classical
numerical
methods**

Effectiveness

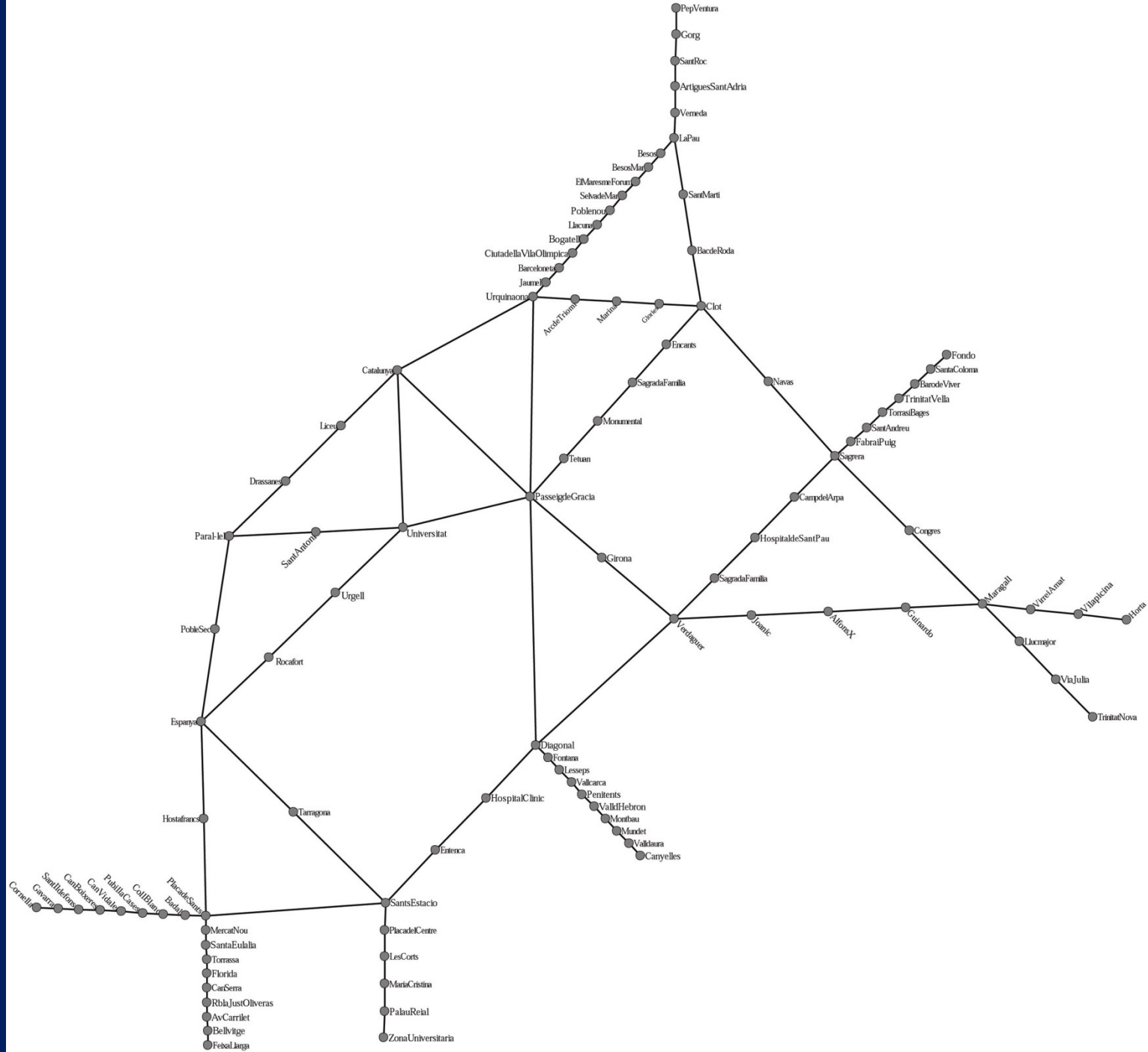
CityRail Network

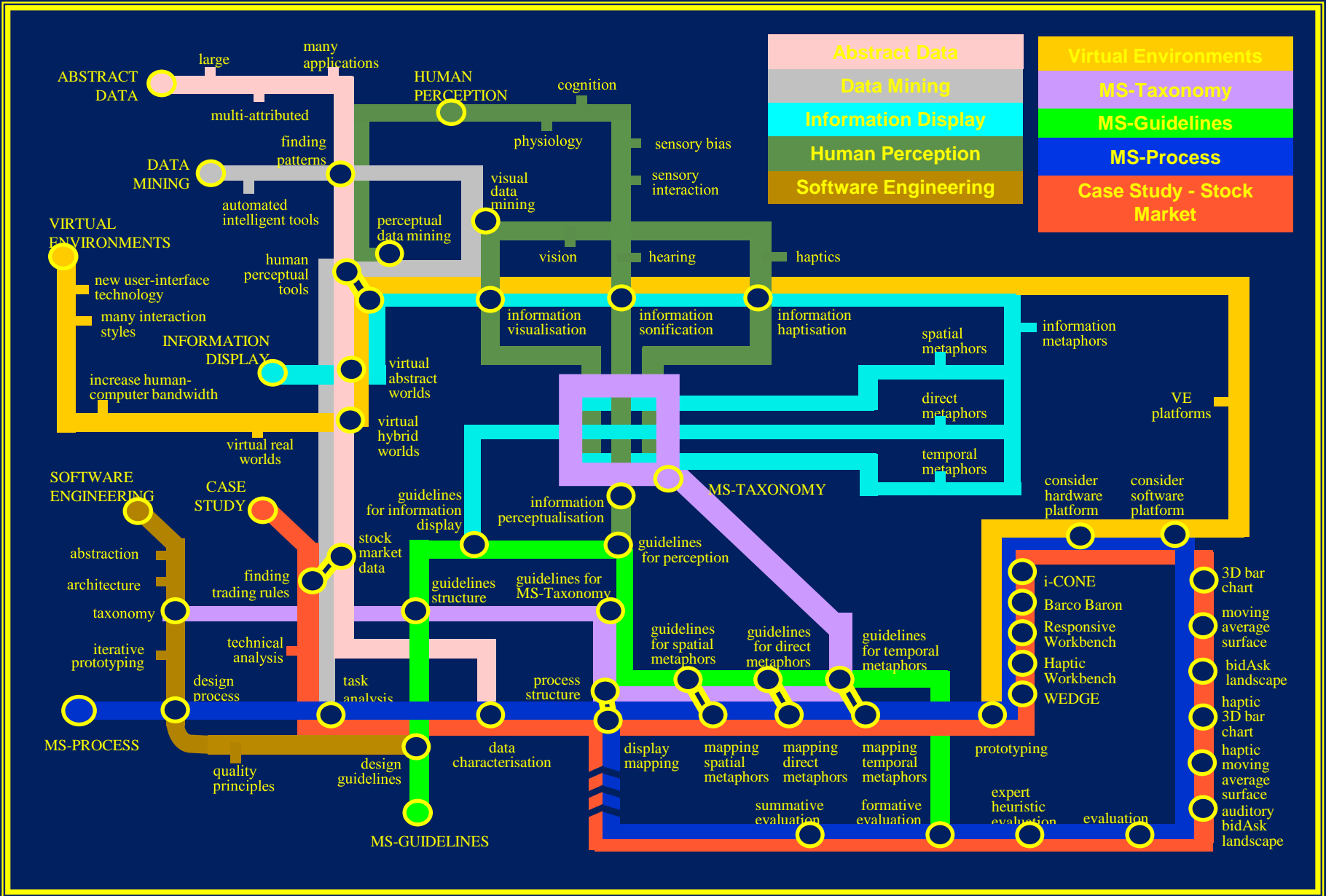


© Copyright CityRail January 2003







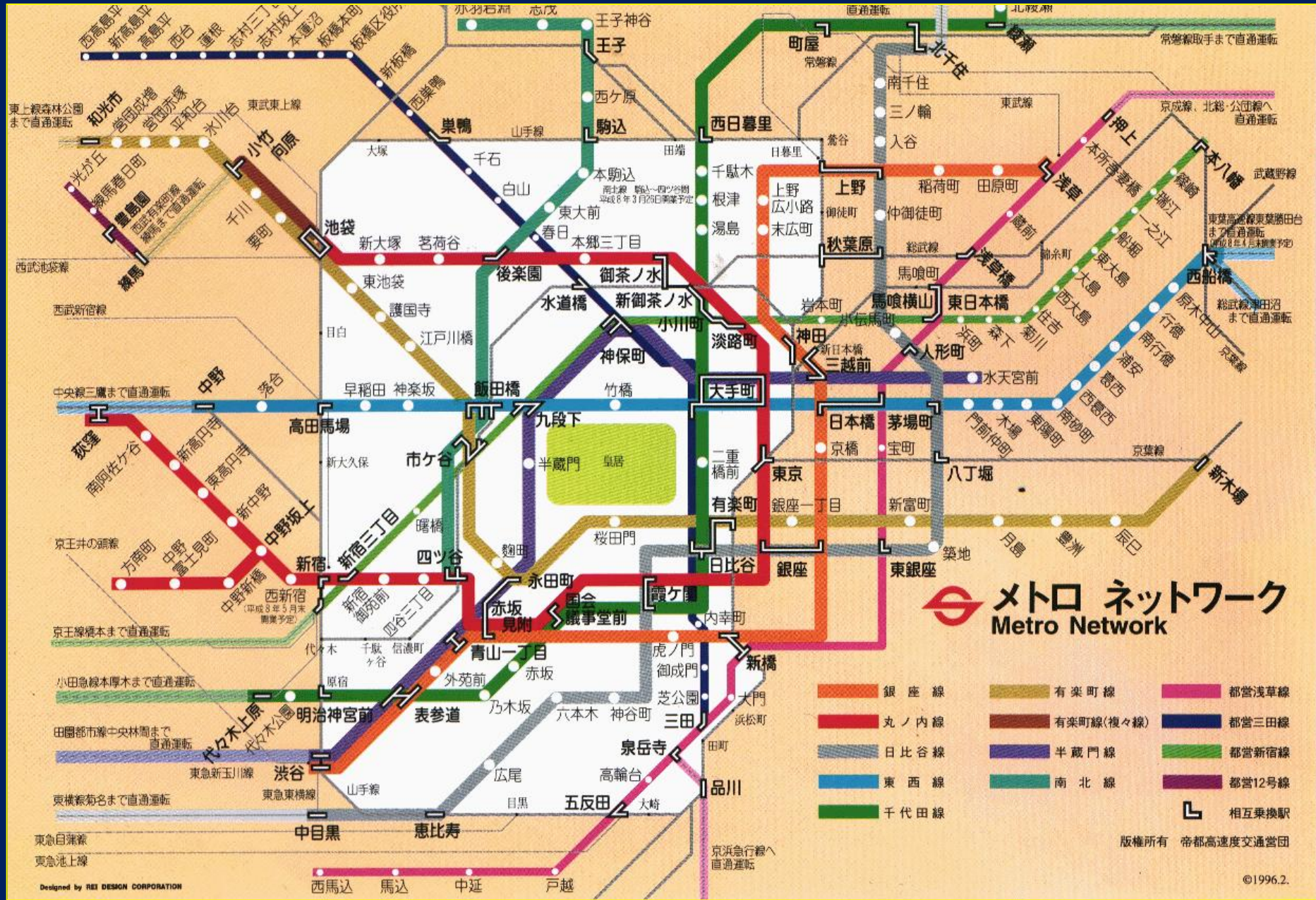








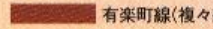









London Travel Information 0171-222 1234 24 hours
Minicom 0171-918 3015

Key to lines

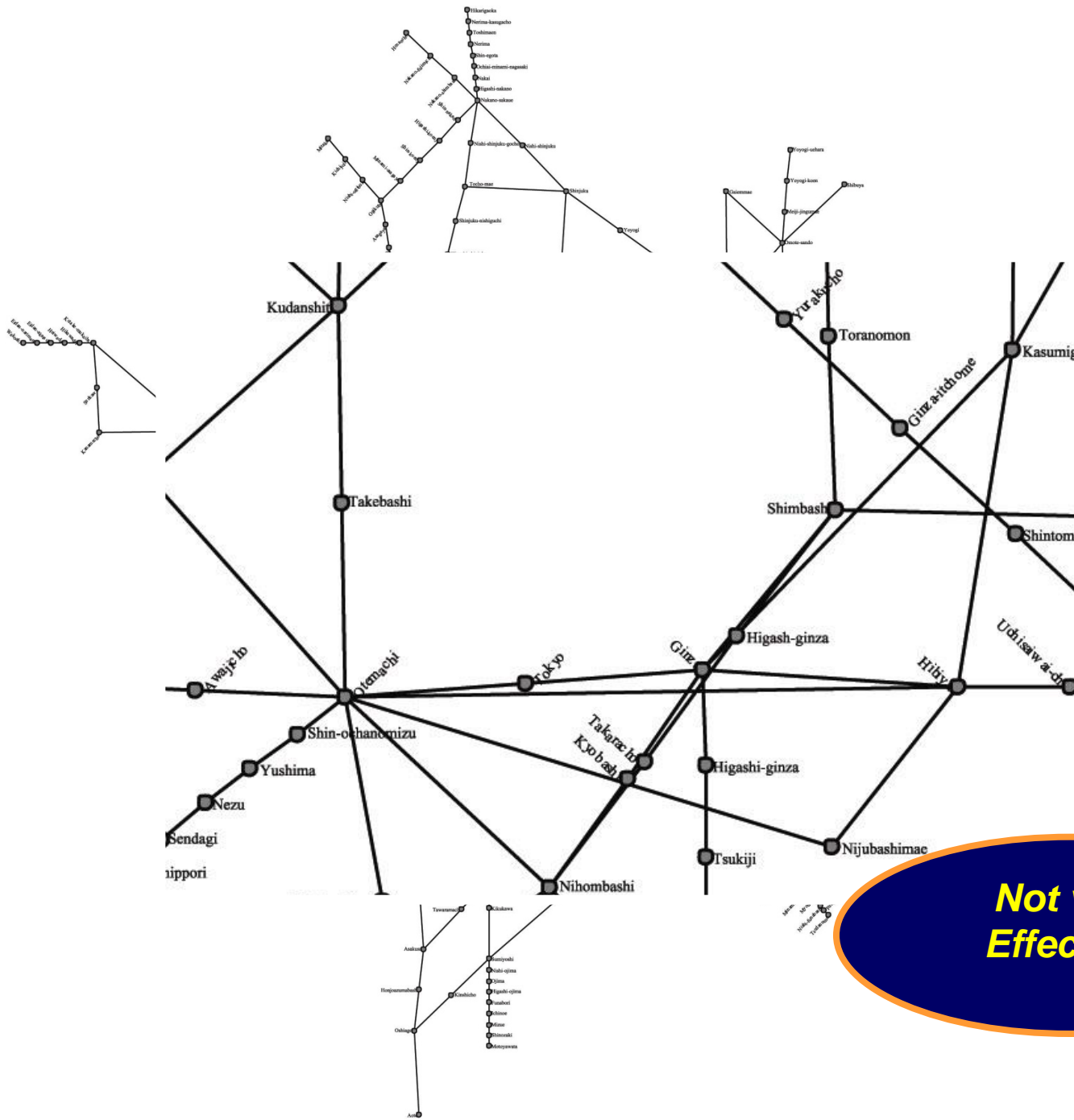
Bakerloo	Metropolitan	○ Interchange stations
Central	Northern	◻ Connections with National Railways within walking distance
Clapham High Street	Piccadilly †	✚ Airport Interchange
Clapham Common	Victoria	★ Closed Sundays
Clapham South	Waterloo & City †	★★ Closed Saturdays and Sundays
Clapham North	Docklands Light Railway	▲ Served by Piccadilly line trains early morning and late evening
Batham	under construction	† For opening times see poster Journey planners. Certain stations are closed on public holidays.
Tooting Bec	National Railways	
Tooting Broadway	restricted service	
Colliers Wood		
South Wimbledon		
Morden		



メトロ ネットワーク
Metro Network

- | | | |
|--|---|--|
|  銀座線 |  有楽町線 |  都営浅草線 |
|  丸ノ内線 |  有楽町線(複々線) |  都営三田線 |
|  日比谷線 |  半蔵門線 |  都営新宿線 |
|  東西線 |  南北線 |  都営12号線 |
|  千代田線 | |  相互乗換駅 |

版權所有 帝都高速度交通営団



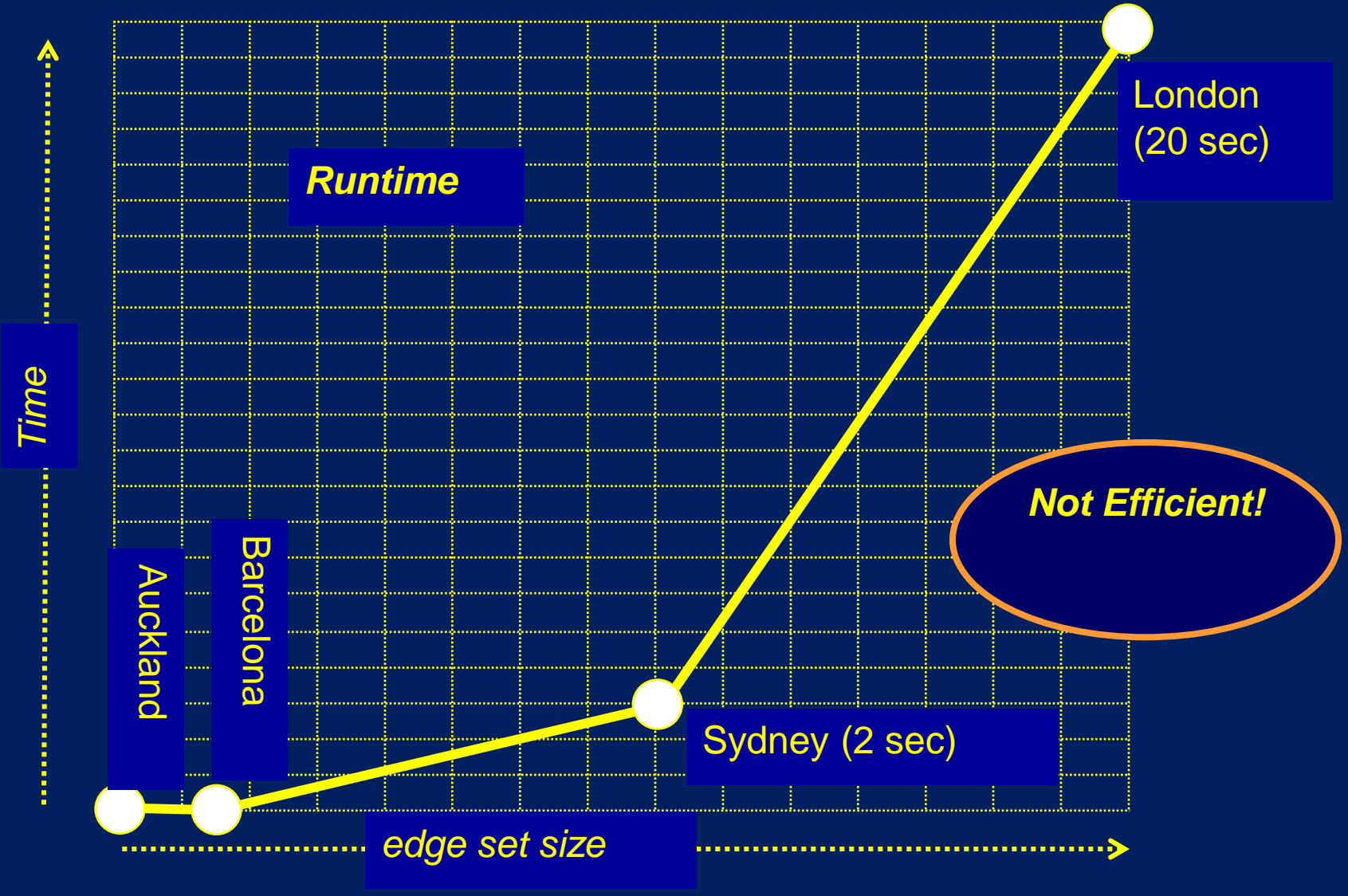
Not very Effective!

The force directed method is a little bit effective, but not very effective.

It needs manual post-processing:

- This uses the time of a professional graphic artist
- Increases cost
- Increases time-to-market

Efficiency

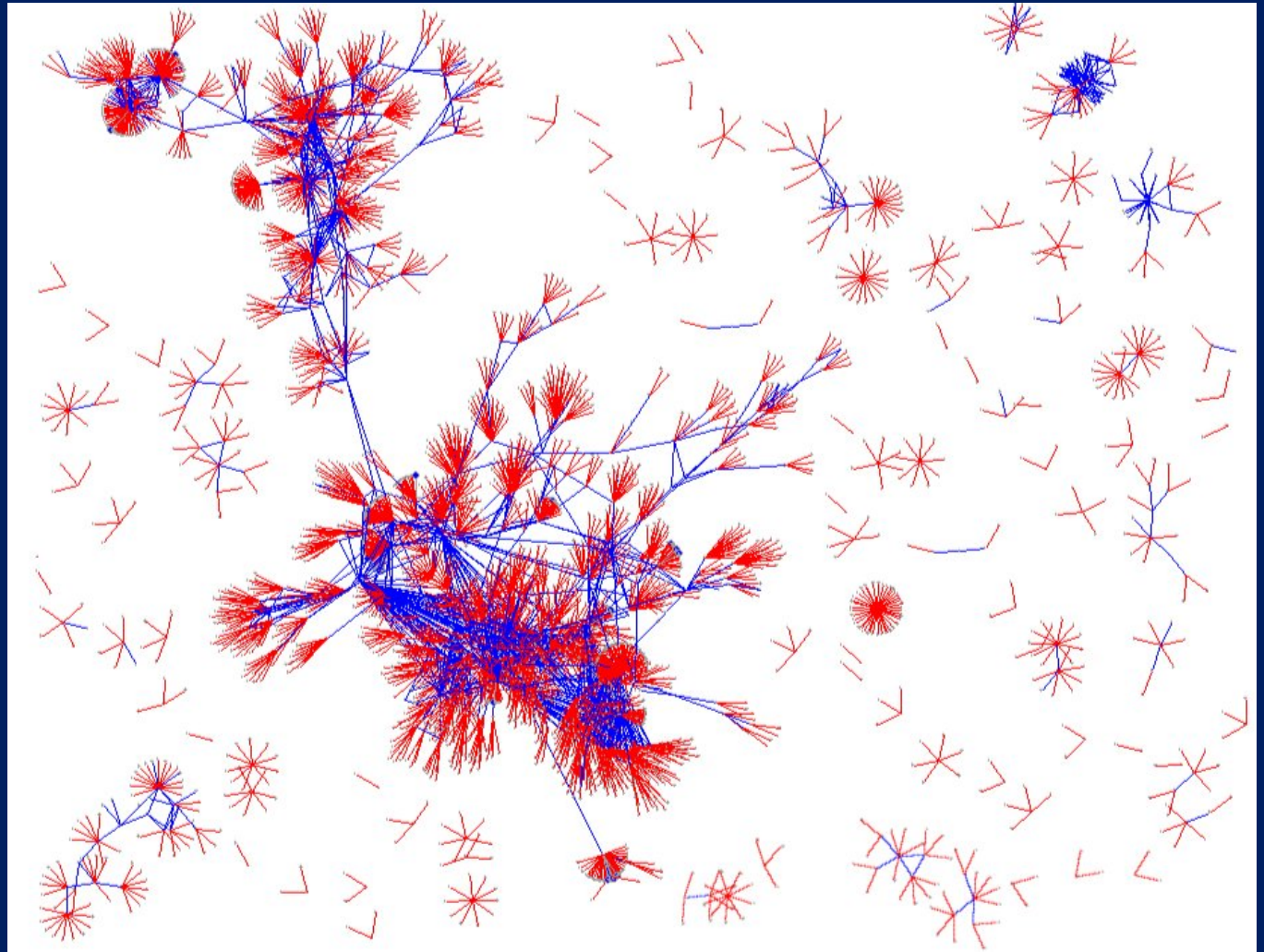


The force directed method for metro maps is not computationally efficient.

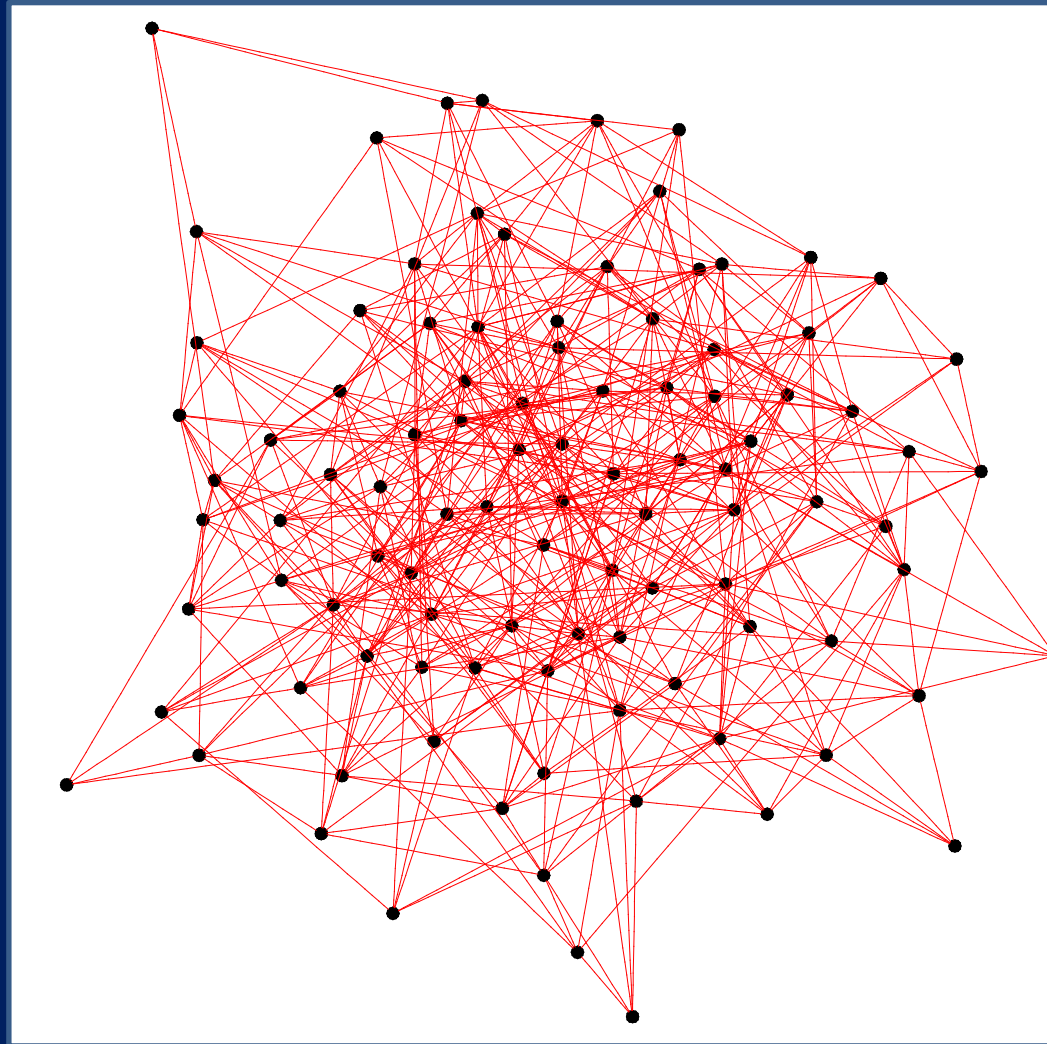
We need better ways to solve the equations.

The performance of force directed methods on metro maps is typical .

For some data sets, force directed methods give reasonable drawings.



For some data sets, force directed methods do not give reasonable drawings.



How good are current force directed methods?

Efficiency:

- OK for small graphs
- Sometimes OK for larger graphs

Elegance:

- Many simple methods, easy to implement
- Numerical software often available

Effectiveness:

- Very flexible
- Straight-line edges
- Planar graphs are not drawn planar
- Very poor untangling for large graphs

The state-of-the-art for force directed methods in practice:

- Many commercial force-directed tools graph drawing methods are available
 - IBM (ILOG)
 - TomSawyer Software
 - yWorks
- Much free software available
 - GEOMI
 - GraphVis
- Force-directed methods account for 60 – 80% of commercial and free graph drawing software

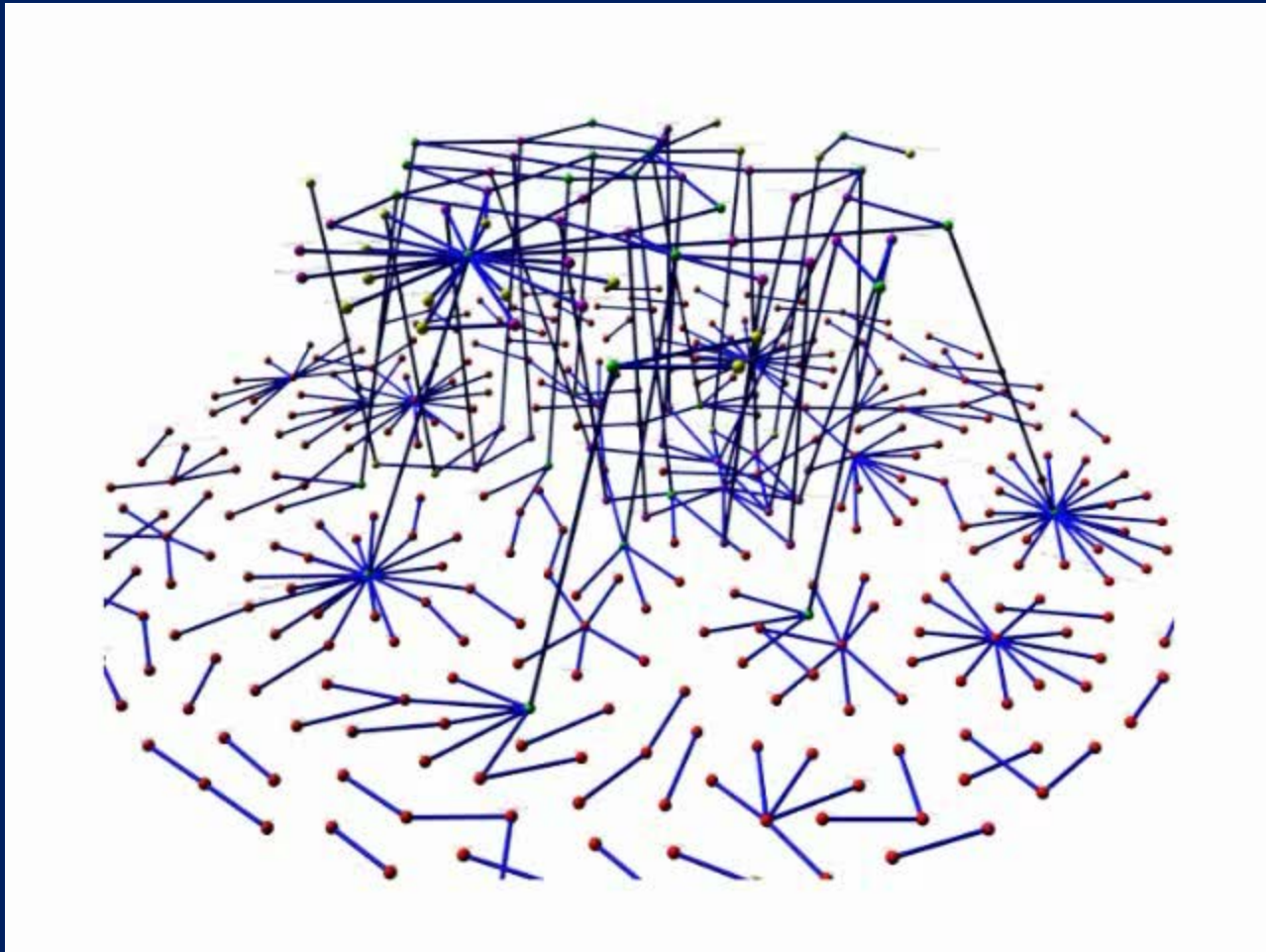
2. How to draw a planar graph?

- a) Before Tutte: 1920s – 1950s
- b) Tutte: 1960s
- c) After Tutte: 1970s – 1990s
- d) Recent work: since 2000

Recent work: since 2000

- Faster force-directed algorithms
- New metaphors in 2.5D
- New edge-crossing criteria:
slightly non-planar graphs

New metaphors in 2.5D



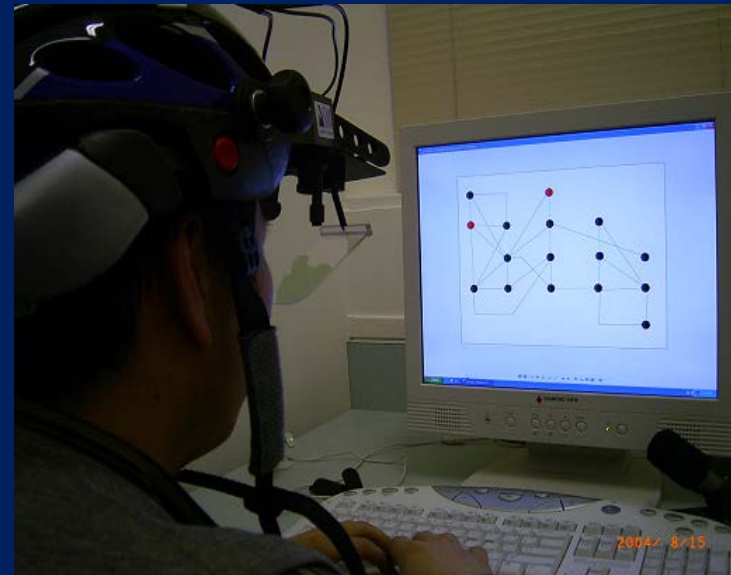
New edge-crossing criteria:

Slightly non-planar graphs

Motivation

Tony Huang 2003+: Series of human experiments

- Eye tracking experiments to suggest and refine theories
- Controlled lab experiments to prove theories.



Eye-tracking: suggestions:

**Large angle crossings are OK:
no effect on eye movements**



Lab experiments: proof

- Suggestion was confirmed with traditional controlled human lab experiments

Huang's thesis

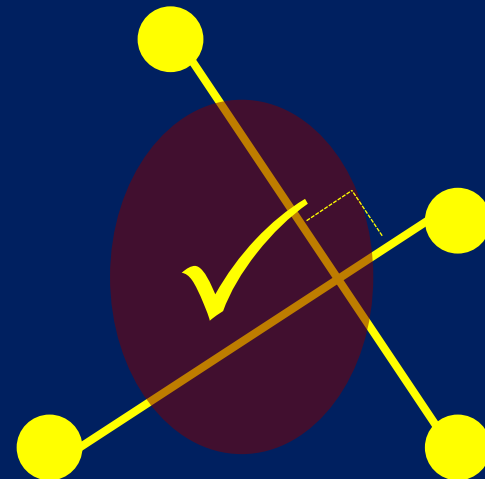
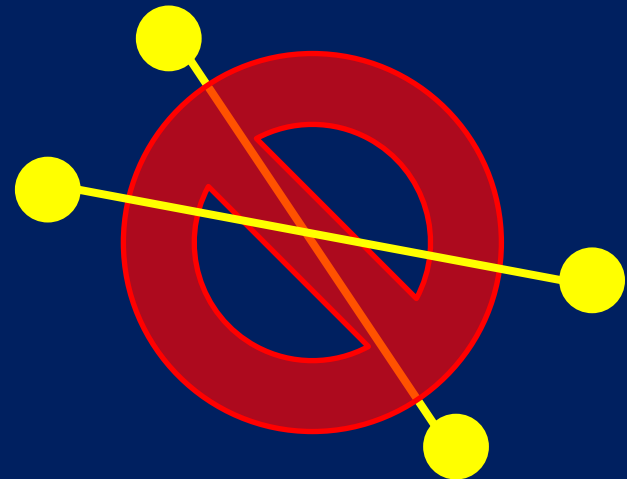
If the crossing angles are large, then
non-planar drawings are OK.

How can we draw graphs with large crossing angles?

Right Angle Crossing (RAC) Graphs

Right-Angle Crossing (RAC) graphs:

- Straight-line edges
- If two edges cross, then the crossing makes a right angle



Questions for slightly non-planar graphs:

- How dense can a RAC graph be?

Theorem (Liotta, Didimo, Eades, 2009)

Suppose that G is a RAC graph with n vertices and m edges.
Then $m \leq 4n - 10$.

Questions for slightly non-planar graphs:

- How dense can a RAC graph be?
- How can you compute a drawing of a RAC graph?

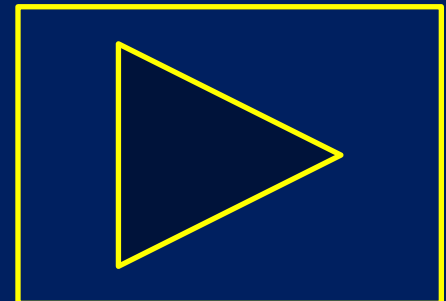
*Theorem (Liotta, Eades)**

The following problem is NP-hard:

Input: A graph G

Question: Is there a straight-line RAC drawing of G ?

*Independently proved by Argyriou, Bekos and Symvonis



Theorem (Liotta, Eades)*

The following problem is NP-hard:

Input: A graph G

Question: Is there a straight-line RAC drawing of G ?

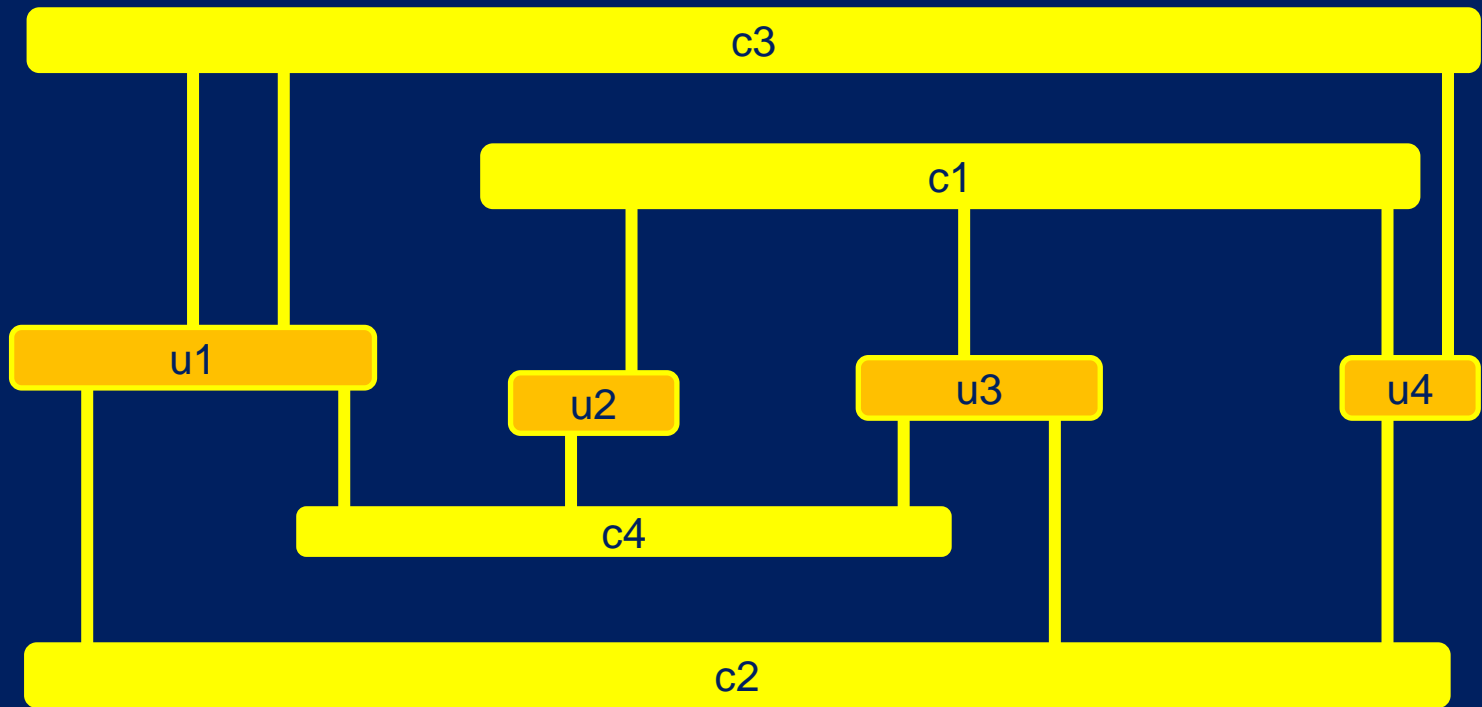
Proof

- Reduction from *planar-3-sat*.
- Draw the instance H of planar-3-sat as a template.
- Fill in details of the template H to form a graph G that has a RAC drawing if and only if H is satisfiable.

Proof

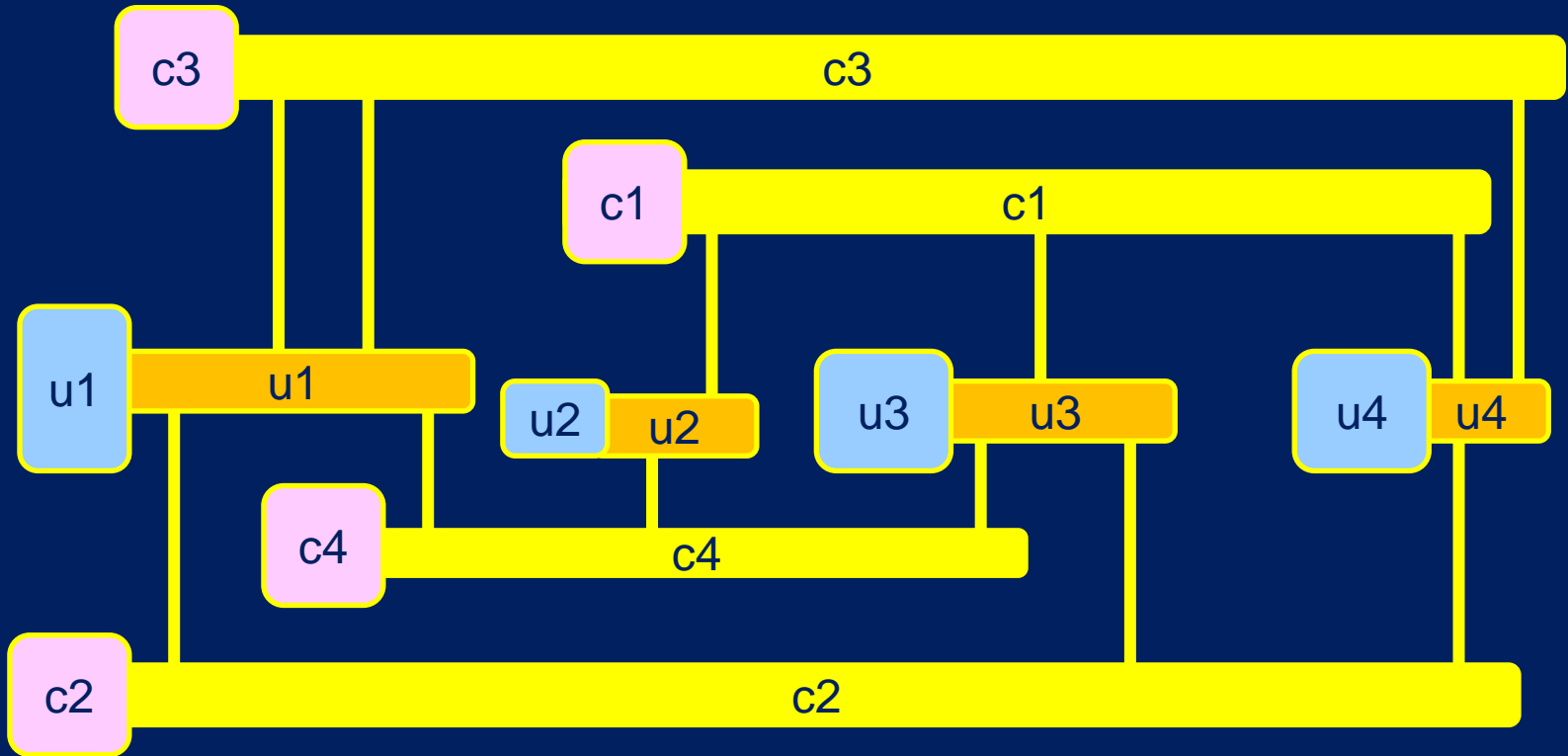
- Reduction from planar-3-sat
- Draw the instance H of planar-3-sat as a template
- Fill in details of the template H to form a graph G that has a RAC drawing if and only if H is satisfiable.

- Fairly generic proof strategy for NP-hardness for layout problems.



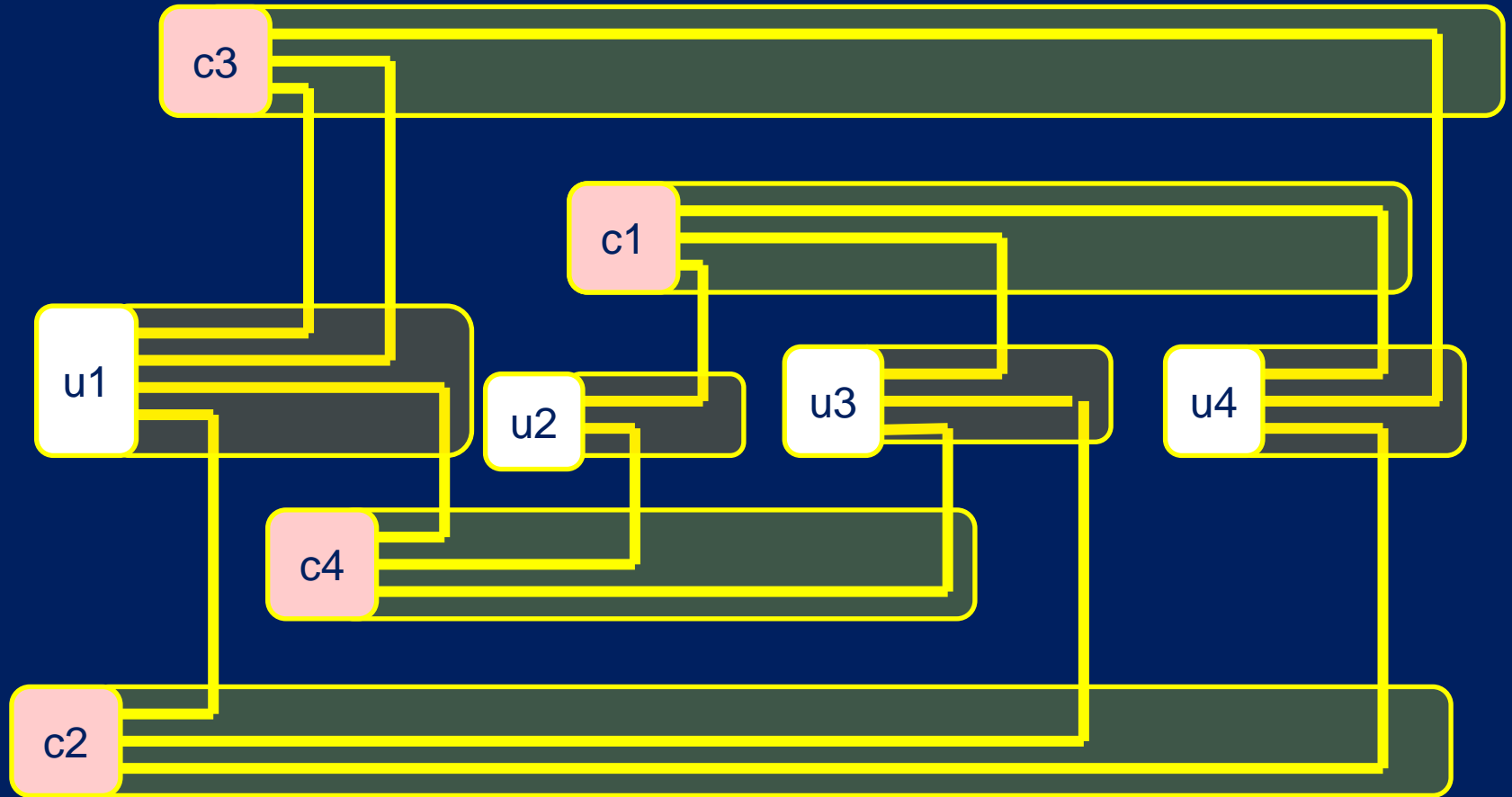
Instance H of planar 3-sat graph

1. Draw H as a visibility drawing



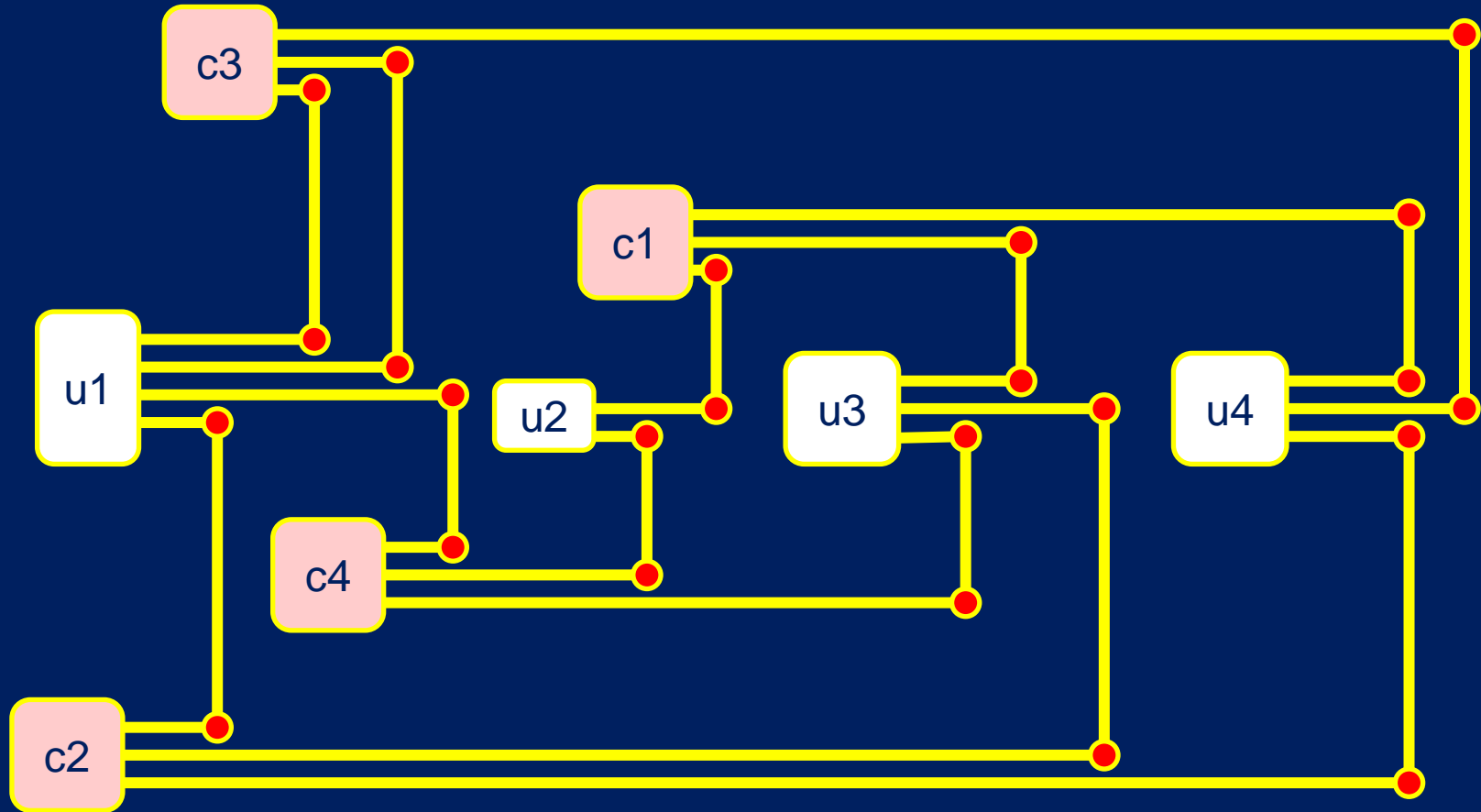
2. Enhance the drawing:

- “node boxes” for
 - clauses c_1, c_2, \dots
 - variables u_1, u_2, \dots

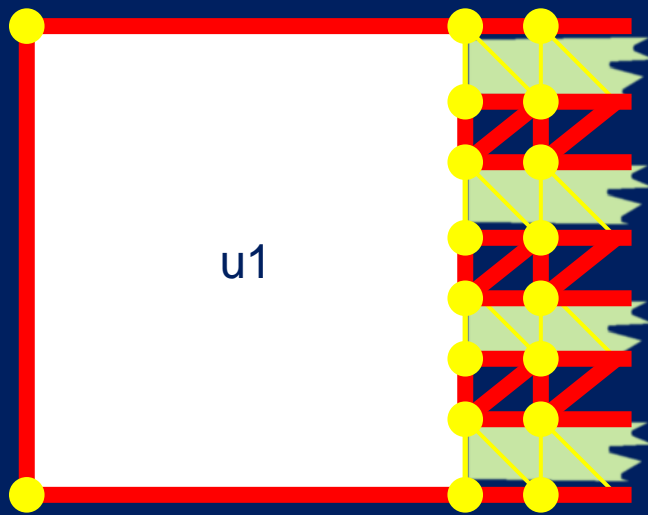


3. Transform to a 2-bend drawing

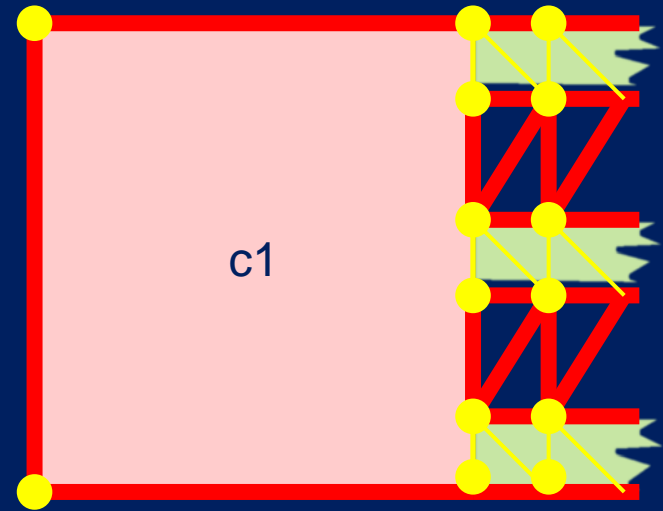
➤ “pipes” to communicate between variables and clauses



4. Transform to a no-bend drawing
➤ extra nodes at bend points

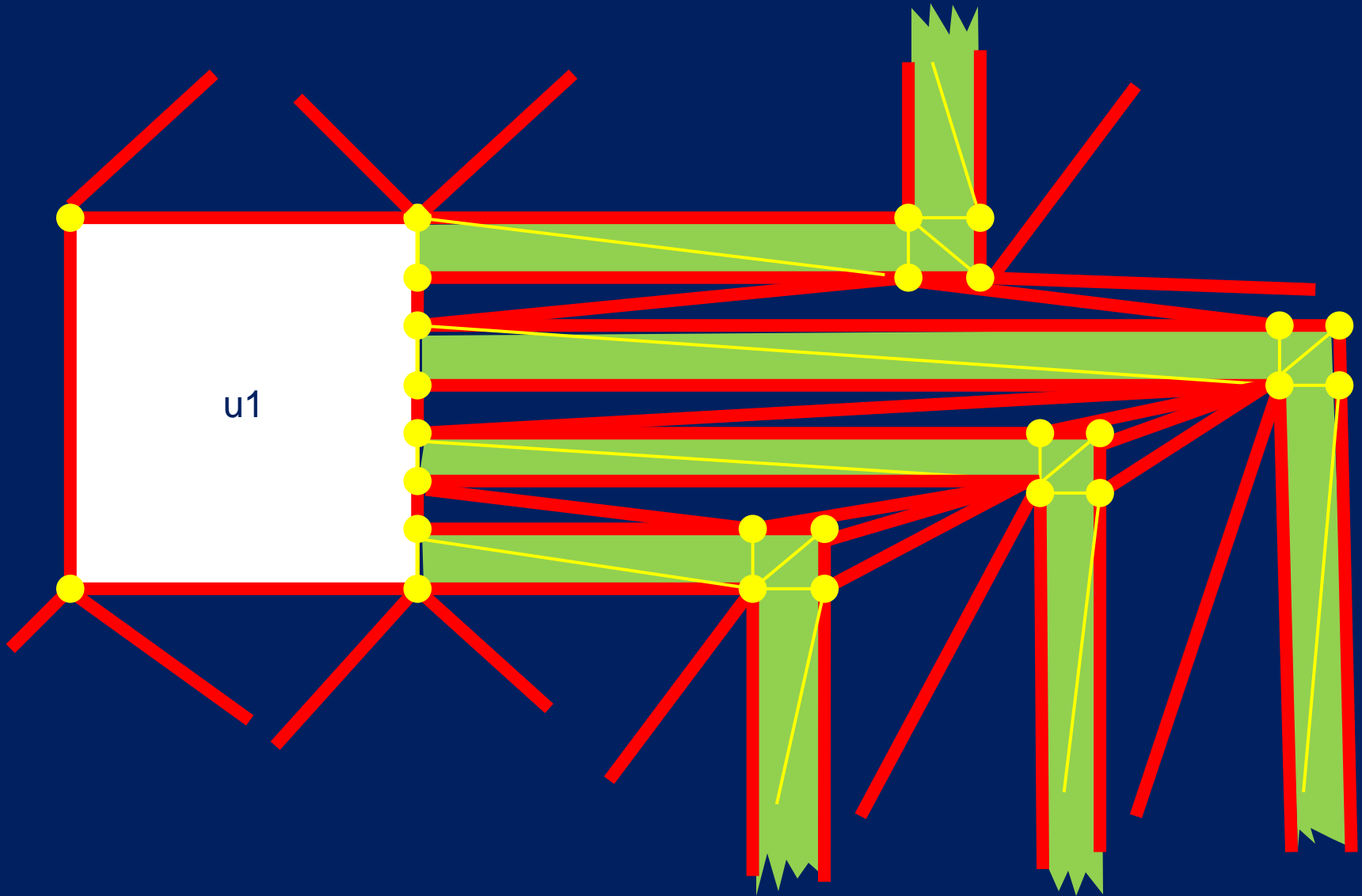


variable

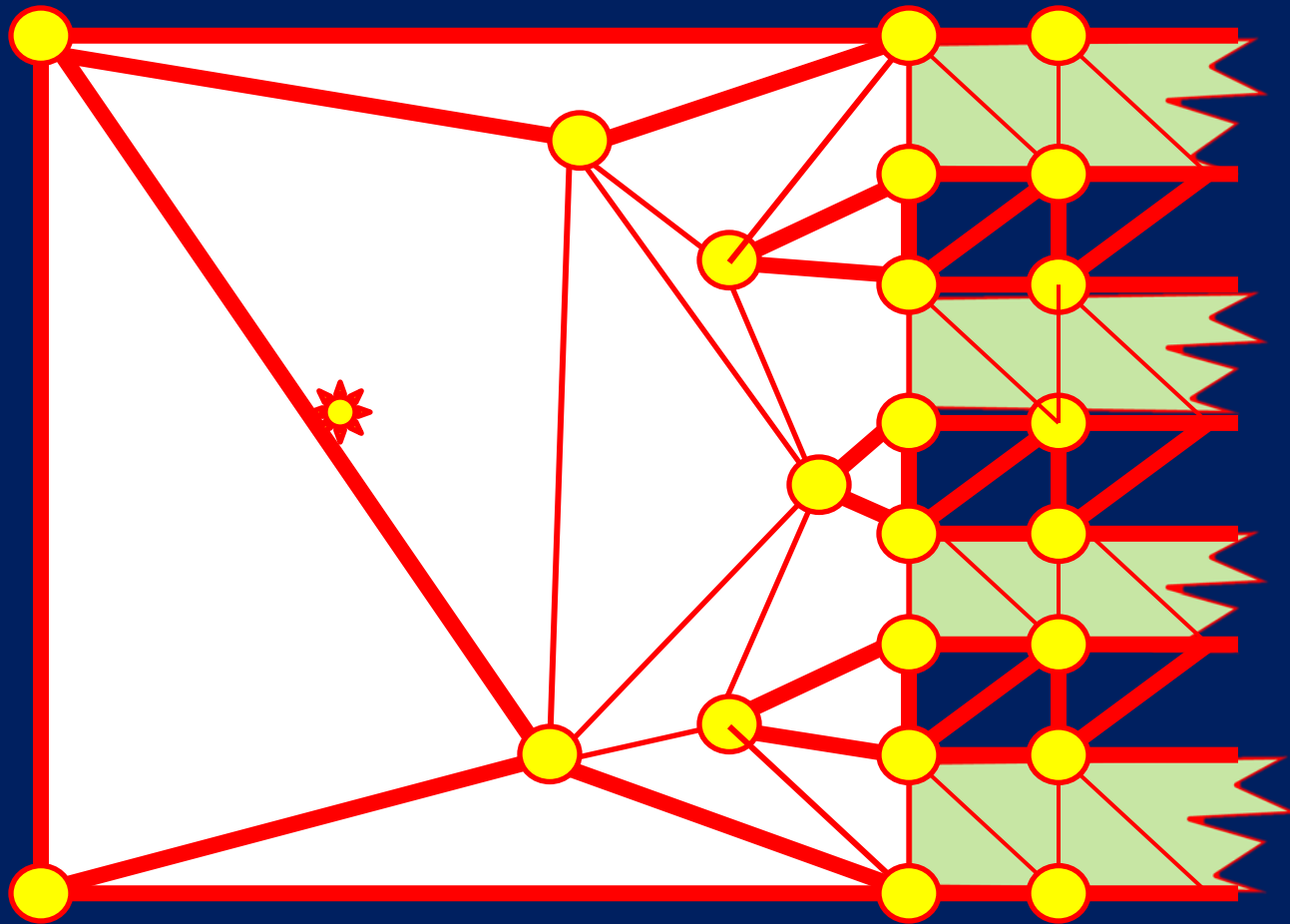


clause

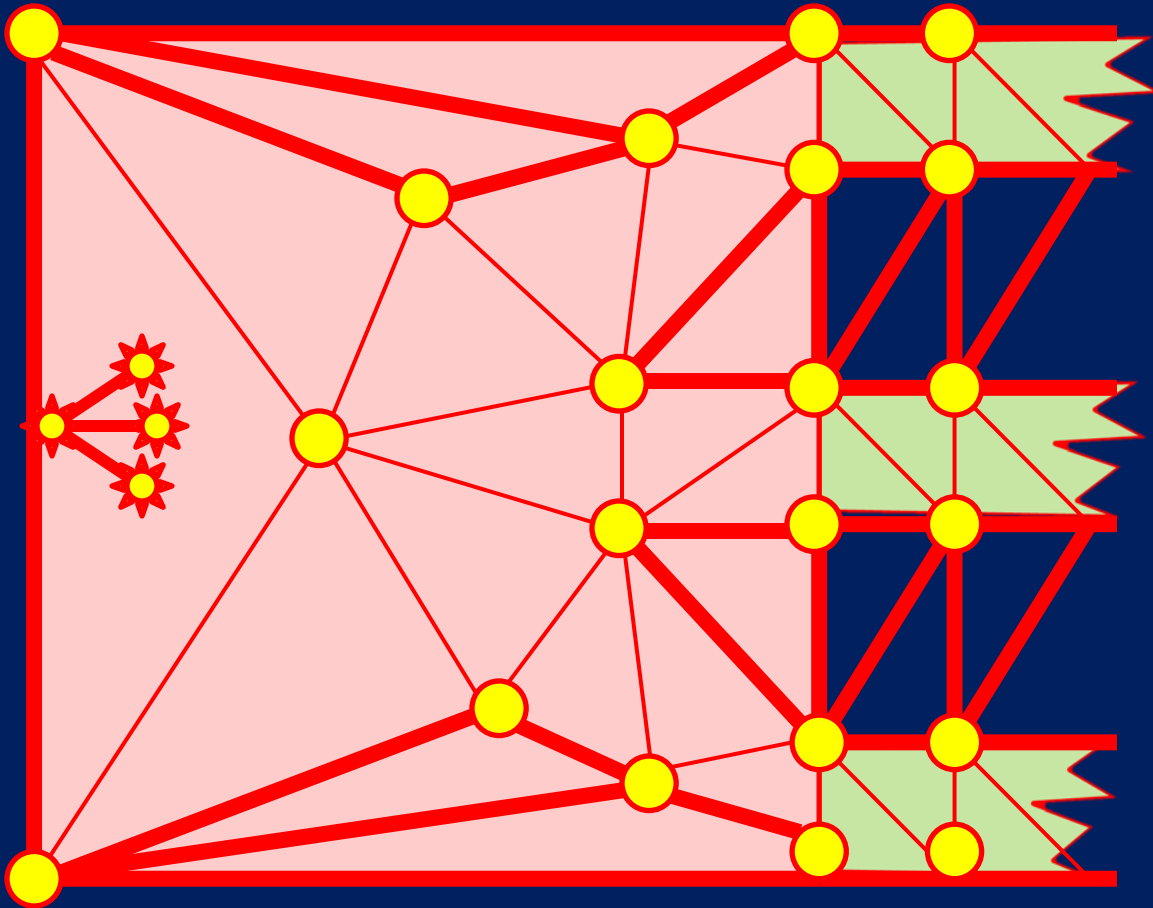
External appearance of “node boxes”, with
“pipes” attached



External appearance of “node box”, with pipes attached, showing some of the external triangulation

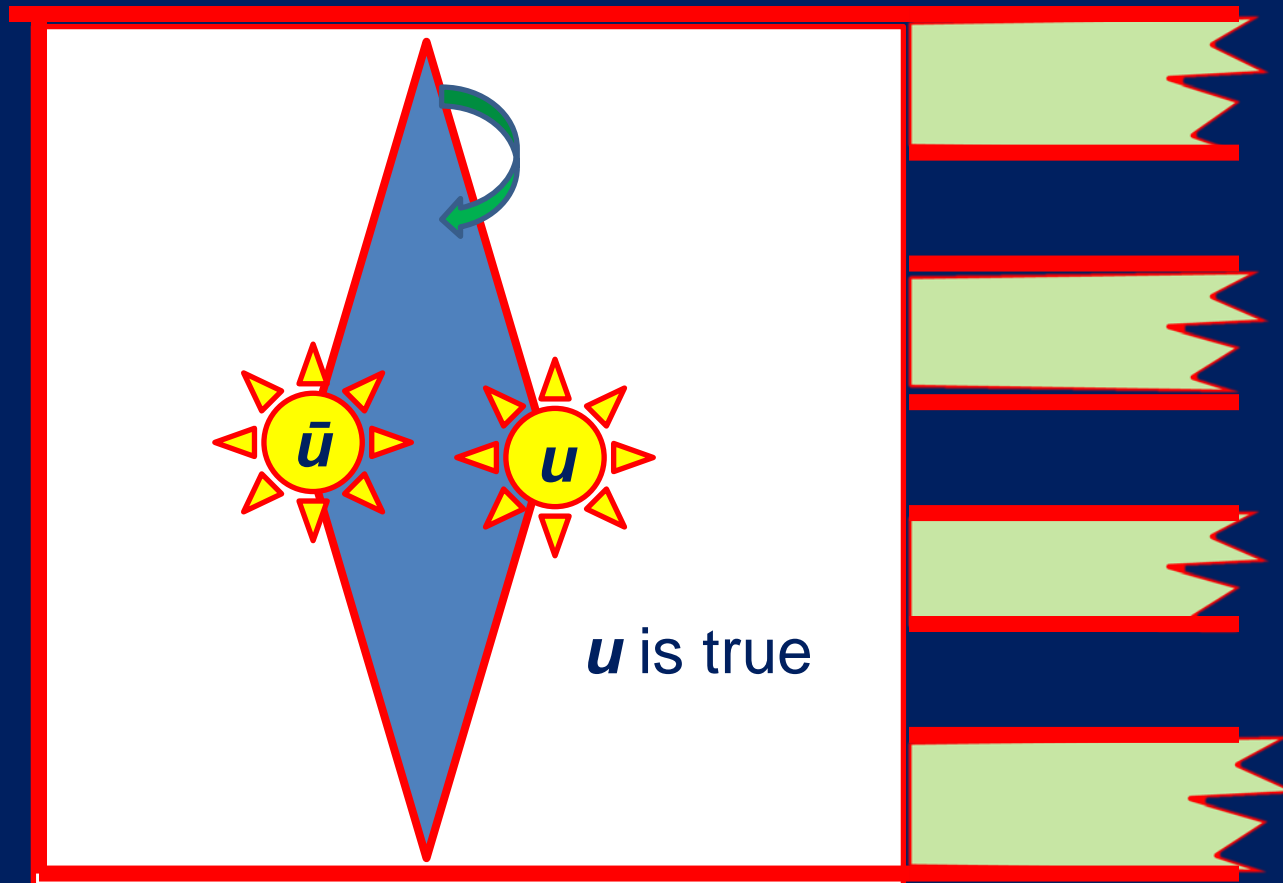


Variable gadget with pipes attached

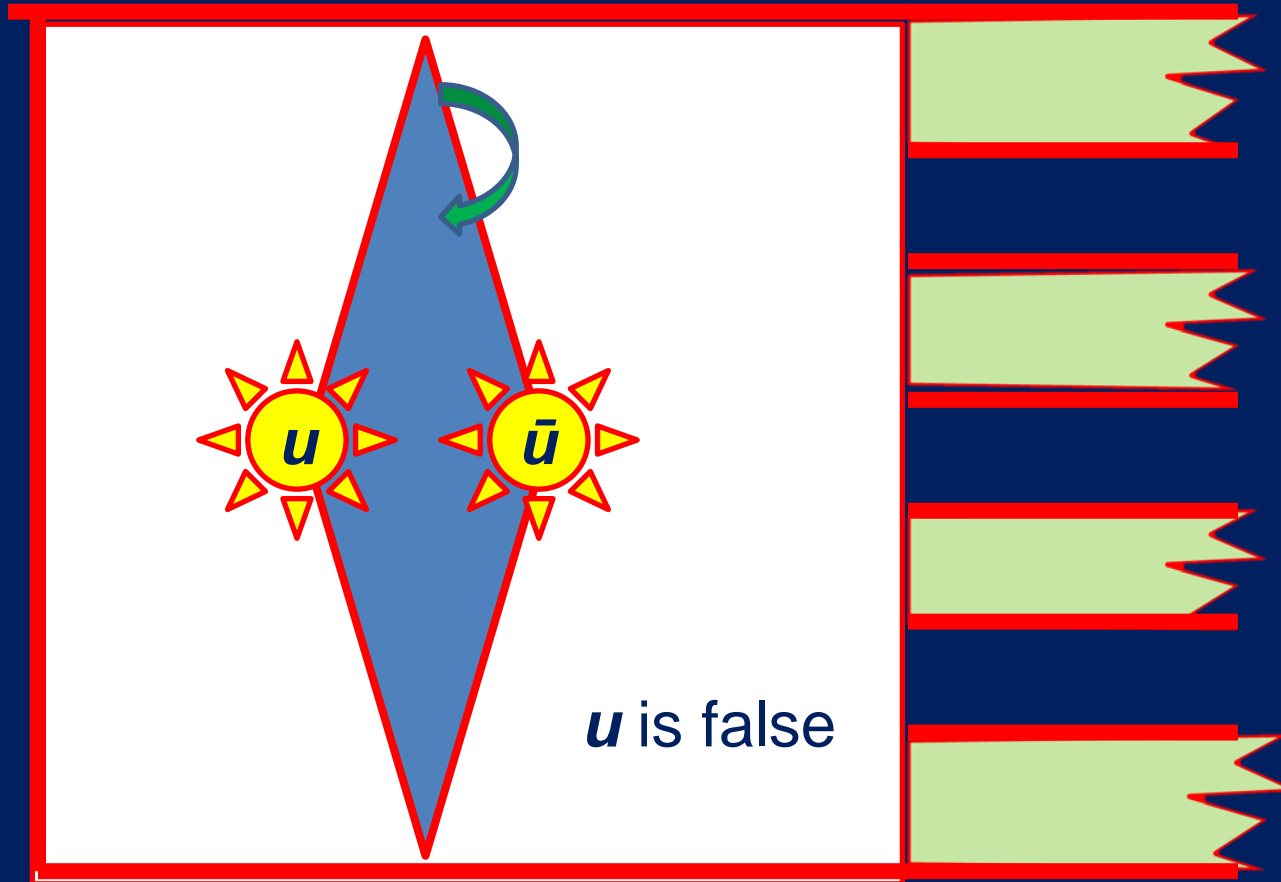


Clause gadget with pipes attached

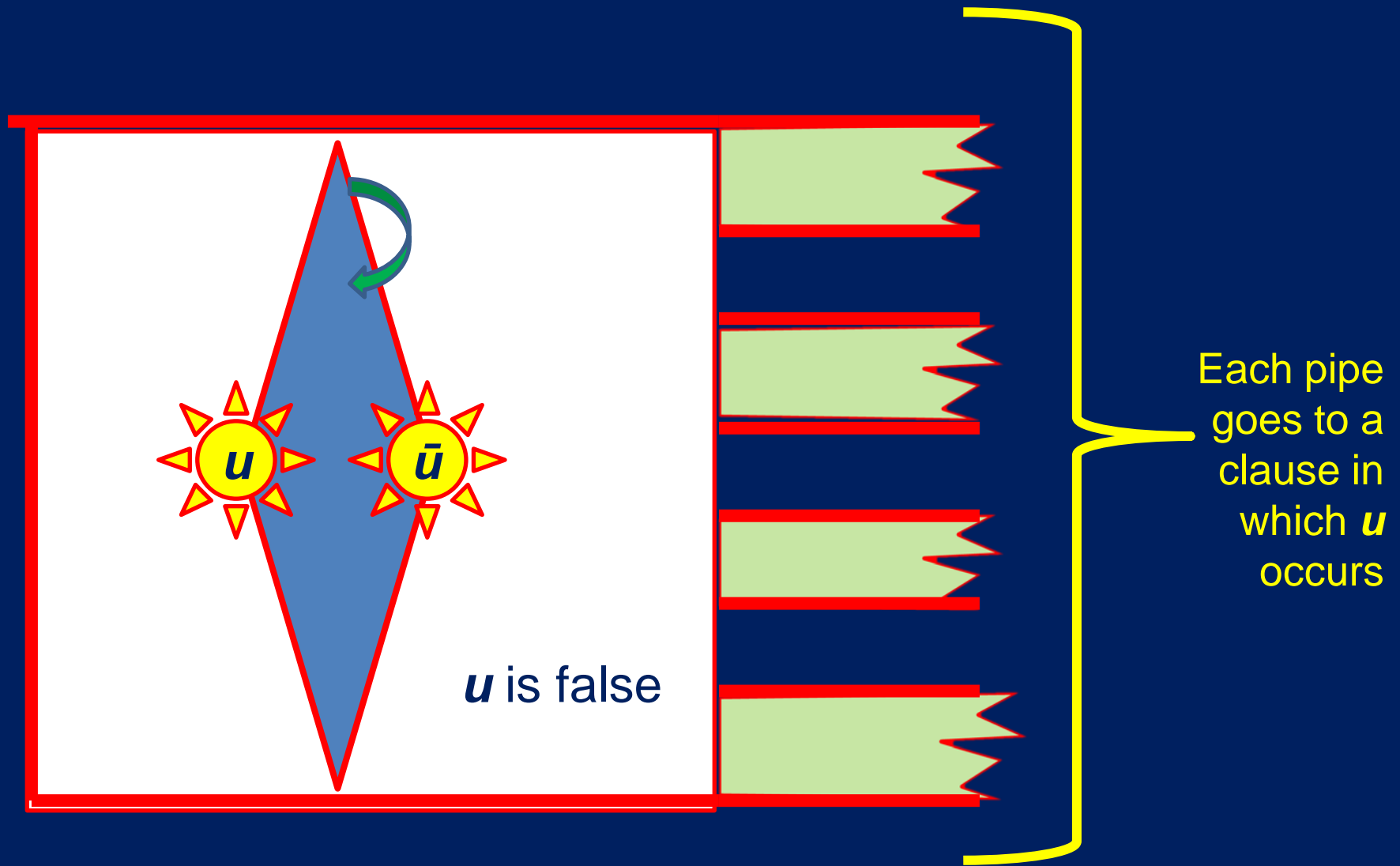
Logical view of variable gadget



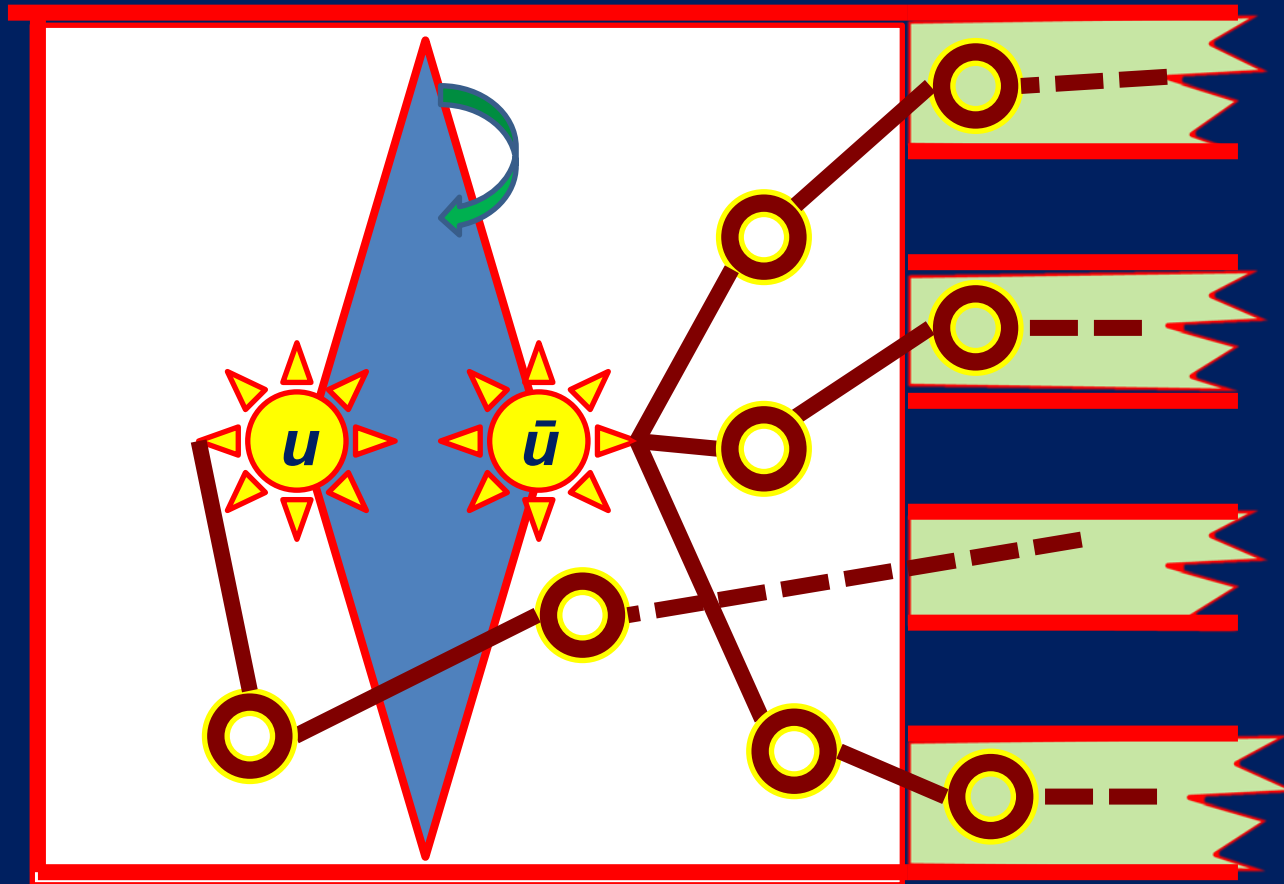
Logical view of variable gadget



Logical view of variable gadget

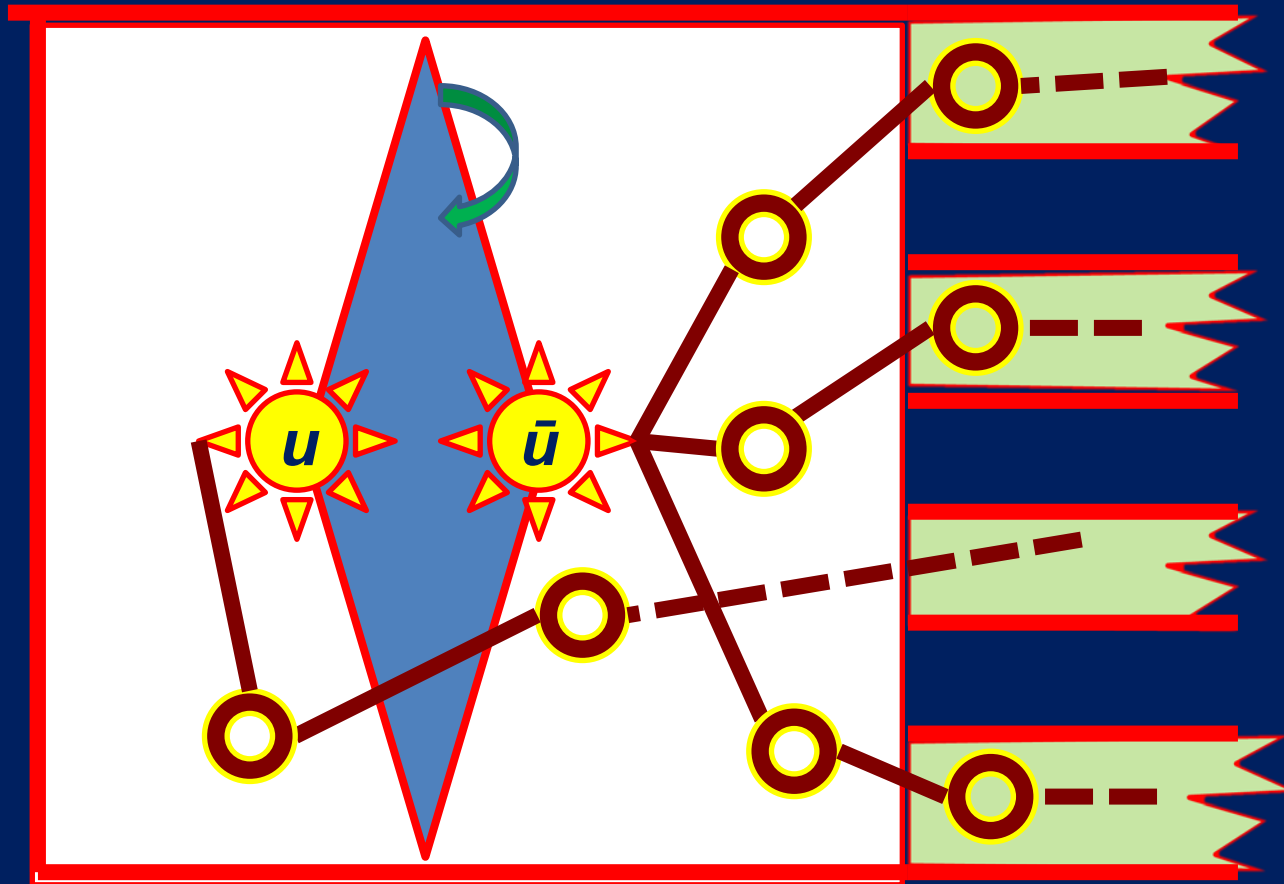


Logical view of variable gadget



Literals are attached to the clauses in which they occur, using chains threaded through the pipes

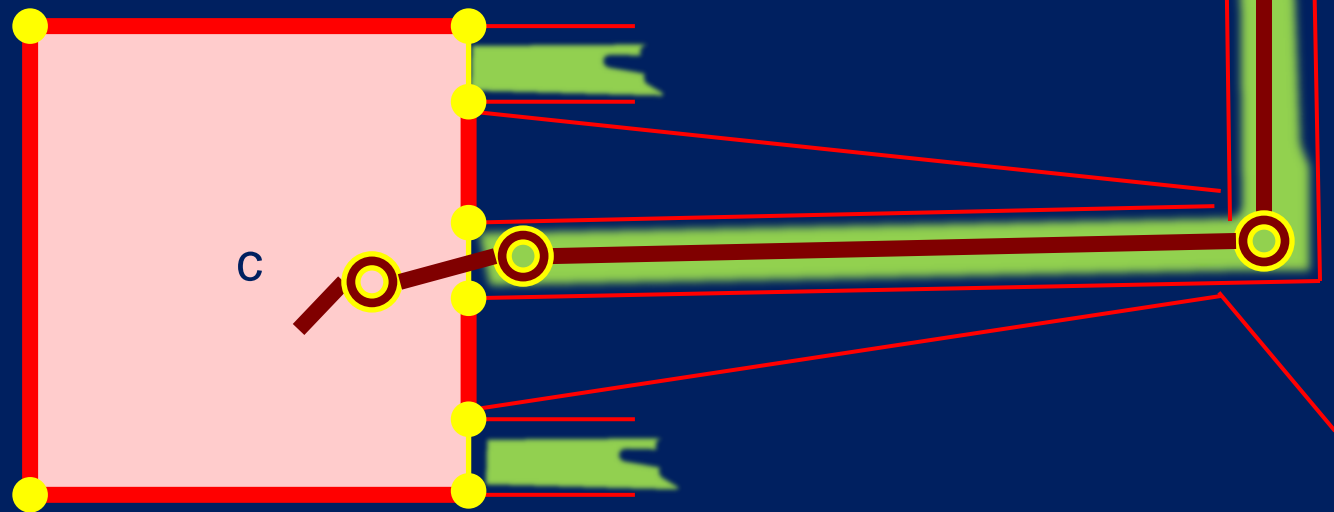
Logical view of variable gadget



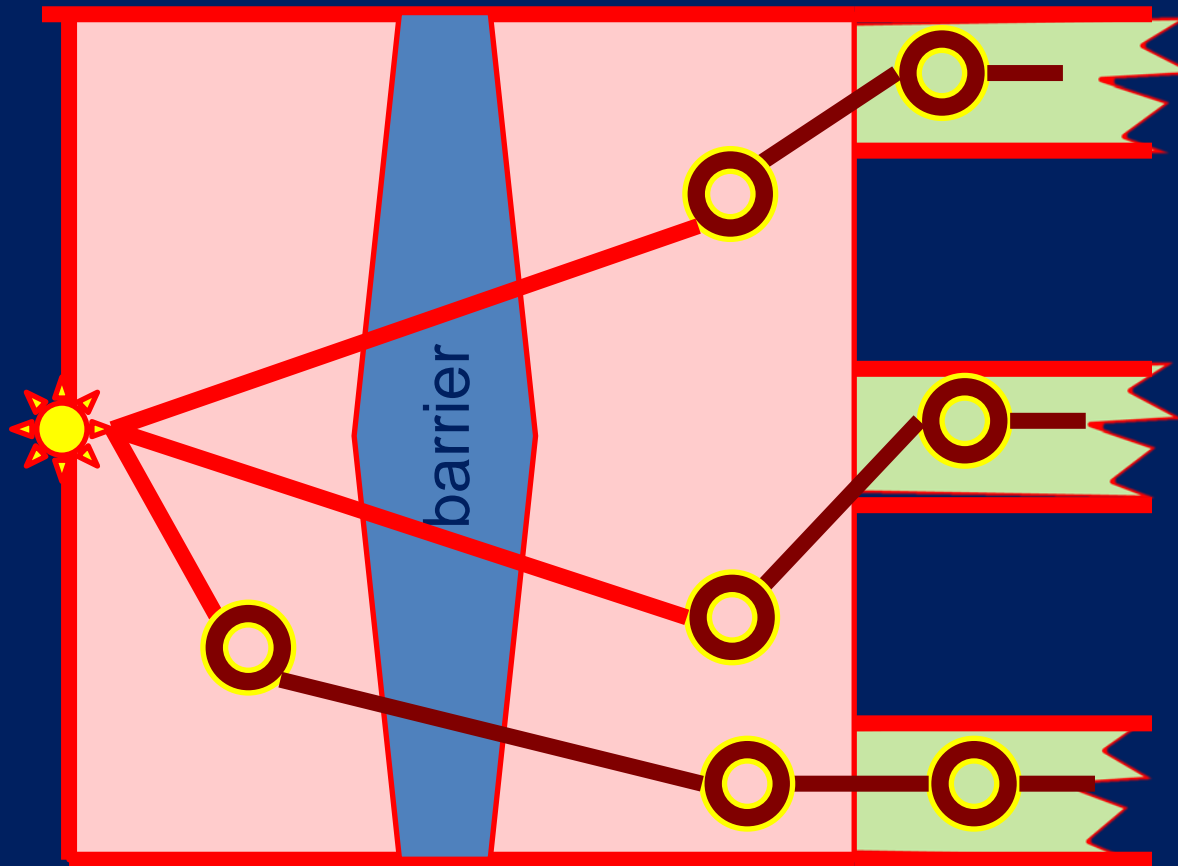
Chains attached to the rear literal spend an extra link before getting into the pipe.

Suppose that \bar{u} occurs in c

- There is a pipe from the variable gadget for u to the clause gadget for c
- There is a chain through the pipe from \bar{u} to c



Logical view of clause gadget



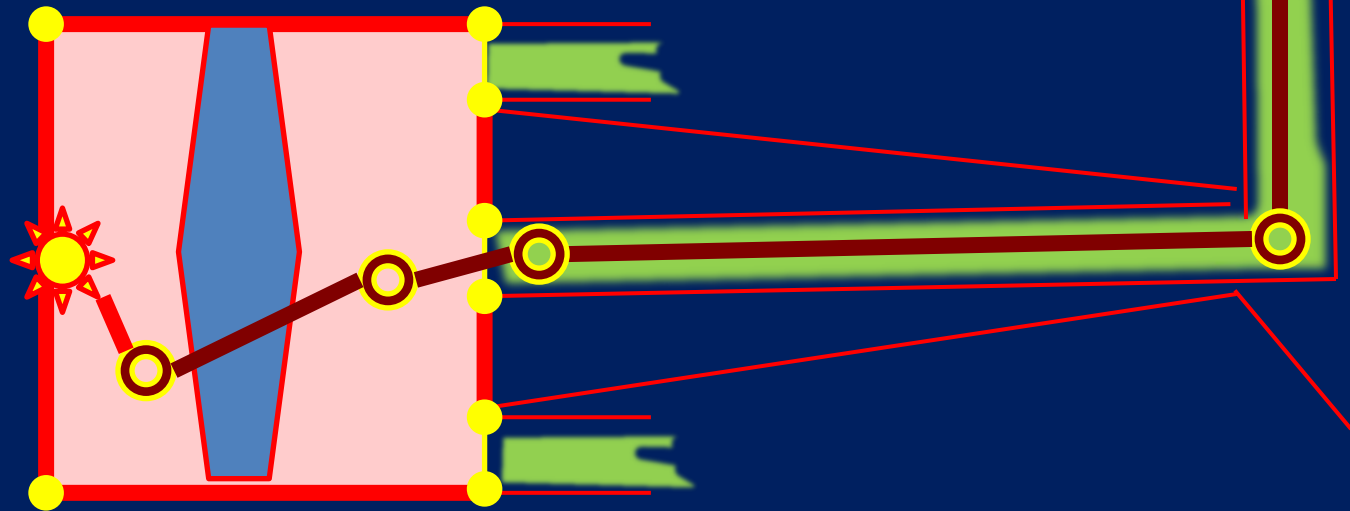
The barrier allows

- Any number of brown links to pass through
- At most two red links to pass through

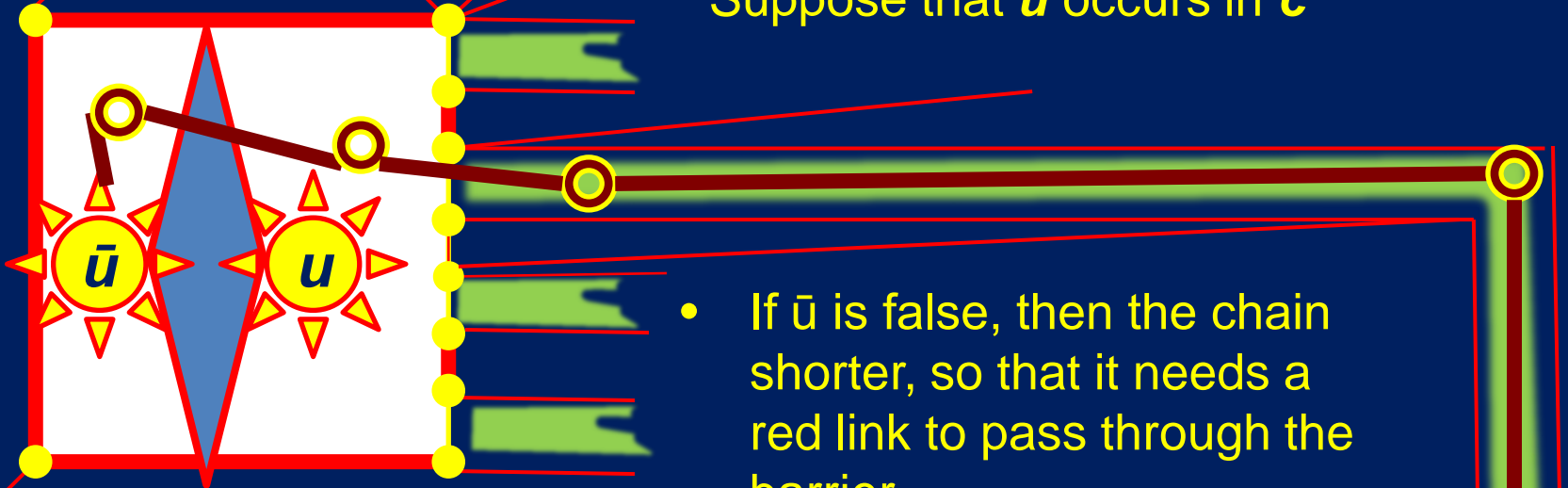
Thus at least one chain needs to be long enough to reach past the barrier

Suppose that \bar{u} occurs in c

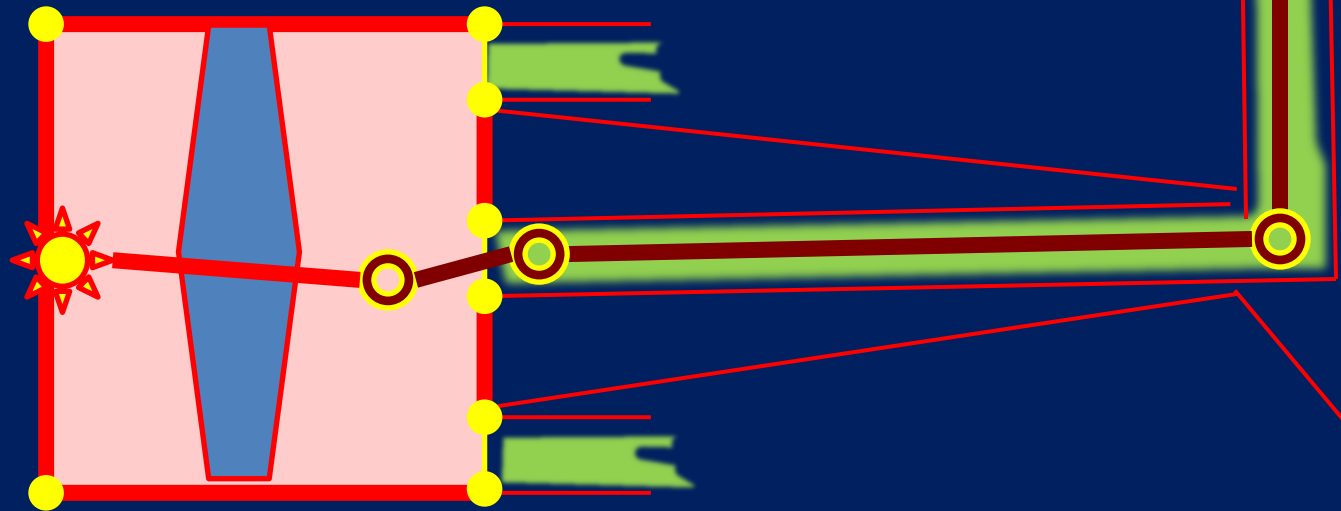
- If \bar{u} is true, then the chain is long enough so that it does not need a red link to pass through the barrier

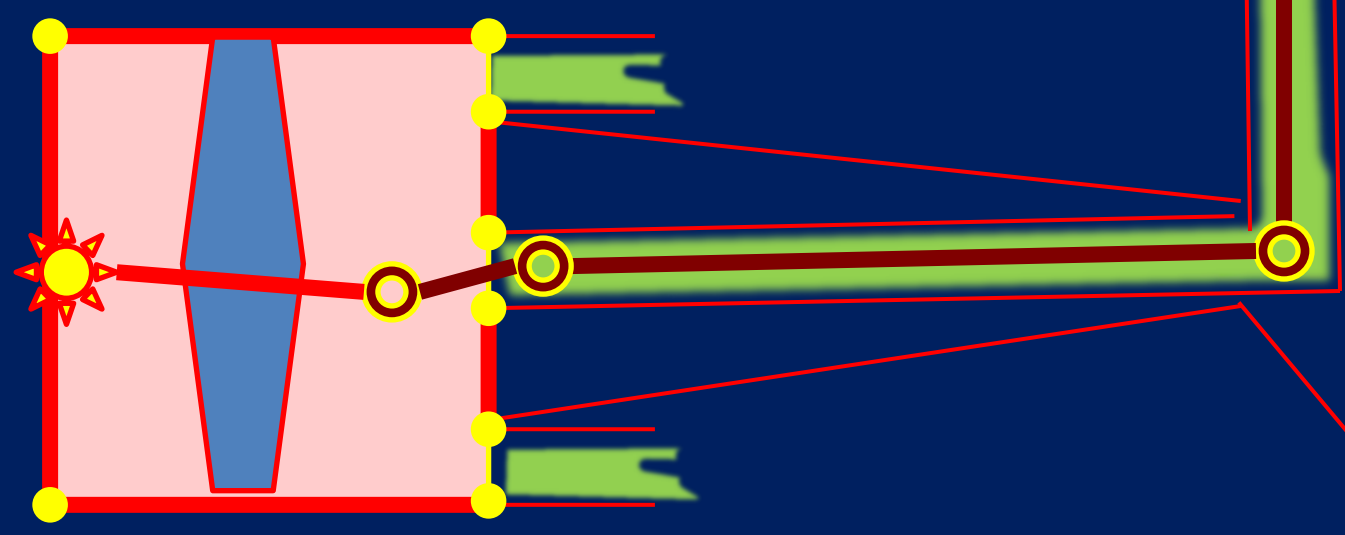
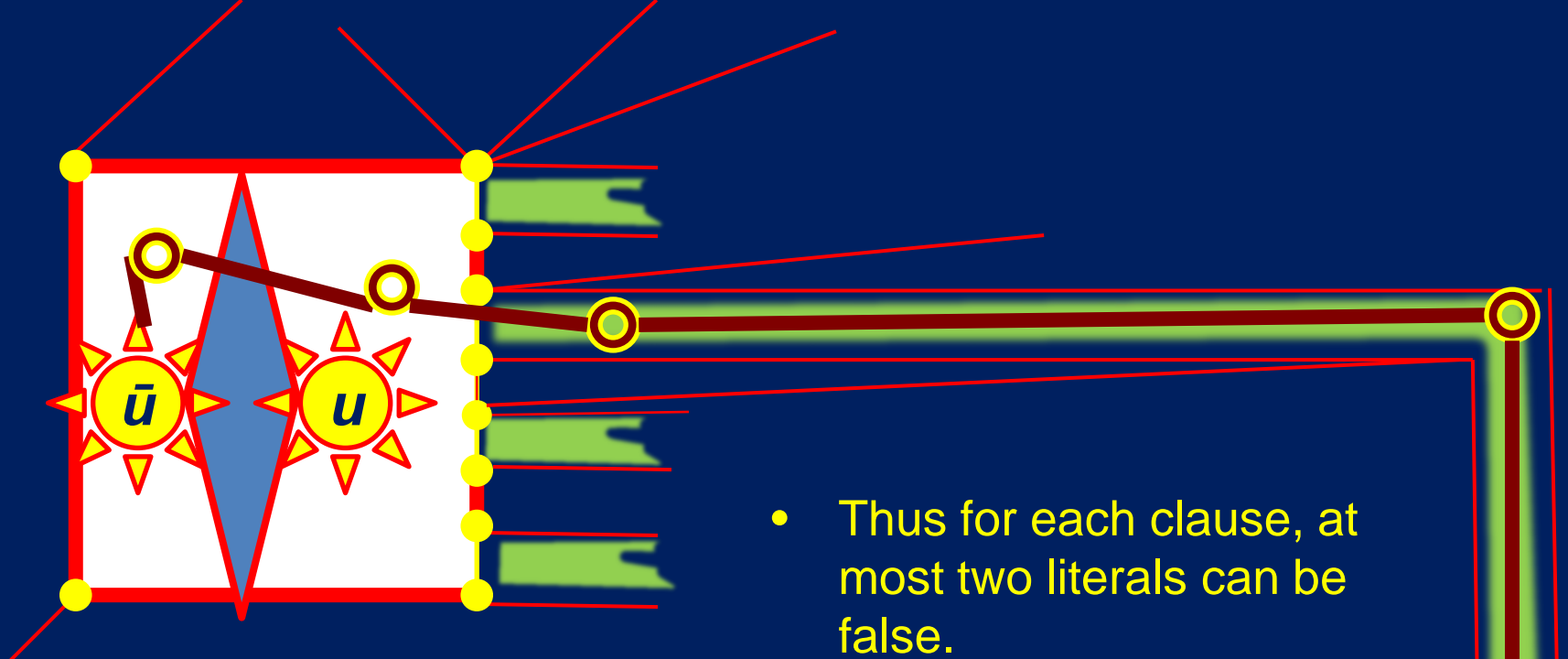


Suppose that \bar{u} occurs in c



- If \bar{u} is false, then the chain shorter, so that it needs a red link to pass through the barrier





Notes

- This is a fairly generic proof strategy for NP-hardness for layout problems.
- Details of clause and variable gadgets are straightforward but tedious
- The same proof works for 1-planar graphs: just choose different gadgets for clauses and variables.

Questions for slightly non-planar graphs:

- How can you compute a drawing of a RAC graph?

More generally,

- How can we draw a graph with large crossing angles?

Answers

- There are some force directed heuristics that use forces to enlarge angles
- There are some special algorithms for some special classes of graphs

However, other than the NP-hardness result, the problem remains mostly open from both practical and theoretical points of view.

open problem

Given a graph drawing, what is the smallest crossing angle?



